



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>5</sup> :</b>  <b>C12N 5/10, 15/85, A61K 48/00</b>	<b>A2</b>	<b>(11) International Publication Number:</b> <b>WO 93/09222</b>  <b>(43) International Publication Date:</b> 13 May 1993 (13.05.93)									
<b>(21) International Application Number:</b> PCT/US92/09627 <b>(22) International Filing Date:</b> 5 November 1992 (05.11.92)  <b>(30) Priority data:</b> <table border="0"> <tr> <td>787,840</td> <td>5 November 1991 (05.11.91)</td> <td>US</td> </tr> <tr> <td>789,188</td> <td>5 November 1991 (05.11.91)</td> <td>US</td> </tr> <tr> <td>911,533</td> <td>10 July 1992 (10.07.92)</td> <td>US</td> </tr> </table> <b>(71) Applicant:</b> TRANSKARYOTIC THERAPIES, INC. [US/US]; 195 Albany Street, Cambridge, MA 02139 (US). <b>(72) Inventors:</b> SELDEN, Richard, F. ; 106 Bristol Road, Wellesley, MA 02181 (US). HEARTLEIN, Michael, W. ; 167 Reed Farm Road, Boxborough, MA 01719 (US). TRECO, Douglas, A. ; 87 Brantwood Road, Arlington, MA 02174 (US).		787,840	5 November 1991 (05.11.91)	US	789,188	5 November 1991 (05.11.91)	US	911,533	10 July 1992 (10.07.92)	US	<b>(74) Agents:</b> GRANAHAAN, Patricia et al.; Hamilton, Brook, Smith & Reynolds, Two Militia Drive, Lexington, MA 02173 (US).  <b>(81) Designated States:</b> AU, CA, JP, KR, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, SE).  <b>Published</b> <i>Without international search report and to be republished upon receipt of that report.</i>
787,840	5 November 1991 (05.11.91)	US									
789,188	5 November 1991 (05.11.91)	US									
911,533	10 July 1992 (10.07.92)	US									
<b>(54) Title:</b> TRANSFECTION OF VERTEBRATE CELLS E.G. BY HOMOLOGOUS RECOMBINATION  <b>(57) Abstract</b>  <p>The present invention relates to transfected primary and secondary somatic cells of vertebrate origin, particularly mammalian origin, transfected with exogenous genetic material (DNA) which encodes a desired (e.g., a therapeutic) product or is itself a desired (e.g., therapeutic) product, methods by which primary and secondary cells are transfected to include exogenous genetic material, including DNA targeting by homologous recombination, methods of producing clonal cell strains or heterogenous cell strains, methods of gene therapy in which the transfected primary or secondary cells are used, and methods of producing antibodies using the transfected primary or secondary cells. The present invention includes primary and secondary somatic cells, such as fibroblasts, keratinocytes, epithelial cells, endothelial cells, glial cells, neural cells, formed elements of the blood, muscle cells, other somatic cells which can be cultured and somatic cell precursors, which have been transfected with exogenous DNA which is stably integrated into their genomes or is expressed in the cells episomally. The exogenous DNA either encodes a product, such as a translational product (e.g., a protein) or a transcriptional product (e.g., a ribozyme or an anti-sense nucleic acid sequence) which is a therapeutic product or is itself a therapeutic product (e.g., DNA which binds to a cellular regulatory protein or alters gene expression).</p> <div style="float: right; width: 40%;"> <pre> graph TD     A[Obtain vertebrate tissue] --&gt; B[Prepare primary cell mixture]     B --&gt; C[Transfect primary / secondary cells]     C --&gt; D["(Selection of stably transfected cell-optional)"]     D --&gt; E[Isolate stably transfected clonal or heterogeneous cell strain]     E --&gt; F[Propagate stably transfected cell strain]     F --&gt; G[Implant stably transfected cell strain into vertebrate]           </pre> </div>											

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	FR	France	MR	Mauritania
AU	Australia	GA	Gabon	MW	Malawi
BB	Barbados	GB	United Kingdom	NL	Netherlands
BE	Belgium	GN	Guinea	NO	Norway
BF	Burkina Faso	GR	Greece	NZ	New Zealand
BG	Bulgaria	HU	Hungary	PL	Poland
BJ	Benin	IE	Ireland	PT	Portugal
BR	Brazil	IT	Italy	RO	Romania
CA	Canada	JP	Japan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	LI	Liechtenstein	SK	Slovak Republic
CI	Côte d'Ivoire	LK	Sri Lanka	SN	Senegal
CM	Cameroon	LU	Luxembourg	SU	Soviet Union
CS	Czechoslovakia	MC	Monaco	TD	Chad
CZ	Czech Republic	MG	Madagascar	TG	Togo
DE	Germany	ML	Mali	UA	Ukraine
DK	Denmark	MN	Mongolia	US	United States of America
ES	Spain			VN	Viet Nam
FI	Finland				

## TRANSFECTION OF VERTEBRATE CELLS EG. BY HOMOLOGOUS RECOMBINATION

DescriptionBackground of the Invention

Efforts to develop human gene therapies have their  
5 roots in the 1950s, when early successes with kidney  
transplantation led to speculation that it might be  
possible to transplant cells from a normal individual into  
a patient suffering from a genetic disease. Soon after  
the discovery of the enzymatic defects in Gaucher's and  
10 Niemann-Pick disease, scientists considered organ and bone  
marrow transplantation and enzyme supplementation to treat  
rare genetic disorders (Brady, R., NEJM 275:312 (1966)).  
By the late 1960s and early 1970s, several investigators  
speculated that it also might be possible to introduce  
15 genes into a patient's own cells, and the cloning of the  
first human genes only a few years later intensified work  
in the field.

Until recently, almost all of the theoretical and  
experimental work on human gene therapy was centered on  
20 extremely rare genetic diseases, and gene therapy has come  
to mean, to many in the field, the modification of a  
patient's genes to treat a genetic disease. However, gene  
therapy has far wider applications than simply treatment  
of a genetic disease. Gene therapy is perhaps more  
25 appropriately described as medical intervention in which  
cells, either from the individual to be treated or another  
appropriate source, are modified genetically to treat or  
cure any condition, regardless of etiology, that will be  
ameliorated by the long-term delivery of a therapeutic  
30 protein. Gene therapy can therefore be thought of as an  
in vivo protein production and delivery system, and almost  
all diseases that are currently treated by the

-2-

administration of proteins are candidates for treatment using gene therapy.

Gene therapy can be divided into two areas: germ cell and somatic cell gene therapy. Germ cell gene therapy refers to the modification of sperm cells, egg cells, zygotes, or early stage embryos. On the basis of both ethical and practical criteria, germ cell gene therapy is inappropriate for human use. In contrast to germ cell gene therapy, somatic cell gene therapy would affect only the person under treatment (somatic cells are cells that are not capable of developing into whole individuals and include all of the body's cells with the exception of the germ cells). As such, somatic cell gene therapy is a reasonable approach to the treatment and cure of certain disorders in human beings.

In a somatic cell gene therapy system, somatic cells (e.g., fibroblasts, hepatocytes, or endothelial cells) are removed from the patient, cultured in vitro, transfected with the gene(s) of therapeutic interest, characterized, and reintroduced into the patient. The means by which these five steps are carried out are the distinguishing features of a given gene therapy system.

Presently-available approaches to gene therapy make use of infectious vectors, such as retroviral vectors, which include the genetic material to be expressed. Such approaches have limitations, such as the potential of generating replication-competent virus during vector production; recombination between the therapeutic virus and endogenous retroviral genomes, potentially generating infectious agents with novel cell specificities, host ranges, or increased virulence and cytotoxicity; independent integration into large numbers of cells, increasing the risk of a tumorigenic insertional event; limited cloning capacity in the retrovirus (which

-3-

restricts therapeutic applicability) and short-lived in vivo expression of the product of interest. A better approach to providing gene products, particularly one which avoids the risks associated with presently available methods and provides long-term production, would be valuable. Proteins of therapeutic interest are generally produced by introducing exogenous DNA encoding the protein of therapeutic interest into appropriate cells.

#### Summary of the Invention

10       The present invention relates to transfected primary and secondary somatic cells of vertebrate origin, particularly of mammalian origin, transfected with exogenous DNA which encodes a therapeutic product, exogenous DNA which is itself a therapeutic product and/or  
15       exogenous DNA which causes the transfected cells to express a gene at a higher level than occurs in the corresponding nontransfected cell. Further, the present invention relates to transfected primary and secondary somatic cells of vertebrate origin, particularly mammalian  
20       origin, transfected with exogenous genetic material (DNA) which encodes a desired (e.g., a therapeutic) product or is itself a desired (e.g., therapeutic) product, methods by which primary and secondary cells are transfected to include exogenous genetic material, methods of producing  
25       clonal cell strains or heterogenous cell strains, methods of gene therapy in which the transfected primary or secondary cells are used, methods of producing a therapeutic protein through the use of transfected primary or secondary cells made by the present method and methods  
30       of producing antibodies using the transfected primary or secondary cells.

In one embodiment, the present invention relates to transfected primary and secondary somatic cells of

-4-

vertebrate origin, particularly mammalian origin,  
transfected with exogenous genetic material (DNA or RNA)  
which encodes a clinically useful product, such as human  
growth hormone (hGH), erythropoietin (EPO) or  
5 insulinotropin, methods by which primary and secondary  
cells are transfected to include exogenous genetic  
material encoding hGH, EPO or insulinotropin, methods of  
producing clonal cell strains or heterogenous cell strains  
which express exogenous genetic material encoding hGH, EPO  
10 or insulinotropin, a method of providing hGH, EPO or  
insulinotropin in physiologically useful quantities to an  
individual in need thereof, through the use of transfected  
cells of the present invention or by direct injection of  
DNA encoding hGH, EPO insulinotropin into an individual;  
15 and methods of producing antibodies against the encoded  
product using the transfected primary or secondary cells.

In another embodiment, the present invention relates  
to a method of gene or DNA targeting in cells of  
vertebrate, particularly mammalian, origin. That is, it  
20 relates to a method of introducing DNA into primary or  
secondary cells of vertebrate origin through homologous  
recombination or targeting of the DNA, which is introduced  
into genomic DNA of the primary or secondary cells at a  
preselected site. The preselected site determines the  
25 targeting sequences used. The present invention further  
relates to homologously recombinant primary or secondary  
cells, referred to as homologously recombinant (HR)  
primary or secondary cells, produced by the present method  
and to uses of the HR primary or secondary cells.

30 The present invention also relates to a method of  
turning on or activating a gene present in primary cells,  
secondary cells or immortalized cells of vertebrate  
origin, which is normally not expressed in the cells or is  
not expressed at significant levels in the cells.

-5-

Homologous recombination or targeting is used to replace or disable the regulatory region normally associated with the gene with a regulatory sequence which causes the gene to be expressed at levels higher than evident in the  
5 corresponding nontransfected cell. The present invention, therefore, relates to a method of making proteins by turning on or activating an endogenous gene which encodes the desired product in transfected primary, secondary or immortalized cells.

10 As used herein, the term primary cell includes cells present in a suspension of cells isolated from a vertebrate tissue source (prior to their being plated i.e., attached to a tissue culture substrate such as a dish or flask), cells present in an explant derived from tissue,  
15 both of the previous types of cells plated for the first time, and cell suspensions derived from these plated cells. The term secondary cell or cell strain refers to cells at all subsequent steps in culturing. That is, the first time a plated primary cell is removed from the  
20 culture substrate and replated (passaged), it is referred to herein as a secondary cell, as are all cells in subsequent passages. Secondary cells are cell strains which consist of secondary cells which have been passaged one or more times. A cell strain consists of secondary  
25 cells that: 1) have been passaged one or more times; 2) exhibit a finite number of mean population doublings in culture; 3) exhibit the properties of contact-inhibited, anchorage dependent growth (anchorage-dependence does not apply to cells that are propagated in suspension culture);  
30 and 4) are not immortalized. A "clonal cell strain" is defined as a cell strain that is derived from a single founder cell. A "heterogenous cell strain" is defined as a cell strain that is derived from two or more founder cells.

-6-

The present invention includes primary and secondary somatic cells, such as fibroblasts, keratinocytes, epithelial cells, endothelial cells, glial cells, neural cells, formed elements of the blood, muscle cells, other somatic cells which can be cultured and somatic cell precursors, which have been transfected with exogenous DNA which is stably integrated into their genomes or is expressed in the cells episomally. The resulting cells are referred to, respectively, as transfected primary cells and transfected secondary cells. The exogenous DNA: 1) encodes a product, such as a translational product (e.g., a protein) or a transcriptional product (e.g., a ribozyme or an anti-sense nucleic acid sequence) which is a therapeutic product; 2) is itself a therapeutic product (e.g., DNA which binds to a cellular regulatory protein or alters gene expression) or 3) is DNA which undergoes homologous recombination with genomic DNA of recipient cells and results in alteration of (increase or decrease in) expression of an endogenous gene.

In the embodiment in which the exogenous DNA encodes a translational or transcriptional product to be expressed by the recipient cells, the resulting product is retained within the cell, incorporated into the cell membrane or secreted from the cell. In this embodiment, the exogenous DNA encoding the therapeutic product is introduced into cells along with additional DNA sequences sufficient for expression of the exogenous DNA in transfected cells and is operatively linked to those sequences.

In the embodiment in which the exogenous DNA is not expressed, there is no gene product and the DNA itself is the therapeutic product. In this embodiment, exogenous DNA is, for example, DNA sequences which bind to a cellular regulatory protein, DNA sequences sufficient for sequestration of a protein or nucleic acid present in the



-7-

transfected primary or secondary cell, DNA sequences which alter secondary or tertiary chromosomal structure or DNA sequences which are transcriptional regulatory elements. Such primary cells modified to express or render available  
5 exogenous DNA are referred to herein as transfected primary cells, which include cells removed from tissue and placed on culture medium for the first time. Secondary cells modified to express or render available exogenous DNA are referred to herein as transfected secondary cells.

10 In the embodiment in which exogenous DNA undergoes homologous recombination with genomic DNA of transfected (recipient) cells, introduction of the exogenous DNA results in disablement of the endogenous sequences which control expression of the endogenous gene, either by  
15 replacing all or a portion of the endogenous (genomic) sequence or disrupting the endogenous sequence.

Primary and secondary cells transfected by the subject method fall into three types or categories: 1) cells which do not, as obtained, make or contain the  
20 therapeutic product, 2) cells which make or contain the therapeutic product but in lower quantities than normal (in quantities less than the physiologically normal lower level) or in defective form, and 3) cells which make the therapeutic product at physiologically normal levels, but  
25 are to be augmented or enhanced in their content or production.

Exogenous DNA is introduced into primary or secondary cells by a variety of techniques. For example, a construct which includes exogenous DNA encoding a therapeutic protein and additional DNA sequences necessary for  
30 expression in recipient cells is introduced into primary or secondary cells by electroporation, microinjection, or other means (e.g., calcium phosphate precipitation, modified calcium phosphate precipitation, polybrene

-8-

precipitation, liposome fusion, receptor-mediated DNA delivery). Alternatively, a vector, such as a retroviral vector, which includes exogenous DNA can be used and cells can be genetically modified as a result of infection with  
5 the vector.

In addition to the exogenous DNA, transfected primary and secondary cells may optionally contain DNA encoding a selectable marker, which is expressed and confers upon recipients a selectable phenotype, such as antibiotic  
10 resistance, resistance to a cytotoxic agent, nutritional prototrophy or expression of a surface protein. Its presence makes it possible to identify and select cells containing the exogenous DNA. A variety of selectable marker genes can be used, such as neo, gpt, dhfr, ada,  
15 pac, hyg, mdr and hisD.

Transfected cells of the present invention are useful, as populations of transfected primary cells, transfected clonal cell strains, transfected heterogenous cell strains, and as cell mixtures in which at least one  
20 representative cell of one of the three preceding categories of transfected cells is present, as a delivery system for treating an individual with an abnormal or undesirable condition which responds to delivery of a therapeutic product, which is either: 1) a therapeutic  
25 protein (e.g., a protein which is absent, underproduced relative to the individual's physiologic needs, defective or inefficiently or inappropriately utilized in the individual; a protein with novel functions, such as enzymatic or transport functions) or 2) a therapeutic  
30 nucleic acid (e.g., DNA which binds to or sequesters a regulatory protein, RNA which inhibits gene expression or has intrinsic enzymatic activity). In the method of the present invention of providing a therapeutic protein or nucleic acid, transfected primary cells, clonal cell

-9-

strains or heterogenous cell strains are administered to an individual in whom the abnormal or undesirable condition is to be treated or prevented, in sufficient quantity and by an appropriate route, to express or make available the exogenous DNA at physiologically relevant levels. A physiologically relevant level is one which either approximates the level at which the product is produced in the body or results in improvement of the abnormal or undesirable condition. Cells administered in the present method are cells transfected with exogenous DNA which encodes a therapeutic product, exogenous DNA which is itself a therapeutic product or exogenous DNA, such as a regulatory sequence, which is introduced into a preselected site in genomic DNA through homologous recombination and functions to cause recipient cells to produce a product which is normally not expressed in the cells or to produce the product of a higher level than occurs in the corresponding nontransfected cell. In the embodiment in which a regulatory sequence (e.g., a promoter) is introduced, it replaces or disables a regulatory sequence normally associated with a gene, and results in expression of the gene at a higher level than occurs in the corresponding nontransfected cell.

#### Brief Description of the Drawings

Figure 1 is a schematic representation of plasmid pXGH5, which includes the human growth hormone (hGH) gene under the control of the mouse metallothionein promoter.

Figure 2 is a schematic representation of plasmid pcDNEO, which includes the neo coding region (BamHI-BglII fragment) from plasmid pSV2neo inserted into the BamHI site of plasmid pcD; the Amp-R and pBR322Ori sequences from pBR322; and the polyA, 16S splice junctions and early promoter regions from SV40.

-10-

Figure 3 is a schematic representation of plasmid pXGH301 which includes the human growth hormone gene and the neo gene.

Figure 4 is a flow chart of the method of the present invention.

Figure 5 is a schematic representation of plasmid pXEPO1. The solid black arc represents the pUC12 backbone and the arrow denotes the direction of transcription of the ampicillin resistance gene. The stippled arc represents the mouse metallothionein promoter (pmMT1). The unfilled arc interrupted by black boxes represents the human erythropoietin EPO gene (the black boxes denote exons and the arrow indicates the direction hEPO transcription). The relative positions of restriction endonuclease recognition sites are indicated.

Figure 6 is a schematic representation of plasmid pE3neoEPO. The positions of the human erythropoietin gene and the neo and amp resistance genes are indicated. Arrows indicate the directions of transcription of the various genes. pmMT1 denotes the mouse metallothionein promoter (driving hEPO expression) and pTK denotes the Herpes Simplex Virus thymidine kinase promoter (driving neo expression). The dotted regions of the map mark the positions of human HGPRT sequences. The relative positions of restriction endonuclease recognition sites are indicated.

Figure 7 is a schematic diagram of a strategy for transcriptionally activating the hEPO gene.

Figure 8 is a schematic diagram of a strategy for transcriptionally activating the hEPO gene.

Figure 9 shows the results of an assessment of long-term in vitro hGH production by transfected primary human skin fibroblasts (two strains, HF96-11 and HF96-23).

-11-

Figure 10 is a graphic representation of human growth hormone (hGH) expression by transfected primary rabbit skin fibroblasts in vitro.

Figure 11 shows the results of an assay to detect serum levels of hGH over time in mice implanted with transfected rabbit fibroblasts expressing hGH.

Figure 12 is a graphic representation of human growth hormone (hGH) expression in cells recovered from subrenal capsule implants.

Figure 13a shows hematocrit (HCT) levels in control mice and mice implanted with transfected rabbit fibroblasts expressing hEPO.

Figure 13b shows results of an assay to detect hEPO in the serum of mice implanted with transfected rabbit fibroblasts expressing hEPO.

#### Detailed Description of the Invention

The present invention relates to transfected primary and secondary somatic cells of vertebrate origin, particularly of mammalian origin, transfected with exogenous DNA which encodes a therapeutic product, exogenous DNA which is itself a therapeutic product and/or exogenous DNA which causes the transfected cells to express a gene at a higher level than occurs in the corresponding nontransfected cell.

As described herein, primary or secondary cells of vertebrate, particularly mammalian, origin have been transfected with exogenous DNA encoding a therapeutic product and shown to produce the encoded therapeutic protein stably and reproducibly, both in vitro and in vivo, over extended periods of time. In addition, the transfected primary and secondary cells have been shown to express the encoded product in vivo at physiologically relevant levels, to be recoverable after implantation and,

-12-

upon reculturing, to grow and display their preimplantation properties. This demonstration is in sharp contrast to what one of skill in the art would predict, because, for example, even experts in the field see the finite life span of normal somatic cells and the inability to isolate or grow the relevant transplantable cells as precluding their use for gene therapy unless the cells are genetically modified using retroviruses. Miller, A.D., Blood, 76:271-278 (1990). However, the transplantation of retrovirally-treated fibroblasts has been shown to provide, at best, only transient metabolic improvements, and is seen to have serious limitations as a therapeutic system. Normal (non immortal) fibroblasts are characterized as being "much more difficult to transfect than continuous cell lines by using calcium phosphate precipitation techniques." Miller, A.D., Blood, 76:271-278 (1990). Furthermore, in considering non- retroviral techniques for gene therapy, it is typical of experts in the field to believe ". . . the efficiency of gene delivery is dismal. . . A physician would have to obtain an impossible number of cells from patients to guarantee the appropriate alteration of the millions required for therapy." (Verma, I.M., Scient. Amer., November 1990, pages 68-84).

Surprisingly, Applicants have been able to produce transfected primary and secondary cells which include exogenous DNA encoding a desired product, (i.e., a translation product which is a therapeutic protein or an antigen against which antibodies are produced) and stably express the exogenous DNA. It is also possible, using the method described herein, to produce transfected primary and secondary cells which include exogenous DNA encoding other translation products (novel proteins not made in nature) or transcription products (e.g., anti-sense RNA or

-13-

ribozymes) or exogenous DNA which itself is a therapeutic product (e.g., exogenous DNA which binds a regulatory protein present in the transfected cell).

The method of the present invention includes the

5 steps of: 1) providing a population of primary cells, obtained from the individual to whom the transfected primary cells will be administered or from another source; 2) introducing into the primary cells or into secondary cells derived from primary cells a DNA construct which

10 includes exogenous DNA as described above and the necessary additional DNA sequences described above, producing transfected primary or secondary cells; 3) maintaining transfected primary or secondary cells under conditions appropriate for their propagation; 4) identify-

15 ing a transfected primary or secondary cell; and 5) producing a colony from the transfected primary or secondary cell identified in (4) by maintaining it under appropriate culture conditions and for sufficient time for its propagation, thereby producing a cell strain derived

20 from the (founder) cell identified in (4). In one embodiment of the method, exogenous DNA is introduced into genomic DNA by homologous recombination between DNA sequences present in the DNA construct and genomic DNA.

In one embodiment of the present method of producing

25 a clonal population of transfected secondary cells, a cell suspension containing primary or secondary cells is combined with exogenous DNA encoding a therapeutic product and DNA encoding a selectable marker, such as the neo gene. The two DNA sequences are present on the same DNA

30 construct or on two separate DNA constructs. The resulting combination is subjected to electroporation, generally at 250-300 volts with a capacitance of 960  $\mu$ Farads and an appropriate time constant (e.g., 14 to 20 m sec) for cells to take up the DNA construct. In an

-14-

alternative embodiment, microinjection is used to introduce the DNA construct into primary or secondary cells. In either embodiment, introduction of the exogenous DNA results in production of transfected primary or secondary  
5 cells.

In the method of producing heterogenous cell strains of the present invention, the same steps are carried out as described for production of a clonal cell strain, except that a single transfected primary or secondary cell  
10 is not isolated and used as the founder cell. Instead, two or more transfected primary or secondary cells are cultured to produce a heterogenous cell strain.

The subject invention also relates to a method of producing antibodies specific for the protein encoded by  
15 the exogenous DNA. In the method, transfected primary or secondary cells expressing an antigen against which antibodies are desired are introduced into an animal recipient (e.g., rabbit, mouse, pig, dog, cat, goat, guinea pig, sheep, non-human primate). The animal  
20 recipient produces antibodies against the antigen expressed, which may be an entire protein antigen or a peptide encoded by a fragment of the intact gene which encodes the entire antigen. Polyclonal sera is obtained from the animals. It is also possible to produce  
25 monoclonal antibodies through the use of transfected primary or secondary cells. Splenocytes are removed from an animal recipient of transfected primary or secondary cells expressing the antigen against which monoclonal antibodies are desired. The splenocytes are fused with  
30 myeloma cells, using known methods, such as that of Koprowski et al. (U.S. Patent No. 4,172,124) or Kohler et al., (Nature 256:495-497 (1975)) to produce hybridoma cells which produce the desired monoclonal antibody. The polyclonal antisera and monoclonal antibodies produced can



-15-

be used for the same purposes (e.g., diagnostic, preventive, therapeutic purposes) as antibodies produced by other methods.

The present invention has wide applicability in treating abnormal or undesired conditions and can be used to provide a variety of products to an individual. For example, it can be used to provide secreted proteins (with either predominantly systemic or predominantly local effects), membrane proteins (e.g., for imparting new or enhanced cellular responsiveness, facilitating removal of a toxic product or marking or targeting a cell) or intracellular proteins (e.g., for affecting gene expression or producing autolytic effects). In addition, it can be used to provide engineered DNA which binds or sequesters a cellular protein, to produce engineered RNA useful in an anti-sense approach to altering gene expression or to provide antigens against which immune response occurs in an individual (to prevent disease as by vaccination or to suppress an existing condition). The present invention is particularly advantageous in treating abnormal or undesired conditions in that it: 1) is curative (one gene therapy treatment has the potential to last a patient's lifetime); 2) allows precise dosing (the patient's cells continuously determine and deliver the optimal dose of the required protein based on physiologic demands, and the stably transfected cell strains can be characterized extensively in vitro prior to implantation, leading to accurate predictions of long term function in vivo); 3) is simple to apply in treating patients; 4) eliminates issues concerning patient compliance (following a one-time gene therapy treatment, daily protein injections are no longer necessary); 5) reduces treatment costs (since the therapeutic protein is synthesized by the patient's own cells, investment in costly protein

-16-

production and purification is unnecessary); and 6) is safe (the invention does not use infectious agents such as retroviruses to genetically engineer the patient's cells, thereby overcoming the safety and efficacy issues that have hampered other gene therapy systems).

As further described herein, primary or secondary cells of vertebrate, particularly mammalian, origin have been transfected with exogenous DNA encoding EPO and shown to produce the encoded EPO reproducibly, both in vitro and in vivo, over extended periods of time. In addition, the transfected primary and secondary cells have been shown to express EPO in vivo at physiologically relevant levels. The EPO expressed has been shown to have the glycosylation pattern typical of EPO purified from human urine or recombinant human EPO.

In addition, as further described herein, primary or secondary cells of vertebrate, particularly mammalian, origin have been transfected with exogenous DNA encoding hGH and shown to produce the encoded hGH reproducibly, both in vitro and in vivo, over extended periods of time. In addition, the transfected primary and secondary cells have been shown to express hGH in vivo at physiologically relevant levels.

Applicants have also developed methods for producing transfected primary or secondary cells which stably express exogenous DNA encoding EPO, clonal cell strains and heterogenous cell strains of such transfected cells, methods of producing the clonal and heterogenous cell strains, and methods of using transfected cells expressing EPO to deliver the encoded product to an individual mammal at physiologically relevant levels. The constructs and methods herein described are useful, for example, for treating an individual (human) whose EPO production and/or function is in need of being increased or enhanced [e.g.,

-17-

is compromised or less than normal, or normal but the individual would benefit from enhancement, at least temporarily, of red blood cell production (e.g., during predialysis or dialysis therapy, during treatment of AIDS with AZT, after surgery, or during chemotherapy)].

As also described herein, it is possible to transfect primary or secondary cells of vertebrate, particularly mammalian, origin with exogenous DNA encoding insulinotropin and to use them to provide insulinotropin to an individual in whom insulin production, function and/or sensitivity is compromised. As used herein, the term insulinotropin includes, e.g. derivatives of glucagon-like peptide 1 (GLP-1) such as GLP(7-37), GLP(7-36), GLP-1(7-35) and GLP-1(7-34) as well as their carboxy-terminal amidated derivatives produced by in vivo amidating enzymes and derivatives which have amino acid alterations or other alterations which result in substantially the same biological activity or stability in the blood as that of a truncated GLP-1 or enhanced biological activity or stability.

As further described herein, Applicants have also demonstrated that DNA can be introduced into primary or secondary vertebrate cells in a DNA construct or plasmid and integrated into the genome of the transfected primary or secondary cells by homologous recombination. That is, they have demonstrated gene targeting in primary and secondary mammalian cells. They have further demonstrated that the exogenous DNA has the desired function in the homologously recombinant (HR) cells and that correctly targeted cells can be identified on the basis of a detectable phenotype conferred by a selectable marker gene.

In addition, the present invention relates to a method of protein production using transfected primary,

-18-

secondary or immortalized cells. The method involves transfecting primary cells, secondary cells or immortalized cells with exogenous DNA which encodes a therapeutic product or with DNA which is sufficient to target to and activate an endogenous gene which encodes a therapeutic product. For example, Examples 18f, 19 and 21 describe protein production by targeting and activation of a selected endogenous gene.

Applicants describe (Example 3) construction of plasmids containing a selectable marker gene (plasmid pCDNEO), a gene encoding a therapeutic product (plasmid pXGH5) or both (pXGH301). They also describe construction of a plasmid useful for targeting to a particular locus (the HPRT locus) in the human genome and selection based upon a drug resistant phenotype (Example 18a). This plasmid is designated pE3Neo and its integration into the cellular genomes at the HPRT locus produces cells which have an *hprt*<sup>-</sup>, 6-TG resistant phenotype and are also G418 resistant. As described, they have shown that pE3Neo functions properly in gene targeting in an established human fibroblast cell line (Example 18b), by demonstrating localization of the DNA introduced into established cells within exon 3 of the HPRT gene.

In addition, Applicants demonstrate gene targeting in primary and secondary human skin fibroblasts using pE3Neo (Example 18c) and describe construction of a plasmid for targeted insertion of a gene encoding a therapeutic product (human growth hormone [hGH]) into the human genome (Example 18d). The subject application further demonstrates that modification of DNA termini enhances targeting of DNA into genomic DNA (Examples 18c and 18e) and construction of a variety of targeting plasmids. For instance, Applicants describe targeting plasmids for

-19-

placing a human gene under the control of a murine promoter known to function in human cells (Examples 18f and 18i); for targeting to sequences flanking a gene and isolation of targeted secondary fibroblasts using a variety of screening and selection approaches (Examples 18g, 18h, 18j and 18k); for placing a human gene not normally expressed in the primary or secondary cells under the control of a promoter of nonhuman or human origin, to produce homologously recombinant primary or secondary cells which express the encoded product (Examples 18f-18k).

Two further embodiments of the present invention are envisioned, (Example 19) in which the normal regulatory sequences upstream of a gene (e.g. the human EPO gene) are altered to allow expression of a gene product in primary or secondary cell strains which do not normally express that product in detectable quantities in their untransfected state. In one embodiment, the product of the targeting events is a chimeric transcription unit, in which a regulatory element and an operatively linked exon are positioned upstream of the desired endogenous gene to be activated. The product of transcription, splicing, and translation produces a chimeric protein in which amino acids encoded by exon 1 of the exogenous gene are fused to amino acids encoded by exons 2 and downstream exons in the endogenous gene. In a second embodiment, the product of the targeting event replaces the regulatory and exon 1 sequences of the endogenous gene with corresponding exogenous sequences. The product of transcription, splicing, and translation produces a chimeric protein similar to that described above. Typically, secretion of such proteins involves membrane translocation and removal of the signal peptide, in this case producing a normal protein lacking the chimeric signal peptide. In both

-20-

cases the chimeric protein is now under the control of a desired regulatory element.

Examples 18f-18h and 19 illustrate embodiments in which the normal regulatory sequences upstream of the human EPO gene are altered to allow expression of hEPO in primary or secondary fibroblast strains which do not express EPO in detectable quantities in their untransfected state. In one embodiment the product of targeting leaves the normal EPO protein intact, but under the control of the mouse metallothionein promoter. Examples 18i and 18j demonstrate the use of similar targeting constructs to activate the endogenous growth hormone gene in primary or secondary human fibroblasts. In other embodiments described for activating EPO expression in human fibroblasts, the products of targeting events are chimeric transcription units, in which the first exon of the human growth hormone gene is positioned upstream of EPO exons 2-5. The product of transcription (controlled by the mouse metallothionein promoter), splicing, and translation is a protein in which amino acids 1-4 of the hEPO signal peptide are replaced with amino acid residues 1-3 of hGH. The chimeric portion of this protein, the signal peptide, is removed prior to secretion from cells.

The Examples provide methods for activating endogenous genes by gene targeting which do not require manipulation or other uses of the hEPO and hGH protein coding regions. By these methods, normally inactive genes may be activated in cells that have properties desirable for in vivo protein delivery methods (e.g. gene therapy) and in vitro protein production (e.g., pharmaceuticals).

Figures 7 and 8 illustrate two strategies for transcriptionally activating the hEPO gene. The thin lines represent hEPO sequences; thick lines, mouse

-21-

metallothionein I promoter; stippled box, 5' untranslated region of hGH; solid box, hGH exon 1; striped box, 10 bp linker from hEPO intron 1; cross-hatched box, 5' untranslated region of hEPO; and open boxes, hEPO coding sequences; HIII, HindIII site.

Using the methods and DNA constructs or plasmids taught herein or modifications thereof which are apparent to one of ordinary skill in the art, exogenous DNA which encodes a therapeutic product (e.g., protein, ribozyme, nucleic acid) can be inserted at preselected sites in the genome of vertebrate (e.g., mammalian, both human and nonhuman) primary or secondary cells.

The methods and DNA constructs described can be used for a wide variety of purposes. The method can be used to alter primary or secondary cells of vertebrate origin in order to repair, alter, delete or replace DNA already present in the recipient primary or secondary cell; to introduce into primary or secondary cells a gene or DNA sequence (at a preselected site) which encodes a therapeutic product or other desired product or is itself a therapeutic or other product; to add to or replace regulatory sequences present in the primary or secondary cell recipients; to knock out or remove an entire gene or gene portion present in primary or secondary cells; and to produce universal donor cells.

The transfected primary or secondary cells may also include DNA encoding a selectable marker which confers a selectable phenotype upon them, facilitating their identification and isolation. Applicants have also developed methods for producing transfected primary or secondary cells which stably express exogenous DNA, clonal cell strains and heterogenous cell strains of such transfected cells, methods of producing the clonal and heterogenous cell strains, and methods of treating or

-22-

preventing an abnormal or undesirable condition through the use of populations of transfected primary or secondary cells of the present invention.

#### Transfected Cells

5        Primary and secondary cells to be transfected by the present method can be obtained from a variety of tissues and include all cell types which can be maintained in culture. For example, primary and secondary cells which can be transfected by the present method include  
10    fibroblasts, keratinocytes, epithelial cells (e.g., mammary epithelial cells, intestinal epithelial cells), endothelial cells, glial cells, neural cells, formed elements of the blood (e.g., lymphocytes, bone marrow cells), muscle cells and precursors of these somatic cell  
15    types. Primary cells are preferably obtained from the individual to whom the transfected primary or secondary cells are administered. However, primary cells may be obtained from a donor (other than the recipient) of the same species or another species (e.g., mouse, rat, rabbit,  
20    cat, dog, pig, cow, bird, sheep, goat, horse).

Transfected primary and secondary cells have been produced, with or without phenotypic selection, as described in Examples 5-7, and shown to express exogenous DNA encoding a therapeutic product including, e.g., EPO  
25    and insulinotropin.

Immortalized cells can also be transfected by the present method and used for either gene therapy or protein production. Examples of immortalized human cell lines useful for protein production by the present method  
30    include, but are not limited to, HT1080, HeLa, MCF-7 breast cancer cells, K-562 leukemia cells, KB carcinoma cells and 2780AD ovarian carcinoma cells.



-23-

Exogenous DNA

Exogenous DNA incorporated into primary or secondary cells by the present method is: 1) DNA which encodes a translation or transcription product whose expression in primary or secondary cells is desired, such as a translation or transcription product useful to treat an existing condition or prevent it from occurring (eg., EPO or insulinotropin) and 2) DNA which does not encode a gene product but is itself useful, such as DNA useful to treat an existing condition or prevent it from occurring or 3) DNA which undergoes homologous recombination with genomic DNA of recipient cells and results in alteration of (increase or decrease in) expression of an endogenous gene.

DNA transfected into primary or secondary cells can encode an entire desired product, or can encode, for example, the active or functional portion(s) of the product. The product can be, for example, a hormone, a cytokine, an antigen, an antibody, an enzyme, a clotting factor, a transport protein, a receptor, a regulatory protein, a structural protein, an anti-sense RNA, a ribozyme or a protein or a nucleic acid which does not occur in nature (i.e., a novel protein or novel nucleic acid). The DNA can be obtained from a source in which it occurs in nature or can be produced, using genetic engineering techniques or synthetic processes. The DNA transfected into primary or secondary cells can encode one or more therapeutic products. After transfection into primary or secondary cells, the exogenous DNA is stably incorporated into the recipient cell's genome (along with the additional sequences present in the DNA construct used), from which it is expressed or otherwise functions. Alternatively, the exogenous DNA may exist episomally within the transfected primary or secondary cells.

-24-

DNA encoding the desired product can be introduced into cells under the control of an inducible promoter, with the result that cells produced or as introduced into an individual do not express the product but can be  
5 induced to do so (i.e., production is induced after the transfected cells are produced but before implantation or after implantation). DNA encoding the desired product can, of course, be introduced into cells in such a manner that it is expressed upon introduction (i.e., without  
10 induction).

#### Selectable Markers

A variety of selectable markers can be incorporated into primary or secondary cells. For example, a selectable marker which confers a selectable phenotype such as  
15 drug resistance, nutritional auxotrophy, resistance to a cytotoxic agent or expression of a surface protein, can be used. Selectable marker genes which can be used include neo, gpt, dhfr, ada, pac, hyg and hisd. The selectable phenotype conferred makes it possible to identify and  
20 isolate recipient primary or secondary cells.

Selectable markers can be divided into two categories: positive selectable and negative selectable. In positive selection, cells expressing the positive selectable marker are capable of surviving treatment with  
25 a selective agent (such as neo, gpt, dhfr, ada, pac, hyg, mdrl and hisD). In negative selection, cells expressing the negative selectable marker are destroyed in the presence of the selective agent (e.g., tk, gpt).

#### DNA Constructs

30 DNA constructs, which include exogenous DNA and, optionally, DNA encoding a selectable marker, along with additional sequences necessary for expression of the

-25-

exogenous DNA in recipient primary or secondary cells, are used to transfect primary or secondary cells in which the encoded product is to be produced. The DNA construct can also include targeting sequences for homologous recombination with host cell DNA. DNA constructs which include exogenous DNA sequences which do not encode a gene product (and are the therapeutic product) and, optionally, include DNA encoding a selectable marker, can be used to transfect primary and secondary cells. Alternatively, infectious vectors, such as retroviral, herpes, adenovirus, adenovirus-associated, mumps and poliovirus vectors, can be used for this purpose.

In one embodiment of the present invention, a DNA construct which includes the exogenous DNA and additional sequences, such as sequences necessary for expression of the exogenous DNA, can be used (e.g., plasmid pXGH5 or plasmid pXEPO1). A DNA construct can include an inducible promoter which controls expression of the exogenous DNA, making inducible expression possible. Optionally, the DNA construct may include a bacterial origin of replication and bacterial antibiotic resistance markers, which allow for large-scale plasmid propagation in bacteria. A DNA construct which includes DNA encoding a selectable marker, along with additional sequences, such as a promoter, polyadenylation site and splice junctions, can be used to confer a selectable phenotype upon transfected primary or secondary cells (e.g., plasmid pcDNEO). The two DNA constructs are co-transfected into primary or secondary cells, using methods described herein. Alternatively, one DNA construct which includes exogenous DNA, a selectable marker gene and additional sequences (e.g., those necessary for expression of the exogenous DNA and for expression of the selectable marker gene) can be used. Such a DNA construct (e.g., plasmid PXGH301, which

-26-

includes the hGH gene and the neo gene, or plasmid pE3neoEPO which includes the EPO gene and the neo gene; these plasmids are described in Figures 3 and 6, respectively). Similar constructs, which include  
5 exogenous DNA encoding insulinotropin and additional sequences (e.g., sequences necessary for insulinotropin expression) can be produced (e.g., plasmid pXGLP1; see Example 11). These constructs can also include DNA  
10 encoding a selectable marker, as well as other sequences, such as a promoter, a polyadenylation site, and splice junctions.

In those instances in which DNA is injected directly into an individual, such as by injection into muscles, the DNA construct includes the exogenous DNA and regulatory  
15 sequences necessary and sufficient for expression of the encoded product (e.g., EPO) upon entry of the DNA construct into recipient cells.

In another embodiment of the present invention, DNA constructs, which include exogenous DNA encoding a desired  
20 product, targeting sequences for homologous recombination and, optionally, DNA encoding one or more selectable markers are used to transfect primary or secondary cells in which homologous recombination is to occur. In this embodiment, DNA sequences necessary for expression of the  
25 exogenous DNA will generally be present as well. DNA constructs which include exogenous DNA sequences which do not encode a gene product (and are the desired product) and, optionally, include DNA encoding a selectable marker, can also be used to transfect primary and secondary cells.

30 The exogenous DNA, targeting sequences and selectable marker can be introduced into cells on a single DNA construct or on separate constructs. The total length of the DNA construct will vary according to the number of components (exogenous DNA, targeting sequences, selectable

-27-

marker gene) and the length of each. The entire construct length will generally be at least 20 nucleotides. In a construct in which the exogenous DNA has sufficient homology with genomic DNA to undergo homologous recombination, the construct will include a single component, the exogenous DNA. In this embodiment, the exogenous DNA, because of its homology, serves also to target integration into genomic DNA and additional targeting sequences are unnecessary. Such a construct is useful to knock out, replace or repair a resident DNA sequence, such as an entire gene, a gene portion, a regulatory element or portion thereof or regions of DNA which, when removed, place regulatory and structural sequences in functional proximity. It is also useful when the exogenous DNA is a selectable marker.

In a third embodiment, the DNA construct includes exogenous DNA and one or more separate targeting sequences, generally located at both ends of the exogenous DNA sequence. Targeting sequences are DNA sequences normally present in the primary or secondary cell genome in the genome of the cells as obtained [e.g., an essential gene, a nonessential gene or noncoding DNA, or present in the genome through a previous modification]. Such a construct is useful to integrate exogenous DNA encoding a therapeutic product, such as a hormone, a cytokine, an antigen, an antibody, an enzyme, a clotting factor, a transport protein, a receptor, a regulatory protein, a structural protein, an anti-sense RNA, a ribozyme or a protein or a nucleic acid which does not occur in nature. In particular, exogenous DNA can encode one of the following: Factor VIII, Factor IX, erythropoietin, alpha-1 antitrypsin, calcitonin, glucocerebrosidase, growth hormone, low density lipoprotein (LDL) receptor, apolipoprotein E, IL-2 receptor and its antagonists,

-28-

insulin, globin, immunoglobulins, catalytic antibodies, the interleukins, insulin-like growth factors, superoxide dismutase, immune responder modifiers, parathyroid hormone, interferons, nerve growth factors, tissue plasminogen activators, and colony stimulating factors. Such a construct is also useful to integrate exogenous DNA which is a therapeutic product, such as DNA sequences sufficient for sequestration of a protein or nucleic acid in the transfected primary or secondary cell, DNA sequences which bind to a cellular regulatory protein, DNA sequences which alter the secondary or tertiary chromosomal structure and DNA sequences which are transcriptional regulatory elements into genomic DNA of primary or secondary cells.

15 In a fourth embodiment, the DNA construct includes exogenous DNA, targeting DNA sequences and DNA encoding at least one selectable marker. In this fourth embodiment, the order of construct components can be: targeting sequences-exogenous DNA-DNA encoding a selectable marker(s)-targeting sequences. In this embodiment, one or more selectable markers are included in the construct, which makes selection based on a selectable phenotype possible. Cells that stably integrate the construct will survive treatment with the selective agent; a subset of the stably transfected cells will be HR cells, which can be identified by a variety of techniques, including PCR, Southern hybridization and phenotypic screening.

In a fifth embodiment, the order of components in the DNA construct can be: targeting sequence-selectable marker 1 - targeting sequence - selectable marker 2. In this embodiment selectable marker 2 displays the property negative selection. That is, the gene product of selectable marker 2 can be selected against by growth in an appropriate media formulation containing an agent

-29-

(typically a drug or metabolite analog) which kills cells expressing selectable marker 2. Recombination between the targeting sequences flanking selectable marker 1 with homologous sequences in the host cell genome results in the targeted integration of selectable marker 1, while selectable marker 2 is not integrated. Such recombination events generate cells which are stably transfected with selectable marker 1 but not stably transfected with selectable marker 2, and such cells can be selected for by growth in the media containing the selective agent which selects for selectable marker 1 and the selective agent which selects against selectable marker 2.

In all embodiments of the DNA construct, exogenous DNA can encode one or more products, can be one or more therapeutic products or one or more of each, thus making it possible to deliver multiple products.

#### Transfection of Primary or Secondary Cells and Production of Clonal or Heterogenous Cell Strains

The method of the present invention is represented schematically in Figure 4. As shown, vertebrate tissue is first obtained; this is carried out using known procedures, such as punch biopsy or other surgical methods of obtaining a tissue source of the primary cell type of interest. For example, punch biopsy is used to obtain skin as a source of fibroblasts or keratinocytes. A mixture of primary cells is obtained from the tissue, using known methods, such as enzymatic digestion or explanting. If enzymatic digestion is used, enzymes such as collagenase, hyaluronidase, dispase, pronase, trypsin, elastase and chymotrypsin can be used.

The resulting primary cell mixture can be transfected directly or it can be cultured first, removed from the culture plate and resuspended before transfection is

-30-

carried out. Primary cells or secondary cells are combined with exogenous DNA to be stably integrated into their genomes and, optionally, DNA encoding a selectable marker, and treated in order to accomplish transfection.

5 The exogenous DNA and selectable marker-encoding DNA are each on a separate construct (e.g., pXGH5 and pcDNEO, see Figures 1 and 2) or on a single construct (e.g., pXGH301 and pE3neoEPO, see Figure 3 and Figure 6). An appropriate quantity of DNA is used to ensure that at least one stably

10 transfected cell containing and appropriately expressing exogenous DNA is produced. In general, 0.1 to 500  $\mu$ g DNA is used.

Using the present methods to introduce only a selectable marker gene, between 170 (1 in 588 starting

15 cells treated by electroporation, Example 6) and 2000 (1 in 49 starting cells treated by microinjection, Example 5) stably transfected cells are generated per 100,000 starting cells. Using the present methods to introduce a therapeutic gene as well as a selectable marker gene,

20 between 7 (1 in 14,705 starting cells treated by electroporation, Example 6) and 950 (1 in 105 starting cells treated by microinjection, Example 5) stably transfected cells are generated per 100,000 starting cells. Of these stable transfectants, from 43 to 90%

25 express the gene of therapeutic interest. Since only a single appropriately expressing cell is required, it is clearly possible to use substantially fewer starting cells. Conversely, using transfection techniques which are substantially less efficient than the present methods,

30 it would not be possible to obtain even a single such cell unless large amount of the individual's tissue is used as the source of starting cells.

In one embodiment of the present method of producing transfected primary or secondary cells, transfection is



-31-

effected by electroporation, as described in the Examples. Electroporation is carried out at appropriate voltage and capacitance (and corresponding time constant) to result in entry of the DNA construct(s) into the primary or  
5 secondary cells. Electroporation can be carried out over a wide range of voltages (e.g., 50 to 2000 volts) and corresponding capacitance. As described herein, electroporation is very efficient if carried out at an electroporation voltage in the range of 250-300 volts and  
10 a capacitance of 960  $\mu$ Farads. Total DNA of approximately 0.1 to 500  $\mu$ g is generally used. As described in the Examples, total DNA of 60  $\mu$ g and voltage of 250-300 volts with capacitance of 960  $\mu$ Farads for a time constant 14-20 of msec. has been used and shown to be efficient.

15 In another embodiment of the present method, primary or secondary cells are transfected using microinjection. See, for example, Example 5. Alternatively, known methods such as calcium phosphate precipitation, modified calcium phosphate precipitation and polybrene precipitation,  
20 liposome fusion and receptor-mediated gene delivery can be used to transfect cells. A stably transfected cell is isolated and cultured and subcultivated, under culturing conditions and for sufficient time, to propagate the stably transfected secondary cells and produce a clonal  
25 cell strain of transfected secondary cells. Alternatively, more than one transfected cell is cultured and subcultured, resulting in production of a heterogenous cell strain.

Transfected primary or secondary cells undergo a  
30 sufficient number of doublings to produce either a clonal cell strain or a heterogenous cell strain of sufficient size to provide the therapeutic product (e.g., EPO) to an individual in effective amounts. In general, for example, 0.1 cm<sup>2</sup> of skin is biopsied and assumed to contain 100,000

-32-

cells; one cell is used to produce a clonal cell strain and undergoes approximately 27 doublings to produce 100 million transfected secondary cells. If a heterogenous cell strain is to be produced from an original transfected  
5 population of approximately 100,000 cells, only 10 doublings are needed to produce 100 million transfected cells.

The number of required cells in a transfected clonal or heterogenous cell strain is variable and depends on a  
10 variety of factors, which include but are not limited to, the use of the transfected cells, the functional level of the exogenous DNA in the transfected cells, the site of implantation of the transfected cells (for example, the number of cells that can be used is limited by the  
15 anatomical site of implantation), and the age, surface area, and clinical condition of the patient. To put these factors in perspective, to deliver therapeutic levels of human growth hormone in an otherwise healthy 60 kg patient with isolated growth hormone deficiency, approximately one  
20 to five hundred million transfected fibroblasts would be necessary. This represents approximately the volume of cells present on the very tip of the patient's thumb.

#### Episomal Expression of Exogenous DNA

DNA sequences that are present within the cell yet do  
25 not integrate into the genome are referred to as episomes. Recombinant episomes may be useful in at least three settings: 1) if a given cell type is incapable of stably integrating the exogenous DNA; 2) if a given cell type is adversely affected by the integration of DNA; and 3) if a  
30 given cell type is capable of improved therapeutic function with an episomal rather than integrated DNA.

Using the transfection and culturing approaches to gene therapy described in the Examples, exogenous DNA in

-33-

the form of episomes can be introduced into vertebrate primary and secondary cells. Plasmid pXGH301 can be converted into such an episome by the addition DNA sequences for the Epstein-Barr virus origin of replication and nuclear antigen [Yates, J.L. Nature 319:780-7883 (1985)]. Alternatively, vertebrate autonomously replicating sequences can be introduced into the construct (Weidle, U.H. Gene 73(2):427-437 (1988). These and other episomally derived sequences can also be included in DNA constructs without selectable markers, such as pXGH5. The episomal exogenous DNA is then introduced into primary or secondary vertebrate cells as described in this application (if a selective marker is included in the episome a selective agent is used to treat the transfected cells).

#### Implantation of Clonal Cell Strains or Heterogenous Cell Strains of Transfected Secondary Cells

The transfected cells produced by the methods described above and in the Examples that follow, are introduced into an individual to whom the therapeutic product is to be delivered, using known methods. The clonal cell strain or heterogenous cell strain is then introduced into an individual, using known methods, using various routes of administration and at various sites (e.g., renal subcapsular, subcutaneous, central nervous system (including intrathecal), intravascular, intrahepatic, intrasplanchnic, intraperitoneal (including intraomental), or intramuscular implantation). Once implanted in the individual, the transfected cells produce the therapeutic product encoded by the exogenous DNA or are affected by the exogenous DNA itself. For example, an individual who has been diagnosed with Hemophilia B, a bleeding disorder that is caused by a deficiency in Factor

-34-

IX, a protein normally found in the blood, is a candidate for a gene therapy cure. The patient has a small skin biopsy performed; this is a simple procedure which can be performed on an out-patient basis. The piece of skin, approximately the size of a matchhead, is taken, for example, from under the arm and requires about one minute to remove. The sample is processed, resulting in isolation of the patient's cells (in this case, fibroblasts) and genetically engineered to produce the missing Factor IX. Based on the age, weight, and clinical condition of the patient, the required number of cells are grown in large-scale culture. The entire process usually requires 4-6 weeks and, at the end of that time, the appropriate number of genetically-engineered cells are introduced into the individual, once again as an out-patient (e.g., by injecting them back under the patient's skin). The patient is now capable of producing his or her own Factor IX and is no longer a hemophiliac.

A similar approach can be used to treat other conditions or diseases. For example, short stature can be treated by administering human growth hormone to an individual by implanting primary or secondary cells which express human growth hormone, or anemia can be treated by implanting primary or secondary cells which express EPO.

In addition to the previous examples, transfected cells, produced as described above, which contain insulinotropin-encoding DNA are delivered into an individual in whom insulin production, secretion, function and/or sensitivity is compromised. They are introduced into the individual by known methods and at various sites of administration (e.g., renal, subcapsular, subcutaneous, central nervous system (including intrathecal), intravascular, intrahepatic, intrasplanchnic, intraperitoneal (including intraomental) or intramuscular implantation).

-35-

Once implanted in the individual, the transfected cells produce insulinotropin encoded by the exogenous DNA. For example, an individual in whom insulin production, secretion or sensitivity is impaired can receive therapy or preventive treatment through the implantation of transfected cells expressing exogenous DNA encoding insulinotropin produced as described herein. The cells to be genetically engineered are obtained as described above, processed in a similar manner to produce sufficient numbers of cells, and introduced back into the individual.

As these examples suggest, the cells used will generally be patient-specific genetically-engineered cells. It is possible, however, to obtain cells from another individual of the same species or from a different species. Use of such cells might require administration of an immunosuppressant, alteration of histocompatibility antigens, or use of a barrier device to prevent rejection of the implanted cells.

In one embodiment, a barrier device is used to prevent rejection of implanted cells obtained from a source other than the recipient (e.g., from another human or from a non-human mammal such as a cow, dog, pig, goat, sheep or rodent). In this embodiment, transfected cells of the present invention are placed within the barrier device, which is made of a material (e.g., a membrane such as Amicon XM-50) which permits the product encoded by the exogenous DNA to pass into the recipient's circulation or tissues but prevents contact between the cells and the recipient's immune system and thus prevents an immune response to (and possible rejection of) the cells by the recipient. Alternatively, DNA encoding hGH, EPO or insulinotropin can be introduced into an individual by direct injection, such as into muscle or other appropriate site. In this embodiment, the DNA construct includes

-36-

exogenous DNA encoding the therapeutic product (e.g., EPO, insulinotropin) and sufficient regulatory sequences for expression of the exogenous DNA in recipient cells. After injection into the individual, the DNA construct is taken up by some of the recipient cells. The DNA can be injected alone or in a formulation which includes a physiologically compatible carrier (e.g., a physiological buffer) and, optionally, other components, such as agents which allow more efficient entry of the DNA construct into cells, stabilize the DNA or protect the DNA from degradation.

For many diseases, this will be a one-time treatment and, for others, multiple gene therapy treatments will be required.

#### 15 Uses of Transfected Primary and Secondary Cells and Cell Strains

Transfected primary or secondary cells or cell strains as described herein have wide applicability as a vehicle or delivery system for therapeutic products, such as enzymes, hormones, cytokines, antigens, antibodies, clotting factors, anti-sense RNA, regulatory proteins, transcription proteins, receptors, structural proteins, ribozymes, novel (non-naturally occurring) proteins and nucleic acid products, and engineered DNA. For example, transfected primary or secondary cells can be used to supply a therapeutic protein, including, but not limited to, Factor VIII, Factor IX, erythropoietin, alpha-1 antitrypsin, calcitonin, glucocerebrosidase, growth hormone, low density lipoprotein (LDL), apolipoprotein E, receptor IL-2 receptor and its antagonists, insulin, globin, immunoglobulins, catalytic antibodies, the interleukins, insulin-like growth factors, superoxide dismutase, immune responder modifiers, parathyroid hormone

-37-

and interferon, nerve growth factors, tissue plasminogen activators, and colony stimulating factors.

Alternatively, transfected primary and secondary cells can be used to immunize an individual (i.e., as a vaccine).

5       The wide variety of uses of cell strains of the present invention can perhaps most conveniently be summarized as shown below. The cell strains can be used to deliver the following therapeutic products.

- 10       1. a secreted protein with predominantly systemic effects;
2. a secreted protein with predominantly local effects;
3. a membrane protein imparting new or enhanced cellular responsiveness;
- 15       4. membrane protein facilitating removal of a toxic product;
5. a membrane protein marking or targeting a cell;
6. an intracellular protein;
7. an intracellular protein directly affecting gene expression;
- 20       8. an intracellular protein with autolytic effects;
9. gene product-engineered DNA which binds to or sequesters a regulatory protein;
10. a ribozyme; and
11. antisense-engineered RNA to inhibit gene expression.

25       The transfected primary or secondary cells of the present invention can be used to administer therapeutic proteins (e.g., hormones, enzymes, clotting factors) which are presently administered intravenously, intra-muscularly or subcutaneously, which require patient cooperation and,  
30       often, medical staff participation. When transfected primary or secondary cells are used, there is no need for extensive purification of the polypeptide before it is

-38-

administered to an individual, as is generally necessary with an isolated polypeptide. In addition, transfected primary or secondary cells of the present invention produce the therapeutic product as it would normally be  
5 produced.

An advantage to the use of transfected primary or secondary cells of the present invention is that by controlling the number of cells introduced into an individual, one can control the amount of the product  
10 delivered to the body. In addition, in some cases, it is possible to remove the transfected cells if there is no longer a need for the product. A further advantage of treatment by use of transfected primary or secondary cells of the present invention is that production of the  
15 therapeutic product can be regulated, such as through the administration of zinc, steroids or an agent which affects translation or transcription of a protein, product or nucleic acid product or affects the stability of a nucleic acid product.

20 Glucagon-like peptide 1 (GLP-1) and glucagon-like peptide 1 derivatives (GLP-1 derivatives) are additional molecules that can be delivered therapeutically using the in vivo protein production and delivery system described in the present invention. GLP-1 derivatives include  
25 truncated derivatives GLP-1(7-37), GLP-1(7-36), GLP-1(7-35) GLP-1(7-34) and other truncated carboxy-terminal amidated derivatives and derivatives of GLP-1 which have amino acid substitutions, deletions, additions or other alterations (e.g., addition of a non-amino acid component)  
30 which result in biological activity or stability in the blood which is substantially the same as that of a truncated GLP-1 derivative or enhanced biological activity or stability in the blood (greater than that of a truncated GLP-1 derivative). As used herein, the term



-39-

GLP-1 derivative includes all of the above-described molecules. The term GLP-1 related peptide, as used herein, includes GLP-1 and GLP-1 derivatives. GLP-1 derivatives, also known as insulinotropins or incretins, are normally secreted into the circulation by cells in the gastrointestinal tract. In vivo studies have demonstrated that these peptides function to stimulate insulin secretion and inhibit glucagon secretion from the endocrine pancreas, as well as increase insulin sensitivity in peripheral tissues [Goke, R. et al. (1991) Eur. J. Clin. Inv. 21:135-144; Gutnia, M. et al. (1992) New Engl. J. Med. 326:1316-1322]. Patients with non-insulin dependent diabetes mellitus (NIDDM) are often treated with high levels of insulin to compensate for their decreased insulin sensitivity. Thus, the stimulation of insulin release and the increase in insulin sensitivity by GLP-1 derivatives would be beneficial for NIDDM patients. Of particular importance is the fact that the insulinotropin-induced stimulation of insulin secretion is strongly dependent on glucose levels, suggesting that these peptides act in response to increases in blood glucose in vivo to potentiate insulin release and, ultimately, lower blood glucose.

Replacement of a Regulatory Sequence of a Gene by  
25 Homologous Recombination

As taught herein, gene targeting can be used to replace a gene's existing regulatory region with a regulatory sequence isolated from a different gene or a novel regulatory sequence synthesized by genetic engineering methods. Such regulatory sequences may be comprised of promoters, enhancers, Scaffold-attachment regions, negative regulatory elements, transcriptional initiation sites, regulatory protein binding sites or

-40-

combinations of said sequences. (Alternatively, sequences which affect the structure or stability of the RNA or protein produced may be replaced, removed, added, or otherwise modified by targeting, including polyadenylation signals, mRNA stability elements, splice sites, leader sequences for enhancing or modifying transport or secretion properties of the protein, or other sequences which alter or improve the function or stability of protein or RNA molecules).

Several embodiments are possible. First, the targeting event may be a simple insertion of the regulatory sequence, placing the gene under the control of the new regulatory sequence (for example, inserting a new promoter or enhancer or both upstream of a gene). Second, the targeting event may be a simple deletion of a regulatory element, such as the deletion of a tissue-specific negative regulatory element. Third, the targeting event may replace an existing element; for example, a tissue-specific enhancer can be replaced by an enhancer that has broader or different cell-type specificity than the naturally-occurring elements. In this embodiment the naturally occurring sequences are deleted and new sequences are added. In all cases, the identification of the targeting event may be facilitated by the use of one or more selectable marker genes that are contiguous with the targeting DNA, allowing for the selection of cells in which the exogenous DNA has integrated into the host cell genome. The identification of the targeting event may also be facilitated by the use of one or more marker genes exhibiting the property of negative selection, such that the negatively selectable marker is linked to the exogenous DNA, but configured such that the negatively selectable marker flanks the targeting sequence, and such that a correct homologous recombination

-41-

event with sequences in the host cell genome does not result in the stable integration of the negatively selectable marker. Markers useful for this purpose include the Herpes Simplex Virus thymidine kinase (TK) gene or the bacterial xanthine-guanine phosphoribosyl-transferase (gpt) gene.

The present invention will now be illustrated by the following examples, which are not intended to be limiting in any way.

10

## EXAMPLES

EXAMPLE 1. ISOLATION OF FIBROBLASTSa. Source of Fibroblasts

Human fibroblasts can be obtained from a variety of tissues, including biopsy specimens derived from liver, kidney, lung and skin. The procedures presented here are optimized for the isolation of skin fibroblasts, which are readily obtained from individuals of any age with minimal discomfort and risk (embryonic and fetal fibroblasts may be isolated using this protocol as well). Minor modifications to the protocol can be made if the isolation of fibroblasts from other tissues is desired.

Human skin is obtained following circumcision or punch biopsy. The specimen consists of three major components: the epidermal and dermal layers of the skin itself, and a fascial layer that adheres to the dermal layer. Fibroblasts can be isolated from either the dermal or fascial layers.

b. Isolation of Human Fascial Fibroblasts

Approximately 3 cm<sup>2</sup> tissue is placed into approximately 10 ml of wash solution (Hank's Balanced Salt Solution containing 100 units/ml penicillin G, 100 µg/ml

-42-

streptomycin sulfate, and 0.5  $\mu$ g/ml Fungisone) and subjected to gentle agitation for a total of three 10-minute washes at room temperature. The tissue is then transferred to a 100 mm tissue culture dish containing  
5 10 ml digestion solution (wash solution containing 0.1 units/ml collagenase A, 2.4 units/ml grade II Dispase).

Under a dissecting microscope, the skin is adjusted such that the epidermis is facing down. The fascial  
10 tissue is separated from the dermal and epidermal tissue by blunt dissection. The fascial tissue is then cut into small fragments (less than 1 mm) and incubated on a rotating platform for 30 min at 37°C. The enzyme/cell suspension is removed and saved, an additional 10 cc of  
15 digestion solution is added to the remaining fragments of tissue, and the tissue is reincubated for 30 min at 37°C. The enzyme/cell suspensions are pooled, passed through a 15-gauge needle several times, and passed through a Collector Sieve (Sigma) fitted with a 150-mesh screen.  
20 The cell suspension is centrifuged at 1100 rpm for 15 min at room temperature. The supernatant is aspirated and the disaggregated cells resuspended in 10 ml of nutrient medium (see below). Fibroblast cultures are initiated on tissue culture treated flasks (Corning) at a density of  
25 approximately 40,000 cells/cm<sup>2</sup>.

c. Isolation of Human Dermal Fibroblasts

Fascia is removed from skin biopsy or circumcision specimen as described above and the skin is cut into small fragments less than 0.5 cm<sup>2</sup>. The tissue is incubated with  
30 0.25% trypsin for 60 min at 37°C (alternatively, the tissue can be incubated in trypsin for 18 hrs at 4°C). Under the dissecting microscope, the dermis and epidermis

-43-

are separated. Dermal fibroblasts are then isolated as described above for fascial fibroblasts.

d. Isolation of Rabbit Fibroblasts

The procedure is essentially as described above.

- 5 Skin should be removed from areas that have been shaved and washed with a germicidal solution surgically prepared using accepted procedures.

EXAMPLE 2. CULTURING OF FIBROBLASTS

a. Culturing of Human Fibroblasts

- 10 When confluent, the primary culture is trypsinized using standard methods and seeded at approximately 10,000 cells/cm<sup>2</sup>. The cells are cultured at 37°C in humidified air containing 5% CO<sub>2</sub>. Human fibroblast nutrient medium (containing DMEM, high glucose with sodium pyruvate,  
15 10-15% calf serum, 20 mM HEPES, 20 mM L-glutamine, 50 units/ml penicillin G, and 10 µg/ml streptomycin sulfate) is changed twice weekly.

b. Culturing of Rabbit Fibroblasts.

- 20 The cells are trypsinized and cultured as described for human fibroblasts. Rabbit fibroblast nutrient medium consists of a 1:1 solution of MCDB-110 (Sigma) with 20% calf serum and conditioned medium. Conditioned medium is essentially human fibroblast nutrient medium (with 15% calf serum) removed from rabbit fibroblasts grown in mass  
25 culture for 2-3 days.

EXAMPLE 3. CONSTRUCTION OF A PLASMID (pXGH301) CONTAINING BOTH THE HUMAN GROWTH HORMONE AND NEOMYCIN RESISTANCE GENES

- 30 pXGH301 was constructed by a two-step procedure. The SalI-ClaI fragment from pBR322 (positions 23-651 in

-44-

pBR322) was isolated and inserted into SaII-ClaI digested pcD NEO, introducing a BamHI site upstream of the SV40 early promoter region of pcDNEO. This plasmid, pBNEO was digested with BamHI, and the 2.1 kb fragment containing the neo gene under the control of the SV40 early promoter, was isolated and inserted into BamHI digested pXGH5. A plasmid with a single insertion of the 2.1 kb BamHI fragment was isolated in which neo and hGH are transcribed in the same direction relative to each other. This plasmid was designated pXGH301 (Figure 3).

EXAMPLE 4. CONSTRUCTION OF A PLASMID (pXEPO1) CONTAINING THE HUMAN ERYTHROPOIETIN GENE UNDER THE CONTROL OF THE MOUSE METALLOTHIONEIN PROMOTER

The expression plasmid pXEPO1 has the hEPO gene under the transcriptional control of the mouse metallothionein (mMT) promoter. pXEPO1 is constructed as follows: Plasmid pUC19 (ATCC #37254) is digested with KpnI and BamHI and ligated to a 0.7 kb KpnI-BgIII fragment containing the mouse metallothionein promoter [Hamer, D.H. and Walling, M., J. Mol. Appl. Gen., 1:273-288 (1982). This fragment can also be isolated by known methods from mouse genomic DNA using PCR primers designed from analysis of mMT sequences available from Genbank; i.e. MUSMTI, MUSMTIP, MUSMTIPRM]. The resulting clone is designated pXQM2.

The hEPO gene was isolated by from a bacteriophage lambda clone containing the entire hEPO gene. This bacteriophage was isolated by screening a human Sau3A--partial genomic DNA library (Stratagene) constructed in the lambda vector LAMBDA DASH with 0.77 kb fragment of the human gene. This 0.77 kb fragment was amplified from human genomic DNA using the primers shown below in the polymerase chain reaction (PCR).

-45-

## HUMAN EPO PCR PRIMERS:

Oligo hEPO-1: 5'GTTTGCTCAGCTTGGTGCTTG (SEQ ID NO 1)  
(positions 2214-2234 in the Genbank HUMERPA sequence)

Oligo hEPO-2: 5'TCAAGTTGGCCCTGTGACAT (SEQ ID NO 2)  
5 (positions 2986-2967 in the Genbank HUMERPA sequence)

The amplified fragment, encompassing exons 4 and 5 of the human EPO gene, was radiolabelled and used to screen the human genomic DNA library. Phage with a 5.4 kb HindIII-BamHI fragment containing the entire human EPO gene were assumed to contain the entire gene, based on published DNA sequence and restriction enzyme mapping data [Lin, F-K., et al., Proc. Natl. Acad. Sci. USA, 82:7580-7584 (1985)].

A 4.8 kb BstEII-BamHI fragment (BstEII site is at position 580 in Genbank HUMERPA sequence; the BamHI site is 4.8 kb 3' of this site, outside of the sequenced region) was isolated from the bacteriophage clone. The purified fragment is made blunt-ended by treatment with the Klenow fragment of E. coli DNA polymerase and ligated to HincII digested pXQM2, which cuts in the pUC19-derived polylinker adjacent to the 3' side of the subcloned mMT promoter. One orientation, in which the ablated BstEII site is proximal to the mMT promoter, was identified by restriction mapping and designated pXEPO1 (Figure 5).

25 EXAMPLE 5. STABLE TRANSFECTION OF PRIMARY HUMAN  
FIBROBLASTS BY MICROINJECTION

Direct injection of DNA into cell nuclei is another method for stably transfecting cells. The ability of primary and secondary human foreskin fibroblasts to be stably transfected by this method has not been previously reported. The 8 kb HindIII fragment from plasmid RV6.9h

-46-

(Zheng, H. et al., Proc. Natl. Acad. Sci. USA 88:18 8067-8071 (1991)) was purified by gel electrophoresis and passage through an anion exchange column (QIAGEN Inc.). DNA at (10 µg/ml) was injected into primary or secondary  
5 human foreskin fibroblasts using 0.1 µm outer diameter glass needles. 41 G418<sup>r</sup> clones were isolated after injection of 2,000 cells (1 in 49 starting cells).

hGH expressing clones were also generated by micro-injection. Plasmid pXGH301 was linearized by ScaI  
10 digestion (which cuts once within the amp<sup>r</sup> gene in the pUC12 backbone), purified by passage through an anion exchange column (QIAGEN Inc.), and injected into secondary human foreskin fibroblasts using 0.1 µm outer diameter glass needles. Several DNA concentrations were used,  
15 ranging from 2.5-20 µg pXGH301/ml. Twenty G418 resistant clones were isolated after microinjection into 2,100 cells (1 in 105 starting cells). The fraction of G418 cells, is approximately 1% of all cells treated. Nine of 10 clones analyzed were expressing hGH, with average hGH expression  
20 being 0.6 µg/10 cells/24 hr for clones isolated in this experiment, and 3 clones were expanded for studying long-term expression of hGH. All 3 were expressing hGH stably, with hGH still being produced through 33, 44, and 73 mpd for the 3 strains, respectively.

25 The methods described above may be used to obtain stable transfection of primary human fibroblasts with other DNA constructs, for example pE3neoEPO.

EXAMPLE 6. TRANSFECTION OF PRIMARY AND SECONDARY  
FIBROBLASTS WITH EXOGENOUS DNA AND A  
30 SELECTABLE MARKER GENE BY ELECTROPORATION

Exponentially growing or early stationary phase fibroblasts are trypsinized and rinsed from the plastic surface with nutrient medium. An aliquot of the cell



-47-

suspension is removed for counting, and the remaining cells are subjected to centrifugation. The supernatant is aspirated and the pellet is resuspended in 5 ml of electroporation buffer (20 mM HEPES pH 7.3, 137 mM NaCl, 5 mM KCl, 0.7 mM Na<sub>2</sub>HPO<sub>4</sub>, 6 mM dextrose). The cells are recentrifuged, the supernatant aspirated, and the cells resuspended in electroporation buffer containing 1 mg/ml acetylated bovine serum albumin. The final cell suspension contains approximately  $3 \times 10^6$  cells/ml.

10 Electroporation should be performed immediately following resuspension.

Supercoiled plasmid DNA is added to a sterile cuvette with a 0.4 cm electrode gap (Bio-Rad). The final DNA concentration is generally at least 120  $\mu$ g/ml. 0.5 ml of the cell suspension (containing approximately  $1.5 \times 10^6$  cells) is then added to the cuvette, and the cell suspension and DNA solutions are gently mixed. Electroporation is performed with a Gene-Pulser apparatus (Bio-Rad). Capacitance and voltage are set at 960  $\mu$ F and 250-300 V, respectively. As voltage increases, cell survival decreases, but the percentage of surviving cells that stably incorporate the introduced DNA into their genome increases dramatically. Given these parameters, a pulse time of approximately 14-20 mSec should be observed.

25 Electroporated cells are maintained at room temperature for approximately 5 min, and the contents of the cuvette are then gently removed with a sterile transfer pipette. The cells are added directly to 10 ml of prewarmed nutrient media (as above with 15% calf serum) in a 10 cm dish and incubated as described above. The following day, the media is aspirated and replaced with 10 ml of fresh media and incubated for a further 16-24 hrs. Subculture of cells to determine cloning efficiency and to select for G418-resistant colonies is performed the

-48-

following day. Cells are trypsinized, counted and plated; typically, fibroblasts are plated at  $10^3$  cells/10 cm dish for the determination of cloning efficiency and at  $1-2 \times 10^4$  cells/10 cm dish for G418 selection.

- 5 Human fibroblasts are selected for G418 resistance in medium consisting of 300-400  $\mu\text{g/ml}$  G418 (Geneticin, disulfate salt with a potency of approximately 50%; Gibco) in fibroblasts nutrient media (with 15% calf serum). Cloning efficiency is determined in the absence of G418.
- 10 The plated cells are incubated for 12-14 days, at which time colonies are fixed with formalin, stained with crystal violet and counted (for cloning efficiency plates) or isolated using cloning cylinders (for G418 plates). Electroporation and selection of rabbit fibroblasts is
- 15 performed essentially as described for human fibroblasts, with the exception of the selection conditions used. Rabbit fibroblasts are selected for G418 resistance in medium containing 1  $\text{gm/ml}$  G418.

- Fibroblasts were isolated from freshly excised human
- 20 foreskins. Cultures were seeded at 50,000 cells/cm in DMEM + 10% calf serum. When cultures became confluent fibroblasts were harvested by trypsinization and transfected by electroporation. Electroporation conditions were evaluated by transfection with the plasmid pCDNEO. A
- 25 representative electroporation experiment using near optimal conditions (60  $\mu\text{g}$  of plasmid pCDNEO at an electroporation voltage of 250 volts and a capacitance setting of 960  $\mu\text{Farads}$ ) resulted in one G418 coloney per
- 30 588 treated cells (0.17% of all cells treated), or one G418 colony per 71 clonable cells (1.4%).

When nine separate electroporation experiments at near optimal conditions (60  $\mu\text{g}$  of plasmid pCDneo at an electroporation voltage of 300 volts and a capacitance setting of 960  $\mu\text{Farads}$ ) were performed, an average of one

-49-

G418 colony per 1,899 treated cells (0.05%) was observed, with a range of 1/882 to 1/7,500 treated cells. This corresponds to an average of one G418 colony per 38 clonable cells (2.6%).

5 Low passage primary human fibroblasts were converted to hGH expressing cells by co-transfection with plasmids; pCDNEO and pXGH5. Typically, 60  $\mu$ g of an equimolar mixture of the two plasmids were transfected at near optimal conditions (electroporation voltage of 300 volts and a capacitance setting of 960  $\mu$ Farads). The results of such an experiment resulted in one G418 colony per 14,705 treated cells.

hGH expression data for these and other cells isolated under identical transfection conditions are summarized below. Ultimately, 98% of all G418<sup>r</sup> colonies could be expanded to generate mass cultures.

Number of G418 <sup>r</sup> Clones		
	Analyzed	154
Number of G418 <sup>r</sup> /hGH		
20	Expressing Clones	65
Average hGH Expression		
	Level	2.3 $\mu$ g hGH/ $10^6$ Cells/24 hr
Maximum hGH Expression		
	Level	23.0 $\mu$ g hGH/ $10^6$ Cells/24 hr

25 Stable transfectants also have been generated by electroporation of primary or secondary human fibroblasts with pXGH301, a DNA construct in which the neo and hGH genes are present on the same plasmid molecule (Example 3). For example,  $1.5 \times 10^6$  cells were electroporated with 30 60  $\mu$ g pXGH301 at 300 volts and 960  $\mu$ Farads. G418 resistant colonies were isolated from transfected secondary fibroblasts at a frequency of 652 G418 resistant

-50-

colonies per  $1.5 \times 10$  treated cells (1 per 2299 treated cells). Approximately 59% of these colonies express hGH.

EXAMPLE 7. ISOLATION OF TRANSFECTANTS IN THE ABSENCE OF SELECTION

5       Stable transfection of primary fibroblasts with the plasmid pXGH5 renders recipient fibroblasts capable of secreting human growth hormone (hGH) into the surrounding medium. Therefore, a radioimmunoassay for hGH (or for any expressed protein) can be used as a simple and rapid  
10       screen for transfectants as an alternative to the use of selective markers and selective agents. In addition, it should be possible to determine the true frequency of stable integration of exogenous DNA using a screening method such as PCR which does not necessarily rely on gene  
15       expression.

      The results of experiments to be discussed below demonstrated that it was indeed possible to isolate transfectants stably expressing hGH without selection of a cotransfected plasmid. These experiments have been  
20       successful for primary human foreskin fibroblasts and primary rabbit skin fibroblasts. The frequency of stably expressing transfectants was approximately 1 in 10 colonies.

1.   Human Foreskin Tissue

25       Approximately  $2.0 \times 10^6$  human cells that were freshly dissociated from tissue were electroporated with 60  $\mu$ g of pXGH5 at 300 volts, 960  $\mu$ Farads. The cells were plated immediately in a 100 mm tissue culture dish containing 10 ml of prewarmed medium and incubated at 37°C in a  
30       humidified 5% CO<sub>2</sub> atmosphere. Two days following transfection,  $5 \times 10^3$  cells were subcultured into a 24 well cloning plate (Bellco Glass Co.). Each well of the

-51-

24 well plate contained 16 smaller wells (384 wells/plate). Eight days later 10 of 24 large wells were screened for hGH expression via radioimmune assay. All ten wells exhibited significant hGH expression. The media was aspirated, replaced with fresh media and assayed for hGH 24 hours later. Again all ten wells were expressing significant levels of hGH. Colony counts were performed for each of the ten wells at this time. An average of 11.5 colonies per large well was observed. If one assumes a minimum of one stable transfectant per well, a lower limit frequency of transfection of approximately 8-9% of clonable cells can be calculated.

Individual colonies in each of the 16 small wells within one of these larger wells were trypsinized and transferred to 16 wells of a 96 well plate. Three days later each of these wells was assayed for hGH expression. One of the 16 was found to contain cells expressing hGH. The cells in this well were expanded in culture. These cells, HF26-19M, were producing 260 ng hGH/ $10^6$  cells/24 hr after 42 mpd in culture.

The above experiment was repeated using another primary human foreskin fibroblast culture. From this experiment a second clone expressing hGH was isolated. After 24 mpd in culture these cells, HF24-GH1, were producing 60 ng hGH/10 cells/24 hr. hGH production continued until the cells reached 45 mpd, at which point the cells were frozen away. The lower limit transfection frequency was similar to the first experiment (6-7% of clonable cells).

## 2. Primary Rabbit Fibroblasts

Primary rabbit skin cells were transfected with pXGH5. The electroporation conditions were identical to the human tissue electroporation described above.  $1 \times 10^3$

-52-

cells were subcultured into a 384 well plate. Seven days later, hGH assays were performed for 10 of the 24 larger wells. Nine of the ten wells were expressing hGH. One of these wells was chosen for colony isolation. This well  
5 contained nine colonies dispersed among the 16 small wells. Cells in each of the 16 small wells were trypsinized and transferred to 16 wells of a 96 well plate. Subsequent hGH assays showed that one of these 16 wells was expressing hGH. This clone was expanded in  
10 culture. hGH production was at 58 ng/10 cells/24 hr after 35 mpd in culture. The estimated transfection frequency for this experiment was 1 colony expressing hGH out of nine total colonies (11%).

EXAMPLE 8. LONG TERM IN VITRO hGH PRODUCTION BY CELL  
15 STRAINS DERIVED FROM TRANSFECTED PRIMARY HUMAN  
SKIN FIBROBLASTS

Fibroblasts were isolated from freshly excised human skin fibroblasts and cultured in DMEM + 10% calf serum. Electroporation (250 volts, 960  $\mu$ Farads) with 60  $\mu$ g of an  
20 equimolar mixture of pcDNEO and pXGH5 was performed and treated cells were selected in G418-containing medium (300  $\mu$ g/ml G418). Colonies were isolated and expanded using standard methods, and the resulting primary cell  
25 strains were subcultured repeatedly in order to monitor the stability of hGH expression as a function of time in culture. Data derived from two such strains, HF96-11 and HF96-23, are shown in Figure 9. Cells were maintained in a low level of G418 (75  $\mu$ g/ml G418) in DMEM + 10% calf  
30 serum and subcultured at a seeding density of 10,000 cells/cm<sup>2</sup>. Culture medium was changed 24 hr prior to harvesting the cells for passaging. At the time of passage an aliquot of the culture medium was removed for hGH assay and the cells were then harvested, counted, and

-53-

reseeded. hGH concentration in the medium was determined using a commercially available immunoassay. hGH levels (expressed as  $\mu\text{g hGH}/10^6$  cells/24 hr) were plotted for various culture passage numbers from 9 to 28 passages.

- 5 Results of these assays show that hGH expression remains remarkably constant throughout this extended in vitro culture interval (for example, data derived from two different strains show that HF96-11 at passage 28 reached 69 mpd, while HF96-23 at passage 27 reached 76 mpd).

10 EXAMPLE 9. LONG TERM IN VITRO hGH PRODUCTION BY CELL STRAINS DERIVED FROM TRANSFECTED PRIMARY AND SECONDARY RABBIT SKIN FIBROBLASTS

- Fibroblasts were isolated from freshly excised rabbit skin and cultured in DMEM + 10% calf serum. Electroporation (250 volts, 960  $\mu\text{Farads}$ ) with 60  $\mu\text{g}$  of an equimolar mixture of pcD NEO and pXGH5 was performed and treated cells were selected in G418-containing medium (1 mg/ml G418). Colonies were isolated using cloning cylinders and expanded using standard methods, and the resulting primary cell strains were subcultured repeatedly in order to monitor the stability of hGH expression as a function of time in culture. Data derived from one such strain, RF40/1-3 are shown in Figure 10. RF40/1-3 cells were maintained in the absence of selection in rabbit fibroblast nutrient medium and subcultured at a seeding density of 10,000 cells/cm<sup>2</sup>. Culture medium was changed 24 hr prior to harvesting the cells for passaging. At the time of passage an aliquot of the culture medium was removed for hGH assay and the cells were then harvested, counted, and reseeded. hGH concentration in the medium was determined using a commercially available immunoassay. (Nichols Institute) hGH levels (expressed as  $\mu\text{g hGH}/10^6$  cells/24 hr) are plotted for culture passage numbers, 4,

-54-

8, 10, 13, 16, 19, and 22, corresponding to culture mean population doubling (mpd) levels of approximately 28 through 84. The results of these assays demonstrate that hGH expression remains remarkably constant throughout this  
5 extended in vitro culture interval and virtually identical to the levels observed at approximately 20 mpd in culture (47  $\mu$ g hGH/ $10^6$  cells/24 hr).

EXAMPLE 10. LONG-TERM EXPRESSION OF HUMAN GROWTH HORMONE  
IN MICE

10 The nude mouse provides a valuable system to study implants of genetically engineered cells for their ability to deliver therapeutically useful proteins to an animal's general circulation. The relative immune-incompetence of these animals may allow certain primary and secondary  
15 rabbit fibroblasts to survive in vivo for extended periods.

For implantation of cells into the subrenal capsule, mice are given intraperitoneal injection of Avertin (a solution of 2% w/v 2,2,2 tribromoethanol and 2% v/v  
20 2-methyl, 2-butanol.) at a dose of 0.017 ml/g body weight. The kidney (generally the left kidney) is approached through an 8-10 mm incision made approximately 3 mm below the rib cage. The skin, abdominal musculature, peritoneum, and perirenal fascia are retracted to expose the  
25 kidney. A small forcep is used to pull the kidney out of the abdominal cavity. A 27-gauge hypodermic needle is used to make a small opening in the renal capsule. Using a 20-gauge I.V. catheter, cells to be implanted (typically 3 million cells in a volume of 5-10  $\mu$ l) are  
30 withdrawn into a 1 ml syringe and slowly ejected under the renal capsule. Care is taken to ensure that the cells are released distal to the opening in the renal capsule. The incision is closed with one staple through the musculature



-55-

and the skin. Blood is collected after placing the mouse in a large beaker containing methoxyflurane until light anesthesia is achieved. The tip of a Pasteur pipette is placed between the eye and the periorbital space to collect blood from the orbital sinus. Serum hGH levels are determined using a commercially available kit (Nichol's Institute).

In our initial experiment, a nude mouse was implanted with 5 million transfected rabbit fibroblast cells (strain RF20-11). This mouse has displayed detectable hGH in its serum for one year. The time course of expression is shown below.

Month	1	2	3	4	6	12
Serum hGH (ng/ml):	0.7	0.7	0.8	0.6	0.7	0.6

A larger experiment was then performed in which animals were implanted with a rabbit fibroblast strain (RF40/1-3) expressing 96  $\mu\text{g}/10$  cells/24 hr. Results from this experiment are shown in Figure 11. The bars represent standard errors, and N indicates the number of mice bled at each time point. These results demonstrate that average serum hGH levels remained relatively constant for over 14 months, averaging from 1-3 ng/ml since implantation. No side effects of any type arising from the implanted cells have been observed over this time period.

#### EXAMPLE 11. RECULTURING hGH PRODUCING CELLS FROM SUBRENAL CAPSULE IMPLANTS

A stringent test of the viability of implanted cells is their ability to grow and display their preimplantation properties when removed from animals and recultured in vitro. RF40/1-3 cells ( $3 \times 10^6$  cells per mouse)

-56-

expressing  $24.5 \mu\text{g hGH}/10^6$  cells/24 hr were implanted under the subrenal capsule of nude mice. These cells had undergone 49 mpd in culture prior to implantation. After either 5 or 10 weeks in vivo, the animals were sacrificed, kidneys were harvested, and cells in the vicinity of the implant (clearly visible on the surface of the kidney) were microdissected out. The resulting tissue was subjected to a scaled-down version of a standard enzymatic cell dispersion protocol (Example 6), and the single cell suspension was placed in G418-containing medium. Cell culture data was obtained from 5 recultured implants from mice having 5-week-old implants, and 4 recultured implants from mice having 10-week-old implants. The recovered cells were propagated for 19 mpd in culture and the hGH levels were analyzed at various times after placing in culture, ranging from about 10 to about 50 days in culture. Results from these experiments are shown in Figure 12. Each point in Figure 12 represents the average (with standard deviation) of at least four separate recovery experiments. In experiments involving both the 5-week-old implants and the 10-week-old implants, G418<sup>r</sup> cells expressing high levels of hGH were recovered, with hGH expression being relatively stable over the 19 in vitro population doublings post-recovery.

25 EXAMPLE 12. EXPRESSION OF HUMAN GROWTH HORMONE AND  
PRODUCTION OF HIGH TITER ANTI-hGH ANTI-SERA IN  
RABBITS IMPLANTED WITH TRANSFECTED AUTOLOGOUS  
CELLS

30 An experiment that explores all of the technical and logistical requirements for performing autologous gene therapy was performed in rabbits. First, skin biopsies were taken from 8 living rabbits. Skin fibroblasts were isolated and placed in primary culture. After one passage

-57-

in vitro, cells were transfected with either pXGH301 or cotransfected with pXGH5 and pcDNEO. G418 resistant clones were isolated and analyzed for hGH expression. Clones expressing greater than  $10 \mu\text{g}/10^6$  cells/day were expanded into roller bottles. Finally, cells from each clone were harvested from roller bottles and prepared for either SRC implantation or IP injection into the same rabbit used as the donor for the skin biopsy.

To isolate rabbit skin fibroblasts, the rabbit is anesthetized with an intramuscular injection of Ketamine-HCl (50 mg/kg body weight) and Xylazine-HCl (5 mg/kg body weight) until sedation is achieved. The animal is shaved in the area, for example, above the right pelvic joint and prepped for surgery using accepted procedures. Two arc-shaped incisions are made approximately 3 cm apart which are joined at the dorsal and ventral areas to form an oval-shaped patch. A serrated forcep is used to remove the epidermis and a small scissor is used to remove the underlying fascia. The dermis and fascia are placed in culture medium and the incision is closed with a 3-0 nylon suture. Rabbits are placed on a heating pad for recovery. Fascial fibroblasts are cultured, transfected, and selected as described in Example 1, 2, and 4.

For implantation of cells into the renal capsule, the rabbits are anesthetized as described above. The animal is placed in a right side lateral recumbent position exposing the area below the left rib cage. The animal is shaved and prepped according to accepted procedures. The left kidney is approached through a 6 cm dorso-ventral incision approximately 2 cm below the twelfth rib. The incision is carried through the abdominal musculature, peritoneum, and peri-renal fat and fascia. A Balfour retractor is used to maintain the abdominal opening while dissection of peri-renal fat and fascia is performed.

-58-

Using an 11-gauge surgical blade, a 2-3 mm incision is made at the surface of the renal capsule. Using a 20-gauge I.V. catheter, cells to be implanted (typically 100-200 million cells in a volume of 165-660  $\mu$ l) are drawn  
5 into a 1 ml syringe and slowly ejected under the renal capsule. Care is taken to ensure that the cells are released distal to the opening in the renal capsule. The peritoneal cavity is closed by suturing the peritoneum and abdominal muscle with 3-0 adsorbable chromic gut. The skin  
10 is closed with a 3-0 nylon suture and a sterile gauze bandage and antibiotic ointment (Bactrin) is applied to the wound. Rabbits are placed on a heating pad for recovery. Systemic antibiotics (Tribrissen) are administered after skin biopsy and implantation. For  
15 introducing cells by the intraperitoneal (IP) route, cells (700-1100 million in a volume of 3.5-5.5 ml) are injected through a 22-gauge needle. Blood is collected from the middle auricular artery in restrained animals using a 22-gauge needle.

20 The relevant information on hGH expression levels and numbers of cells introduced into animals is listed in Table 1. In all eight animals, serum hGH levels were readily detectable. By day 14, no hGH could be detected in any animal. The eventual disappearance of hGH in the  
25 serum of these animals was expected, since human growth hormone is known to be antigenic in rabbits. Serum samples were therefore assayed for anti-hGH antibodies (quantified by an anti-rabbit IgG ELISA). In each case, the decrease in serum hGH levels coincides with a rise in  
30 anti-hGH antibodies.

-59-

TABLE 1  
AUTOLOGOUS IMPLANTATION OF hGH EXPRESSING  
FIBROBLASTS INTO RABBITS

<u>Experiment/ Rabbit</u>	<u># Cells (x10E6)</u>	<u>Implant Site</u>	<u>In Vitro Expression</u>
TKR5	120	SRC	14.0
TKR6	160	SRC	9.9
TKR10	120	SRC	8.0
TKR13	127	SRC	2.8
TKR9	900	IP	8.0
TKR11	1089	IP	0.7
TKR12	840	IP	3.6
TKR14	726	IP	6.7

<u>Experiment/ Rabbit</u>	<u>SERUM hGH (ng/ml)</u>					
	<u>1 day</u>	<u>2 day</u>	<u>4 day</u>	<u>7 day</u>	<u>10 day</u>	<u>14 day</u>
TKR5	1.9	0.8	0.3	0.9	<0.1	<0.1
TKR6	7.4	3.1	0.7	0.6	0.2	<0.1
TKR10	4.7	1.4	0.3	<0.1	<0.1	<0.1
TKR13	0.6	0.6	0.2	0.2	0.5	<0.1
TKR9	34.5	3.4	0.9	0.8	<0.1	<0.1
TKR11	3.6	0.3	0.2	0.1	<0.1	<0.1
TKR12	7.1	1.6	0.4	0.3	0.1	<0.1
TKR14	95.0	28.3	6.3	<0.1	<0.1	<0.1

-60-

These results indicate that there appears to be no technical barrier to performing autologous gene therapy in rabbits using transfected skin fibroblasts. hGH delivery by genetically engineered autologous cells was successful in all 8 experimental animals. As expected, serum hGH levels decreased concomitantly with a rise in anti-hGH antibodies. High titers (1:40,000) were not uncommon in animals with IP or SRC implants, consistent with the proposal that continuous delivery of proteins by a single implantation treatment can be an efficient method for vertebrate vaccination and the production of high titer antisera against protein antigens.

EXAMPLE 13. IN VITRO hEPO PRODUCTION BY TRANSFECTED  
SECONDARY HUMAN AND RABBIT SKIN FIBROBLASTS

1. Human Skin Fibroblasts

Fibroblasts were isolated from freshly excised human skin fibroblasts and cultured in DMEM + 15% calf serum. Electroporation (250 volts, 960  $\mu$ Farads) with 60  $\mu$ g of an equimolar mixture of pcDNEO and pXEPO1 was performed on passage 1 cells and treated cells were selected in G418-containing medium (300  $\mu$ g/ml G418). Colonies were isolated and expanded using standard methods. Data derived from an analysis of fifty-six individual clones is shown in Table 2. Cells were maintained in G418 (300  $\mu$ g/ml G418) in DMEM + 15% calf serum and subcultured at a seeding density of 10,000 cells/cm<sup>2</sup>. Culture medium was changed 24 hr prior to harvesting the cells for passaging. At the time of passage, an aliquot of the culture medium was removed for hEPO assay and the cells were then harvested, counted, and reseeded. hEPO concentration in the medium was determined using a commercially available ELISA (R & D Systems). hEPO levels are expressed as mU/10<sup>6</sup> cells/24 hr., and expression levels ranged from 69 to 55,591 mU/10<sup>6</sup> cells/24

-61-

hr. 19% of all G418-resistant colonies expressed detectable levels of hEPO.

TABLE 2

5 hEPO EXPRESSION IN FIFTY-SIX INDEPENDENT SECONDARY  
HUMAN FIBROBLAST CLONES ISOLATED BY CO-TRANSFECTION  
WITH pCDNEO AND pXEPO1

	<u>hEPO Expression Level</u> <u>(mU/10<sup>6</sup>cells/24 hr)</u>	<u>Number of Clones</u>
	<1,000	10
10	1,000-10,000	28
	10,000-50,000	17
	>50,000	1

Clonally derived human fibroblasts isolated by co-transfection with pCDneo and pXEPO1 were analyzed for the glycosylation state of secreted hEPO. Media was collected from hEPO producing cells and immunoprecipitated with a mouse monoclonal antibody (Genzyme Corporation) specific for human erythropoietin. The immunoprecipitated material was subject to electrophoresis on a 12.5% poly-acrylamide gel and transferred to a PVDF membrane (Millipore). The membrane was probed with the same anti-hEPO monoclonal antibody used for immunoprecipitation and was subsequently treated with an HRP-conjugated sheep anti-mouse IgG antisera (Cappel), followed by luminescent detection (ECL Western blotting detection kit; Amersham) to visualize hEPO through the production of a fluorescent product.

Western blot analysis of hEPO secreted by normal human fibroblasts co-transfected with pEXPO1 and pCDNEO demonstrated that a molecule with a molecular mass of

-62-

approximately 34 kd reacts with an antibody specific for human erythropoietin. This is the expected size for naturally occurring, fully glycosylated human erythropoietin.

- 5           hEPO produced by transfected human fibroblast clones was further analyzed to determine if the secreted material had both N- and O-linked glycosylation characteristic of natural human erythropoietin isolated from urine or recombinant hEPO produced by chinese hamster ovary cells.
- 10 Western blot analysis was performed on the supernatant from a clonal strain of normal human fibroblasts co-transfected with pXEPO1 and pcDNEO. Samples of the supernatant were first treated with either endoglycosidase-F (New England Nuclear), neuraminidase Genzyme), or
- 15 with O-glycanase (Genzyme). Treatment with endoglycosidase-F results in a shift in molecular weight from 34 kd to approximately 27 kd. Treatment with neuraminidase results in a barely detectable shift in band position, while treatment with O-glycanase further shifts the size
- 20 of the immunoreactive band down to approximately 18.5 kd. These results are indistinguishable from those obtained with natural human erythropoietin isolated from urine or recombinant hEPO produced by Chinese hamster ovary cells (Browne, J.K. et al., Cold Spring Harbor Symp. Quant.
- 25 Biol. 51:693-702 (1986)).

## 2. Rabbit Fibroblasts

- Fibroblasts were isolated from freshly excised rabbit skin and cultured in DMEM 10% calf serum. Electroporation (250 volts, 960  $\mu$ Farads) with 60  $\mu$ g of an equimolar
- 30 mixture of pcDNEO and pXEPO1 was performed and treated cells were selected in G418-containing rabbit fibroblast growth medium (1 mg/ml G418; Example 2). Colonies were isolated and expanded using standard methods, and the



-63-

resulting secondary cell strains were analyzed for hEPO expression. Data derived from forty-nine independent rabbit fibroblast clones is shown in Table 3. Expression levels in these clones ranged from 43 to 2,900,000 mU/10<sup>6</sup> cells/24 hr., and 72% of all G418-resistant clones expressed detectable levels of hEPO.

TABLE 3

hEPO EXPRESSION IN FORTY-NINE INDEPENDENT SECONDARY  
RABBIT FIBROBLAST CLONES ISOLATED BY  
CO-TRANSFECTION WITH pCDNEO AND pPEPO

10

hEPO Expression Level  
(mU/10<sup>6</sup> cells/24 hr)

Number of Clones

	<1,000	1
	1,000-10,000	3
15	10,000-50,000	7
	50,000-500,000	19
	>500,000	19

EXAMPLE 14. CONSTRUCTION OF A PLASMID CONTAINING BOTH THE  
HUMAN EPO GENE AND THE NEOMYCIN RESISTANCE

20

GENE

A 6.9 kb HindIII fragment extending from positions 11,960-18,869 in the HPRT sequence [Genbank entry HUMHPRTB; Edwards, A. et al., Genomics, 6:593-608 (1990)] and including exons 2 and 3 of the HPRT gene, is subcloned  
 25 into the HindIII site of pUC12. The resulting clone is cleaved at the unique XhoI site in exon 3 of the HPRT gene fragment and the 1.1 kb SalI-XhoI fragment containing the neo gene from pMC1NEO (Stratagene) is inserted, disrupting the coding sequence of exon 3. One orientation, with the  
 30 direction of neo transcription opposite that of HPRT

-64-

transcription was chosen and designated pE3Neo. pE3neo has a unique XhoI site at the junction of HPRT sequences and the 5' side of the neo gene. pE3neo is cut with XhoI and made blunt-ended by treatment with the Klenow fragment  
5 of E. coli DNA polymerase.

To insert the hEPO gene into the neo selection plasmid pE3Neo, a 5.1 kb EcoRI-HindIII fragment was isolated from plasmid pXEP01 (Example 3; Figure 5). The EcoRI site is located adjacent to the 5' side of the mMT  
10 promoter, and the HindIII site is located 5.1 kb away, 3' to the hEPO coding region. The purified fragment is made blunt-ended by treatment with Klenow fragment of E. coli DNA polymerase and ligated to the XhoI digested and blunt-ended pE3neo fragment described above. After  
15 transformation into E. coli, a plasmid with one copy of the mMT-hEPO fragment inserted into pE3neo was identified by restriction enzyme analysis in which the hEPO gene is transcribed in the same orientation as the adjacent neo gene. This plasmid was designated pE3neoEPO. In addition  
20 to allowing direct selection of hEPO expressing G418<sup>r</sup> clones, this fragment may also be used in gene targeting to direct the integration of the hEPO gene to the human HPRT locus.

EXAMPLE 15. ISOLATION OF HUMAN FIBROBLAST CLONES  
25 EXPRESSING THE hEPO GENE AND A SELECTABLE  
MARKER (pE3neoEPO)

Fibroblasts were isolated from freshly excised human skin fibroblasts and cultured in DMEM + 15% calf serum. Electroporation (250 volts, 960  $\mu$ Farads) with 60  $\mu$ g of  
30 supercoiled pE3neoEPO was performed on passage 1 cells and treated cells were selected in G418-containing medium (300  $\mu$ g/ml G418). Colonies were isolated and expanded using standard methods. Data derived from an analysis of

-65-

twenty-six individual clones is shown in Table 4. Cells were maintained in G418 (300  $\mu$ g/ml G418) in DMEM + 15% calf serum and subcultured at a seeding density of 10,000 cells/cm<sup>2</sup>. Culture medium was changed 24 hr prior to

5 harvesting the cells for passaging. At the time of passage an aliquot of the culture medium was removed for hEPO assay and the cells were then harvested, counted, and reseeded. hEPO concentration in the medium was determined using a commercially available ELISA (R and D Systems).

10 hEPO levels are expressed as mU hEPO/10<sup>6</sup> cells/24 hr, and expression levels ranged from 240 to 961,620 mU/10<sup>6</sup> cells/24 hr. 89% of all G418-resistant clones expressed detectable levels of hEPO.

TABLE 4

15 hEPO EXPRESSION IN TWENTY-SIX INDEPENDENT  
SECONDARY HUMAN FIBROBLAST CLONES ISOLATED  
BY TRANSFECTION WITH pE3neo-EPO

	<u>hEPO Expression Level</u> <u>(mU/10<sup>6</sup> cells/24 hr)</u>	<u>Number of Clones</u>
20	<1,000	2
	1,000-10,000	2
	10,000-50,000	9
	50,000-500,000	12
	>500,000	1

25 hEPO expressing human fibroblast clones are also isolated by electroporation with 60  $\mu$ g of HindIII digested pE3neoEPO. hEPO expressing rabbit fibroblast clones are isolated using plasmid pE3neoEPO under identical transfection conditions, with the exception that rabbit

30 fibroblast clones are selected in rabbit fibroblast growth medium (Example 2) containing 1 mg/ml G418.

-66-

EXAMPLE 16. EXPRESSION OF BIOLOGICALLY ACTIVE HUMAN  
ERYTHROPOIETIN IN MICE

The mouse provides a valuable system to study implants of genetically engineered cells for their ability to deliver therapeutically useful proteins to an animal's general circulation. The relative immuneincompetence of nude mice allow xenogeneic implants to retain biologic function and may allow certain primary and secondary rabbit fibroblasts to survive in vivo for extended periods.

For implantation of cells into the subrenal capsule, mice are given intraperitoneal injection of Avertin at a dose of 0.0175 ml/g body weight. The kidney (generally the left kidney) is approached through an 8-10 mm incision made approximately 3 mm below the rib cage. The skin, abdominal musculature, peritoneum, and peri-renal fascia are retracted to expose the kidney. A small forcep is used to pull the kidney out of the abdominal cavity. A 27-gauge hypodermic needle is used to make a small opening in the renal capsule. Using a 20-gauge I.V. catheter, cells to be implanted (typically 3 million cells in a volume of 5-10  $\mu$ l) are withdrawn into a 1 ml syringe and slowly ejected under the renal capsule. Care is taken to ensure that the cells are released distal to the opening in the renal capsule. The incision is closed with one staple through the musculature and the skin. Blood is collected after placing the mouse in a large beaker containing methoxyflurane until light anesthesia is achieved. The tip of a Pasteur pipette is placed between the eye and the periorbital space to collect blood from the orbital sinus. Serum hEPO levels are determined using a commercially available kit (R and D Systems). An aliquot of blood is also drawn into EDTA coated capillary

-67-

tubes (Statspin, Norwood, MA) for determination of hematocrit levels.

A clonal strain of rabbit skin fibroblasts was isolated by the methods described in Example 13. One clone, designated RF115-D4, was determined to be stably transfected with the human EPO gene and expressed approximately 786,000 mU hEPO/ $10^6$  cells/24 hr. Three million cells were implanted into the subrenal capsule in each of 15 six nude mice. Approximately 400  $\mu$ l of blood was drawn on days 1 and 7 after implantation and on every other day thereafter until day 21. During this time an equal volume of saline solution was injected after bleeding to prevent hypotonic shock. Blood was drawn weekly thereafter until day 63. An identical bleeding schedule was used on ten mice that had no cells implanted. Figure 13a shows the effect of these treatments on blood hematocrit (HCT), a commonly used indicator of red blood cell number, was measured in implanted and control animals. In control animals, HCT drops dramatically by day 7, followed by a return to approximately normal levels by day 15. In contrast, animals receiving implants of the hEPO expressing cells showed elevated HCT levels by day 7. HCT remained elevated through day 63, reaching a peak of 64%, or 1.4 times higher than the day 1 level of 45%, on day 35 after implantation. As shown in Figure 13b, immunoreactive hEPO was readily detectable in the blood of implanted animals (the sensitivity of the hEPO ELISA has been determined to be 2 mU/ml by the kit's manufacturer (R and D Systems) and endogenous mouse EPO shows no cross-reactivity with the antibodies used in the ELISA kit). hEPO levels in the implanted animals dropped gradually, from 29 to 9 mU/ml, from days 7 to 63 postimplantation.

This Example clearly demonstrates that normal skin fibroblasts that have been genetically engineered to

-68-

express and secrete hEPO can: 1) survive in vivo to deliver hEPO to an animals systemic circulation for up to 2 months, and 2) the hEPO produced is biologically functional, serving to prevent the drop in hematocrit observed in the frequently bled control animals, and resulting in a net increase in HCT even when animals were challenged with a bleeding schedule that produces an anemic response in control animals.

10 EXAMPLE 17. EXPRESSION OF GLP-1(7-37) FROM SECONDARY HUMAN SKIN FIBROBLASTS STRAINS AFTER TRANSFECTION WITH A GLP-1(7-37) EXPRESSION PLASMID

The portion of GLP-1 from amino acid residues 7 to 37 [GLP-1(7-37); encoded by base pairs 7214 to 7306 in Genbank sequence HUMGLUCG2] has been demonstrated to have insulinotropin activity in vivo. Plasmid pXGLP1 is constructed such that the GLP-1(7-37) moiety is fused at its N-terminus to a 26-amino acid signal peptide derived from human growth hormone for efficient transport through the endoplasmic reticulum. The fusion protein is cleaved immediately C-terminal to residue 26 prior to secretion, such that the secreted product consists solely of residues 7-37 of GLP-1. Expression of the signal peptide: GLP-1(1-37) fusion protein is controlled by the mouse metallothionein promoter.

25 Plasmid pXGLP1 is constructed as follows: Plasmid PXGH5 [Selden, R.F. et al., Mol. Cell. Biol. 6:3173-3179 (1986)] is digested with SmaI and ligated to a double-stranded oligonucleotide containing a BgIII site (BgIII linkers; New England Biolabs). The ligated product is digested with BgIII and EcoRI and the 0.32 kb fragment corresponding to the 3'-untranslated region of the human growth hormone gene is isolated (with a BgIII linker attached to the SmaI site lying at position 6698 in

-69-

Genbank entry HUMGHCSA). The hGH fragment can also be isolated by known methods from human genomic DNA using PCR primers designed to amplify the sequence between positions 6698 to 7321 in Genbank entry HUMGHCSA. A 1.45 EcoRI-BgIII fragment containing the mouse metallothionein (mMT) promoter [Hamer, D.H. and Walling, M., J. Mol. Appl. Gen., 1:273- 288 (1982)] is next isolated. The mouse metallothionein promoter may be isolated by known methods from mouse genomic DNA using PCR primers designed from analysis of mMT sequences available from Genbank (i.e. Genbank entries MUSMTI, MUSMTIP, and MUSMTIPRM). Plasmid pUC19 (ATCC #37254) is digested with EcoRI and treated with bacterial alkaline phosphatase. The treated plasmid is ligated with the hGH and mMT fragments described above. The resulting plasmid has a single copy of each the mouse metallothionein promoter and the 3'untranslated region of hGH joined at a BgIII site.

This plasmid, designated pX1 is digested with BgIII and the full-length linear product is purified by gel electrophoresis. Oligonucleotides 11.1 and 11.2 are used to amplify a DNA sequence encoding amino acids 7-37 of GLP-1 from human genomic DNA by PCR. The amplified product (104 bp) is purified and mixed with pXGH5 and oligonucleotides 11.2, 11.3, 11.4, and 11.5 and subject to PCR. Oligonucleotides 11.3 and 11.4 are complementary and correspond to the desired junction between the hGH signal peptide and GLP-1 amino acid residue 7. The 500 base pair amplification product contains 5'-untranslated, exon 1, intron 1, and part of exon 2 sequences from hGH (nucleotides 5168 to 5562 in Genbank entry HUMGHCSA) fused to a sequence encoding GLP-1 residues 7-37 followed by a stop codon. The fragment, by design, is flanked on both ends by BamHI sites.

-70-

The fragment is cleaved with BamHI and ligated to the BgIII digest of pX1 described above. Ligation products are analysed to identify those with one copy of the hGH-GLP-1(7-37) fusion product inserted at the BgIII site separating the mMT promoter and the 3'-untranslated hGH sequence in pX1, such that GLP-1 residue 37 is distal to the mMT promoter.

TABLE 5  
OLIGONUCLEOTIDES FOR AMPLIFICATION OF  
hGH-GLP-1(7-37) FUSION GENE

10

- |      |                                                                     |
|------|---------------------------------------------------------------------|
| 11.1 | 5'CATGCTGAAG GGACCTTTAC CAGT (SEQ ID NO 3)                          |
| 11.2 | 5'TTGGATCCTT ATCCTCGGCC TTTCACCAGC CA<br>(SEQ ID NO 4) BamHI        |
| 11.3 | 5'GGCTTCAAGA GGGCAGTGCC CATGCTGAAG GGACCTTTAC CAGT<br>(SEQ ID NO 5) |
| 11.4 | 5'ACTGGTAAAG GTCCCTTCAG CATGGGCACT GCCCTCTTGA AGCC<br>(SEQ ID NO 6) |
| 11.5 | 5'AAGGATCCCA AGGCCCAACT CCCCGAAC (SEQ ID NO 7)<br>BamHI             |
| 11.6 | 5'TTGGATCCTT ATCGGCC TTTCACCAGC CA (SEQ ID NO 8)<br>BamHI           |

Alternatively, the small sizes of the signal peptide and GLP-1 moieties needed allow complete fusion genes to be prepared synthetically. DNA encoding the signal peptides of the LDL receptor (amino acid residues 1-21), preproglucagon (amino acid residues 1-20), or human growth hormone (amino acid residues 1-26) may be synthesized by known methods and ligated in vitro to similarly synthesized DNA encoding amino acids 7-37 or 7-36 of GLP-1 (followed immediately by a stop codon). The sequences necessary to design and synthesize these molecules are



-71-

readily available in Genbank entries HUMLDLR01 (human LDL receptor), HUMGLUCG2 (human GLP-1 and glucagon sequences), and HUMGHCSA (human growth hormone). The ligated product may be inserted into a suitable mammalian expression vector for use in human fibroblasts. Plasmid pMSG (Pharmacia LKB Biotechnology, Piscataway, NJ) is suitable for this purpose, having 5' and 3'untranslated sequences, a splice site, a polyA addition site, and an enhancer and promoter for use in human skin fibroblasts. Alternatively, the ligated product may be synthesized with an appropriate 5'-untranslated sequence and inserted into plasmid pX1 described above.

A second insulintropic GLP-1 derivative, GLP-1(7-36), can be expressed by substituting oligonucleotide 11.6 for oligonucleotide 11.2 described above. All subsequent cloning operations described above for construction of pXGLP1 are followed, such that the final product is lacking the C-terminal glycine residue characteristic of GLP-1(7-37). Alternatively, this terminal glycine residue may be removed in vivo by the activity of a peptidyl-glycine alpha-amidating enzyme to produce the insulinotropic GLP-1(7-36) amide.

Plasmid pXGLP1 is co-transfected into primary human skin fibroblasts with plasmid pcDNEO exactly as described for pXEPO1 and pcDNEO in Example 13. Clones are selected in G418 containing medium, transferred to 96-well plates, and assayed for GLP-1(7-37) activity or immunoreactivity in cell supernatants. GLP-1(7-37) activity is determined by incubation of cell supernatants with rat insulinoma RINm5F cells and measuring the ability of the supernatants to induce insulin secretion from these cells using a commercially available insulin radioimmuno-assay (Coat-a-Count Insulin, DPC, Los Angeles, CA). GLP-1(7-37) antigen is determined using a commercially available antisera

-72-

against GLP-1 (Peninsula Laboratories, Belmont, CA).  
GLP-1(7-37) positive clones are expanded for implantation  
into nude mice as described in Example 16 and blood  
samples are taken to monitor serum human GLP-1(7-37)

5 levels.

In vivo activity is monitored in fasting animals by  
determining the insulinogenic index after intraperitoneal  
injection of glucose (1 mg glucose per gram of body  
weight). Typically, implanted and non-implanted groups of  
10 32 mice are fasted overnight, and 28 are injected with  
glucose. After injection, the 28 mice are arbitrarily  
assigned to seven groups of four, and blood sampling (for  
serum glucose and insulin) is performed on each group at  
5, 10, 20, 30, 45, 60, or 90 minutes post-injection, with  
15 the non-glucose injected group serving as a fasting  
control. Increases in the postinjection insulinogenic  
index (the ration of insulin to glucose in the blood) in  
animals receiving GLP-1(7-37) expressing cells over  
non-implanted animals provides in vivo support for the  
20 insulinotropic activity of the expressed peptide.

EXAMPLE 18. PRODUCTION OF TRANSFECTED CELL STRAINS BY GENE  
TARGETING

Gene targeting occurs when transfecting DNA either  
integrates into or partially replaces chromosomal DNA  
25 sequences through a homologous recombinant event. While  
such events may occur in the course of any given transfec-  
tion experiment, they are usually masked by a vast excess  
of events in which plasmid DNA integrates by non-  
homologous, or illegitimate, recombination.

-73-

a. GENERATION OF A CONSTRUCT USEFUL FOR SELECTION OF  
GENE TARGETING EVENTS IN HUMAN CELLS

One approach to selecting the targeted events is by genetic selection for the loss of a gene function due to the integration of transfecting DNA. The human HPRT locus encodes the enzyme hypoxanthine-phosphoribosyl transferase.  $hprt^-$  cells can be selected for by growth in medium containing the nucleoside analog 6-thioguanine (6-TG): cells with the wild-type (HPRT+) allele are killed by 6-TG, while cells with mutant ( $hprt^-$ ) alleles can survive. Cells harboring targeted events which disrupt HPRT gene function are therefore selectable in 6-TG medium.

To construct a plasmid for targeting to the HPRT locus, the 6.9 kb HindIII fragment extending from positions 11,960-18,869 in the HPRT sequence (Genbank name HUMHPRTB; Edwards, A. *et al.*, *Genomics* 6:593-608 (1990)) and including exons 2 and 3 of the HPRT gene, is subcloned into the HindIII site of pUC12. The resulting clone is cleaved at the unique XhoI site in exon 3 of the HPRT gene fragment and the 1.1 kb SalI-XhoI fragment containing the neo gene from pMC1Neo (Stratagene) is inserted, disrupting the coding sequence of exon 3. One orientation, with the direction of neo transcription opposite that of HPRT transcription was chosen and designated pE3Neo. The replacement of the normal HPRT exon 3 with the neo-disrupted version will result in an  $hprt^-$ , 6-TG resistant phenotype. Such cells will also be G418 resistant.

-74-

b. GENE TARGETING IN AN ESTABLISHED HUMAN FIBROBLAST CELL LINE

As a demonstration of targeting in immortalized cell lines, and to establish that pE3Neo functions properly in gene targeting, the human fibrosarcoma cell line HT1080 (ATCC CCL 121) was transfected with pE3Neo by electroporation.

HT1080 cells were maintained in HAT (hypoxanthine/aminopterin/xanthine) supplemented DMEM with 15% calf serum (Hyclone) prior to electroporation. Two days before electroporation, the cells are switched to the same medium without aminopterin. Exponentially growing cells were trypsinized and diluted in DMEM/15% calf serum, centrifuged, and resuspended in PBS (phosphate buffered saline) at a final cell volume of 13.3 million cells per ml. pE3Neo is digested with HindIII, separating the 8 kb HPRT-neo fragment from the pUC12 backbone, purified by phenol extraction and ethanol precipitation, and resuspended at a concentration of 600 µg/ml. 50 µl (30 µg) was added to the electroporation cuvette (0.4 cm electrode gap; Bio-Rad Laboratories), along with 750 µl of the cell suspension (10 million cells). Electroporation was at 450 volts, 250 µFarads (Bio-Rad Gene Pulser; Bio-Rad Laboratories). The contents of the cuvette were immediately added to DMEM with 15% calf serum to yield a cell suspension of 1 million cells per 25 ml media. 25 ml of the treated cell suspension was plated onto 150 mm diameter tissue culture dishes and incubated at 37°C, 5% CO<sub>2</sub>. 24 hrs later, a G418 solution was added directly to the plates to yield a final concentration of 800 µg/ml G418. Five days later the media was replaced with DMEM/15% calf serum/800 µg/ml G418. Nine days after electroporation, the media was replaced with DMEM/15% calf serum/800 µg/ml G418 and 10 µM 6-thioguanine. Colonies

-75-

resistant to G418 and 6-TG were picked using cloning cylinders 14-16 days after the dual selection was initiated.

The results of five representative targeting experiments in HT1080 cells are shown in Table 6.

TABLE 6

Transfection	Number of Treated Cells	Number of G418 <sup>r</sup> 6-TG <sup>r</sup> Clones
10      1	$1 \times 10^7$	32
2	$1 \times 10^7$	28
3	$1 \times 10^7$	24
4	$1 \times 10^7$	32
5	$1 \times 10^7$	66

For transfection 5, control plates designed to determine the overall yield of G418<sup>r</sup> colonies indicated that 33,700 G418<sup>r</sup> colonies could be generated from the initial  $1 \times 10^7$  treated cells. Thus, the ratio of targeted to non-targeted events is 66/33,700, or 1 to 510. In the five experiments combined, targeted events arise at a frequency of  $3.6 \times 10^6$ , or 0.00036% of treated cells.

Restriction enzyme and Southern hybridization experiments using probes derived from the neo and HPRT genes localized the neo gene to the HPRT locus at the predicted site within HPRT exon 3.

#### c. GENE TARGETING IN PRIMARY AND SECONDARY HUMAN SKIN FIBROBLASTS

pE3Neo is digested with HindIII, separating the 8 kb HPRT-neo fragment from the pUC12 backbone, and purified by

-76-

phenol extraction and ethanol precipitation. DNA was resuspended at 2 mg/ml. Three million secondary human foreskin fibroblasts cells in a volume of 0.5 ml were electroporated at 250 volts and 960  $\mu$ Farads, with 100  $\mu$ g of HindIII pE3Neo (50  $\mu$ l). Three separate transfections were performed, for a total of 9 million treated cells. Cells are processed and selected for G418 resistance as described in Example 6, except that 500,000 cells per 150 mm culture dish are plated for G418 selection. After 10 days under selection, the culture medium is replaced with human fibroblast nutrient medium containing 400  $\mu$ g/ml G418 and 10  $\mu$ M 6-TG. Selection with the two drug combination is continued for 10 additional days. Plates are scanned microscopically to localize human fibroblast colonies resistant to both drugs. The fraction of G418<sup>r</sup> t-TG<sup>r</sup> colonies is 4 per 9 million treated cells. These colonies constitute 0.0001% (or 1 in a million) of all cells capable of forming colonies. Control plates designed to determine the overall yield of G418<sup>r</sup> colonies indicated that 2,850 G418<sup>r</sup> colonies could be generated from the initial  $9 \times 10^6$  treated cells. Thus, the ratio of targeted to non-targeted events is 4/2,850, or 1 to 712. Restriction enzyme and Southern hybridization experiments using probes derived from the neo and HPRT genes were used to localize the neo gene to the HPRT locus at the predicted site within HPRT exon 3 and demonstrate that targeting had occurred in these four clonal cell strains. Colonies resistant to both drugs have also been isolated by transfecting primary cells ( $1/3.0 \times 10^7$ ).

The results of several pE3Neo targeting experiments are summarized in Table 7. HindIII digested pE3Neo was either transfected directly or treated with exonuclease III to generate 5' single-stranded overhangs prior to transfection (see Example 18c). DNA preparations with

-77-

single-stranded regions ranging from 175 to 930 base pairs in length were tested. Using pE3neo digested with HindIII alone, 1/799 G418-resistant colonies were identified by restriction enzyme and Southern hybridization analysis as having a targeted insertion of the neo gene at the HPRT locus (a total of 24 targeted clones were isolated). Targeting was maximally stimulated (approximately 10-fold stimulation) when overhangs of 175 bp were used, with 1/80 G418<sup>r</sup> colonies displaying restriction fragments that are diagnostic for targeting at HPRT (a total of 9 targeted clones were isolated). Thus, using the conditions and recombinant DNA constructs described here, targeting is readily observed in normal human fibroblasts and the overall targeting frequency (the number of targeted clones divided by the total number of clones stably transfected to G418-resistance) can be stimulated by transfection with targeting constructs containing single-stranded overhanging tails, by the method as described in Example 18e.

20

TABLE 7

TARGETING TO THE HPRT LOCUS IN HUMAN FIBROBLASTS

<u>pE3neo Treatment</u>		<u>Number of Experiments</u>	<u>Number Targeted Per G418<sup>r</sup> Colony</u>	<u>Total Number of Targeted Clones</u>
HindIII digest		6	1/799	24
25	175 bp overhang	1	1/80	9
	350 bp overhang	3	1/117	20
	930 bp overhang	1	1/144	1

-78-

d. GENERATION OF A CONSTRUCT FOR TARGETED INSERTION OF A  
GENE OF THERAPEUTIC INTEREST INTO THE HUMAN GENOME  
AND ITS USE IN GENE TARGETING

A variant of pE3Neo, in which a gene of therapeutic  
5 interest is inserted within the HPRT coding region,  
adjacent to or near the neo gene, can be used to target a  
gene of therapeutic interest to a specific position in a  
recipient primary or secondary cell genome. Such a  
variant of pE3Neo can be constructed for targeting the hGH  
10 gene to the HPRT locus.

pXGH5 is digested with EcoRI and the 4.1 kb fragment  
containing the hGH gene and linked mouse metallothionein  
(mMT) promoter is isolated. The EcoRI overhangs are  
filled in with the Klenow fragment from E. coli DNA  
15 polymerase. Separately, pE3Neo is digested with XhoI,  
which cuts at the junction of the neo fragment and HPRT  
exon 3 (the 3' junction of the insertion into exon 3).  
The XhoI overhanging ends of the linearized plasmid are  
filled in with the Klenow fragment from E. coli DNA  
20 polymerase, and the resulting fragment is ligated to the  
4.1 kb blunt-ended hGH-mMT fragment. Bacterial colonies  
derived from the ligation mixture are screened by  
restriction enzyme analysis for a single copy insertion of  
the hGH-mMT fragment and one orientation, the hGH gene  
25 transcribed in the same direction as the neo gene, is  
chosen and designated pE3Neo/hGH. pE3Neo/hGH is digested  
with HindIII, releasing the 12.1 kb fragment containing  
HPRT, neo and mMT-hGH sequences. Digested DNA is treated  
and transfected into primary or secondary human  
30 fibroblasts as described in Example 18c. G418<sup>r</sup> TG<sup>r</sup>  
colonies are selected and analyzed for targeted insertion  
of the mMT-hGH and neo sequences into the HPRT gene as  
described in Example 18c. Individual colonies are assayed



-79-

for hGH expression using a commercially available immunoassay (Nichols Institute).

Secondary human fibroblasts were transfected with pE3Neo/hGH and thioguanine-resistant colonies were  
5 analyzed for stable hGH expression and by restriction enzyme and Southern hybridization analysis. Of thirteen TG<sup>r</sup> colonies analyzed, eight colonies were identified with an insertion of the hGH gene into the endogenous HPRT locus. All eight strains stably expressed significant  
10 quantities of hGH, with an average expression level of 22.7  $\mu$ g/10<sup>6</sup> cells/24 hours.

e. MODIFICATION OF DNA TERMINI TO ENHANCE TARGETING

Several lines of evidence suggest that 3'-overhanging ends are involved in certain homologous recombination  
15 pathways of E. coli, bacteriophage, S. cerevisiae and Xenopus laevis. In Xenopus laevis oocytes, molecules with 3'-overhanging ends of several hundred base pairs in length underwent recombination with similarly treated molecules much more rapidly after microinjection than  
20 molecules with very short overhangs (4 bp) generated by restriction enzyme digestion. In yeast, the generation of 3'-overhanging ends several hundred base pairs in length appears to be a rate limiting step in meiotic recombination. No evidence for an involvement of 3'-overhanging  
25 ends in recombination in human cells has been reported, and in no case have modified DNA substrates of any sort been shown to promote targeting (one form of homologous recombination) in any species. In human cells, the effect of 3'-overhanging ends on targeting is untested. The  
30 experiment described in the following example suggests that 5'-overhanging ends are most effective for targeting in primary and secondary human fibroblasts.

-80-

There have been no reports on the enhancement of targeting by modifying the ends of the transfecting DNA molecules. This example serves to illustrate that modification of the ends of linear DNA molecules, by  
5 conversion of the molecules' termini from a double-stranded form to a single-stranded form, can stimulate targeting into the genome of primary and secondary human fibroblasts.

1100  $\mu$ g of plasmid pE3Neo (Example 18a) is digested  
10 with HindIII. This DNA can be used directly after phenol extraction and ethanol precipitation, or the 8 kb HindIII fragment containing only HPRT and the neo gene can be separated away from the pUC12 vector sequences by gel electrophoresis. ExoIII digestion of the HindIII digested  
15 DNA results in extensive exonucleolytic digestion at each end, initiating at each free 3' end, and leaving 5'-overhanging ends. The extent of exonucleolytic action and, hence, the length of the resulting 5'-overhangings, can be controlled by varying the time of ExoIII digestion.  
20 ExoIII digestion of 100  $\mu$ g of HindIII digested pE3Neo is carried out according to the supplier's recommended conditions, for times of 30 sec, 1 min, 1.5 min, 2 min, 2.5 min, 3 min, 3.5 min, 4 min, 4.5 min, and 5 min. To monitor the extent of digestion an aliquot from each time  
25 point, containing 1  $\mu$ g of ExoIII treated DNA, is treated with mung bean nuclease (Promega), under conditions recommended by the supplier, and the samples fractionated by gel electrophoresis. The difference in size between non-treated, HindIII digested pE3Neo and the same  
30 molecules treated with ExoIII and mung bean nuclease is measured. This size difference divided by two gives the average length of the 5'-overhang at each end of the molecule. Using the time points described above and

-81-

digestion at 30°, the 5'-overhangs produced should range from 100 to 1,000 bases.

60 µg of ExoIII treated DNA (total HindIII digest of pE3NEO) from each time point is purified and  
5 electroporated into primary or secondary human fibroblasts under the conditions described in Example 18c. The degree to which targeting is enhanced by each ExoIII treated preparation is quantified by counting the number of G418<sup>r</sup> 6-TG<sup>r</sup> colonies and comparing these numbers to targeting  
10 with HindIII digested pE3Neo that was not treated with ExoIII.

The effect of 3'-overhanging ends can also be quantified using an analogous system. In this case HindIII digested pE3Neo is treated with bacteriophage T7  
15 gene 6 exonuclease (United States Biochemicals) for varying time intervals under the supplier's recommended conditions. Determination of the extent of digestion (average length of 3'-overhang produced per end) and electroporation conditions are as described for ExoIII  
20 treated DNA. The degree to which targeting is enhanced by each T7 gene 6 exonuclease treated preparation is quantified by counting the number of G418<sup>r</sup> 6-TG<sup>r</sup> colonies and comparing these numbers to targeting with HindIII digested pE3NEO that was not treated with T7 gene 6  
25 exonuclease.

Other methods for generating 5' and 3' overhanging ends are possible, for example, denaturation and annealing of two linear molecules that partially overlap with each other will generate a mixture of molecules, each molecule  
30 having 3'-overhangs at both ends or 5'-overhangs at both ends, as well as reannealed fragments indistinguishable from the starting linear molecules. The length of the overhangs is determined by the length of DNA that is not in common between the two DNA fragments.

-82-

f. CONSTRUCTION OF TARGETING PLASMIDS FOR PLACING THE  
HUMAN ERYTHROPOIETIN GENE UNDER THE CONTROL OF THE  
MOUSE METALLOTHIONEIN PROMOTER IN PRIMARY AND  
SECONDARY HUMAN FIBROBLASTS

5       The following serves to illustrate one embodiment of  
the present invention, in which the normal positive and  
negative regulatory sequences upstream of the human  
erythropoietin (EPO) gene are altered to allow expression  
of human erythropoietin in primary or secondary human  
10   fibroblast strains, which do not express EPO in  
significant quantities as obtained.

A region lying exclusively upstream of the human EPO  
coding region can be amplified by PCR. Three sets of  
primers useful for this purpose were designed after  
15   analysis of the published human EPO [Genbank designation  
HUMERPA; Lin, F-K., et al., Proc. Natl. Acad. Sci., USA  
82:7580-7584 (1985)]. These primer pairs can amplify  
fragments of 609, 603, or 590 bp.

-83-

TABLE 8

	Primer	HUMERPA Coordinate	Sequence	Fragment Size
5	F1	2 → 20	5' AGCTTCTGGGCTTCCAGAC (SEQ ID NO 9)	
	R2	610 → 595	5' GGGGTCCCTCAGCGAC (SEQ ID NO 10)	609 bp
10	F2	8 → 24	5' TGGGCTTCCAGACCCAG (SEQ ID NO 11)	
	R2	610 → 595	5' GGGGTCCCTCAGCGAC	603 bp
	F3	21 → 40	5' CCAGCTACTTTGCGGAACTC (SEQ ID NO 12)	
	R2	610 → 595	5' GGGGTCCCTCAGCGAC	590 bp

15       The three fragments overlap substantially and are interchangeable for the present purposes. The 609 bp fragment, extending from -623 to -14 relative to the translation start site (HUMERPA nucleotide positions 2 to 610), is ligated at both ends with ClaI linkers. The

20       resulting ClaI-linked fragment is digested with ClaI and inserted into the ClaI site of pBluescriptIISK/+ (Stratagene), with the orientation such that HUMERPA nucleotide position 610 is adjacent to the SalI site in the plasmid polylinker). This plasmid, p5'EPO, can be

25       cleaved, separately, at the unique FspI or SfiI sites in the EPO upstream fragment (HUMERPA nucleotide positions 150 and 405, respectively) and ligated to the mouse metallothionein promoter. Typically, the 1.8 kb

-84-

EcoRI-BglIII from the mMT-I gene [containing no mMT coding sequences; Hamer, D.H. and Walling M., J. Mol. Appl. Gen. 1:273 288 (1982); this fragment can also be isolated by known methods from mouse genomic DNA using PCR primers  
5 designed from analysis of mMT sequences available from Genbank; i.e., MUSMTI, MUSMTIP, MUSMTIPRM] is made blunt-ended by known methods and ligated with SfiI digested (also made blunt-ended) or FspI digested p5'EPO. The orientations of resulting clones are analyzed and those in  
10 which the former mMT BglIII site is proximal to the SalI site in the plasmid polylinker are used for targeting primary and secondary human fibroblasts. This orientation directs mMT transcription towards HUMERPA nucleotide position 610 in the final construct. The resulting  
15 plasmids are designated p5'EPO-mMTF and p5'EPO-mMTS for the mMT insertions in the FspI and SfiI sites, respectively.

Additional upstream sequences are useful in cases where it is desirable to modify, delete and/or replace  
20 negative regulatory elements or enhancers that lie upstream of the initial target sequence. In the case of EPO, a negative regulatory element that inhibits EPO expression in extrahepatic and extrarenal tissues [Semenza, G.L. et al., Mol. Cell. Biol. 10:930-938 (1990)]  
25 can be deleted. A series of deletions within the 6 kb fragment are prepared. The deleted regions may be replaced with an enhancer with broad host-cell activity [e.g. an enhancer from the Cytomegalovirus (CMV)].

The orientation of the 609 bp 5'EPO fragment in the  
30 pBluescriptIISK/+ vector was chosen since the HUMERPA sequences are preceded on their 5' end by a BamHI (distal) and HindIII site (proximal). Thus, a 6 kb BamHI-HindIII fragment normally lying upstream of the 609 bp fragment [Semenza, G. L. et al., Mol. Cell. Biol. 10:930-938

-85-

(1990)] can be isolated from genomic DNA by known methods. For example, a bacteriophage, cosmid, or yeast artificial chromosome library could be screened with the 609 bp PCR amplified fragment as a probe. The desired clone will

5 have a 6 kb BamHI-HindIII fragment and its identity can be confirmed by comparing its restriction map from a restriction map around the human EPO gene determined by known methods. Alternatively, constructing a restriction map of the human genome upstream of the EPO gene using the

10 609 bp fragment as a probe can identify enzymes which generate a fragment originating between HUMERPA coordinates 2 and 609 and extending past the upstream BamHI site; this fragment can be isolated by gel electrophoresis from the appropriate digest of human

15 genomic DNA and ligated into a bacterial or yeast cloning vector. The correct clone will hybridize to the 609 bp 5'EPO probe and contain a 6 kb BamHI-HindIII fragment. The isolated 6 kb fragment is inserted in the proper orientation into p5'EPO, p5'EPO-mMTF, or p5'EPO-mMTS (such

20 that the HindIII site is adjacent to HUMERPA nucleotide position 2). Additional upstream sequences can be isolated by known methods, using chromosome walking techniques or by isolation of yeast artificial chromosomes hybridizing to the 609 bp 5'EPO probe.

25 The cloning strategies described above allow sequences upstream of EPO to be modified in vitro for subsequent targeted transfection of primary and secondary human fibroblasts. The strategies describe simple insertions of the mMT promoter, as well as deletion of the

30 negative regulatory region, and deletion of the negative regulatory region and replacement with an enhancer with broad host-cell activity.

-86-

g. TARGETING TO SEQUENCES FLANKING THE HUMAN EPO GENE  
AND ISOLATION OF TARGETED PRIMARY, SECONDARY AND  
IMMORTALIZED HUMAN FIBROBLASTS BY SCREENING

For targeting, the plasmids are cut with restriction  
5 enzymes that free the insert away from the plasmid  
backbone. In the case of p5'EPO-mMTS, HindIII and SalI  
digestion releases a targeting fragment of 2.4 kb,  
comprised of the 1.8 kb mMT promoter flanked on the 5' and  
3' sides by 405 bp and 204 base pairs, respectively, of  
10 DNA for targeting this construct to the regulatory region  
of the EPO gene. This DNA or the 2.4 kb targeting  
fragment alone is purified by phenol extraction and  
ethanol precipitation and transfected into primary or  
secondary human fibroblasts under the conditions described  
15 in Example 18c. Transfected cells are plated onto 150 mm  
dishes in human fibroblast nutrient medium. 48 hours  
later the cells are plated into 24 well dishes at a  
density of 10,000 cells/cm<sup>2</sup> [approximately 20,000 cells  
per well; if targeting occurs at a rate of 1 event per 10<sup>6</sup>  
20 clonable cells (Example 18c, then about 50 wells would  
need to be assayed to isolate a single expressing colony].  
Cells in which the transfecting DNA has targeted to the  
homologous region upstream of EPO will express EPO under  
the control of the mMT promoter. After 10 days, whole  
25 well supernatants are assayed for EPO expression using a  
commercially available immunoassay kit (Amgen). Clones  
from wells displaying EPO synthesis are isolated using  
known methods, typically by assaying fractions of the  
heterogenous populations of cells separated into  
30 individual wells or plates, assaying fractions of these  
positive wells, and repeating as needed, ultimately  
isolating the targeted colony by screening 96-well  
microtiter plates seeded at one cell per well. DNA from  
entire plate lysates can also be analyzed by PCR for



-87-

amplification of a fragment using a mMT specific primer in conjunction with a primer lying upstream of HUMERPA nucleotide position 1. This primer pair should amplify a DNA fragment of a size precisely predicted based on the  
5 DNA sequence. Positive plates are trypsinized and replated at successively lower dilutions, and the DNA preparation and PCR steps repeated as needed to isolate targeted cells.

The targeting schemes herein described may also be  
10 used to activate hGH expression in immortalized human cells (for example, HT1080 fibroblasts, HeLa, MCF-7 breast cancer cells, K-562 leukemia cells, KB carcinoma cells or 2780AD ovarian carcinoma cells) for the purposes of producing hGH for conventional pharmacologic delivery.

15 h. TARGETING TO SEQUENCES FLANKING THE HUMAN EPO GENE AND ISOLATION OF TARGETED PRIMARY, SECONDARY AND IMMORTALIZED HUMAN FIBROBLASTS BY A POSITIVE OR A COMBINED POSITIVE/NEGATIVE SELECTION SYSTEM

The strategy for constructing p5'EPO-mMTF,  
20 p5'EPO-mMTS, and derivatives of such with the additional upstream 6 kb BamHI-HindIII fragment can be followed with the additional step of inserting the neo gene adjacent to the mMT promoter. In addition, a negative selection marker, for example, gpt [from pMSG (Pharmacia) or another  
25 suitable source], can be inserted adjacent to the HUMERPA sequences in the pBluescriptIISK/+ polylinker. In the former case, G418<sup>r</sup> colonies are isolated and screened by PCR amplification or restriction enzyme and Southern hybridization analysis of DNA prepared from pools of  
30 colonies to identify targeted colonies. In the latter case, G418<sup>r</sup> colonies are placed in medium containing 6-thioxanthine to select against the integration of the gpt gene [Besnard, C. et al., Mol. Cell. Biol. 7:4139-4141

-88-

(1987)]. In addition, the HSV-TK gene can be placed on the opposite side of the insert as gpt, allowing selection for neo and against both gpt and TK by growing cells in human fibroblast nutrient medium containing 400  $\mu\text{g/ml}$  G418, 100  $\mu\text{M}$  6-thioxanthine, and 25  $\mu\text{g/ml}$  gancyclovir. The double negative selection should provide a nearly absolute selection for true targeted events and Southern blot analysis provides an ultimate confirmation.

The targeting schemes herein described may also be used to activate hEPO expression in immortalized human cells (for example, HT1080 fibroblasts, HeLa, MCF-7 breast cancer cells, K-562 leukemia cells, KB carcinoma cells or 2780AD ovarian carcinoma cells) for the purposes of producing hEPO for conventional pharmacologic delivery.

i. CONSTRUCTION OF TARGETING PLASMIDS FOR PLACING THE HUMAN GROWTH HORMONE GENE UNDER THE CONTROL OF THE MOUSE METALLOTHIONEIN PROMOTER IN PRIMARY HUMAN FIBROBLASTS

The following example serves to illustrate one embodiment of the present invention, in which the normal regulatory sequences upstream of the human growth hormone gene are altered to allow expression of human growth hormone in primary or secondary human fibroblast strains.

Targeting molecules similar to those described in Example 18f for targeting to the EPO gene regulatory region are generated using cloned DNA fragments derived from the 5' end of the human growth hormone N gene. An approximately 1.8 kb fragment spanning HUMGHCSA (Genbank Entry) nucleotide positions 3787-5432 (the positions of two EcoNI sites which generate a convenient sized fragment for cloning or for diagnostic digestion of subclones involving this fragment) is amplified by PCR primers designed by analysis of the HUMGHCSA sequence in this

-89-

region. This region extends from the middle of hGH gene N  
intron 1 to an upstream position approximately 1.4 kb 5'  
to the translational start site. pUC12 is digested with  
EcoRI and BamHI, treated with Klenow to generate blunt  
5 ends, and recircularized under dilute conditions,  
resulting in plasmids which have lost the EcoRI and BamHI  
sites. This plasmid is designated pUC12XEB. HindIII  
linkers are ligated onto the amplified hGH fragment and  
the resulting fragment is digested with HindIII and  
10 ligated to HindIII digested pUC12XEB. The resulting  
plasmid, pUC12XEB-5'hGH, is digested with EcoRI and BamHI,  
to remove a 0.5 kb fragment lying immediately upstream of  
the hGH transcriptional initiation site. The digested DNA  
is ligated to the 1.8 kb EcoRI-BglII from the mMT-I gene  
15 [containing no mMT coding sequences; Hamer, D.H. and  
Walling, M., J. Mol. Appl. Gen. 1:273-288 (1982); the  
fragment can also be isolated by known methods from mouse  
genomic DNA using PCR primers designed from analysis of  
mMT sequences available from Genbank; i.e., MUSMTI,  
20 MUSMTIP, MUSMTIPRM]. This plasmid p5'hGH-mMT has the mMT  
promoter flanked on both sides by upstream hGH sequences.

The cloning strategies described above allow  
sequences upstream of hGH to be modified in vitro for  
subsequent targeted transfection of primary and secondary  
25 human fibroblasts. The strategy described a simple  
insertion of the mMT promoter. Other strategies can be  
envisioned, for example, in which an enhancer with broad  
host-cell specificity is inserted upstream of the inserted  
mMT sequence.

-90-

j. TARGETING TO SEQUENCES FLANKING THE HUMAN hGH GENE  
AND ISOLATION OF TARGETED PRIMARY, SECONDARY AND  
IMMORTALIZED HUMAN FIBROBLASTS BY SCREENING

For targeting, the plasmids are cut with restriction  
5 enzymes that free the insert away from the plasmid  
backbone. In the case of p5'hGH-mMT, HindIII digestion  
releases a targeting fragment of 2.9 kb, comprised of the  
1.8 kb mMT promoter flanked on the 5' end 3' sides by DNA  
10 for targeting this construct to the regulatory region of  
the hGH gene. This DNA or the 2.9 kb targeting fragment  
alone is purified by phenol extraction and ethanol  
precipitation and transfected into primary or secondary  
human fibroblasts under the conditions described in  
Example 6. Transfected cells are plated onto 150 mm  
15 dishes in human fibroblast nutrient medium. 48 hours  
later the cells are plated into 24 well dishes at a  
density of 10,000 cells/cm<sup>2</sup> [approximately 20,000 cells  
per well; if targeting occurs at a rate of 1 event per 10<sup>6</sup>  
clonable cells (Example 18c), then about 50 wells would  
20 need to be assayed to isolate a single expressing colony].  
Cells in which the transfecting DNA has targeted to the  
homologous region upstream of hGH will express hGH under  
the control of the mMT promoter. After 10 days, whole  
well supernatants are assayed for hGH expression using a  
25 commercially available immunoassay kit (Nichols). Clones  
from wells displaying hGH synthesis are isolated using  
known methods, typically by assaying fractions of the  
heterogenous populations of cells separated into  
individual wells or plates, assaying fractions of these  
30 positive wells, and repeating as needed, ultimately  
isolated the targeted colony by screening 96-well  
microtiter plates seeded at one cell per well. DNA from  
entire plate lysates can also be analyzed by PCR for  
amplification of a fragment using a mMT specific primer in

-91-

conjunction with a primer lying downstream of HUMGHCSA nucleotide position 5,432. This primer pair should amplify a DNA fragment of a size precisely predicted based on the DNA sequence. Positive plates are trypsinized and  
5 replated at successively lower dilutions, and the DNA preparation and PCR steps repeated as needed to isolate targeted cells.

The targeting schemes herein described may also be used to activate hGH expression in immortalized human  
10 cells (for example, HT1080 fibroblasts, HeLa, MCF-7 breast cancer cells, K-562 leukemia cells, KB carcinoma cells or 2780AD ovarian carcinoma cells) for the purposes of producing hGH for conventional pharmacologic delivery.

k. TARGETING TO SEQUENCES FLANKING THE HUMAN hGH GENE  
15 AND ISOLATION OF TARGETED PRIMARY, SECONDARY AND  
IMMORTALIZED HUMAN FIBROBLASTS BY A POSITIVE OR A  
COMBINED POSITIVE/NEGATIVE SELECTION SYSTEM

The strategy for constructing p5'hGH-mMT can be followed with the additional step of inserting the neo  
20 gene adjacent to the mMT promoter. In addition, a negative selection marker, for example, gpt [from pMSG (Pharmacia) or another suitable source], can be inserted adjacent to the HUMGHCSA sequences in the pUC12 poly-linker. In the former case, G418<sup>r</sup> colonies are isolated  
25 and screened by PCR amplification or restriction enzyme and Southern hybridization analysis of DNA prepared from pools of colonies to identify targeted colonies. In the latter case, G418<sup>r</sup> colonies are placed in medium containing thioxanthine to select against the integration  
30 of the gpt gene (Besnard, C. et al., Mol. Cell. Biol. 7: 4139-4141 (1987)]. In addition, the HSV-TK gene can be placed on the opposite side of the insert as gpt, allowing selection for neo and against both gpt and TK by growing

-92-

cells in human fibroblast nutrient medium containing 400  $\mu\text{g/ml}$  G418, 100  $\mu\text{M}$  6-thioxanthine, and 25  $\mu\text{g/ml}$  gancyclovir. The double negative selection should provide a nearly absolute selection for true targeted events.

5 Southern hybridization analysis is confirmatory.

The targeting schemes herein described may also be used to activate hGH expression in immortalized human cells (for example, HT1080 fibroblasts, HeLa, MCF-7 breast cancer cells, K-562 leukemia cells, KB carcinoma cells or  
10 2780AD ovarian carcinoma cells) for the purposes of producing hGH for conventional pharmacologic delivery.

EXAMPLE 19. CONSTRUCTION OF TARGETING PLASMIDS WHICH  
RESULT IN CHIMERIC TRANSCRIPTION UNITS IN  
WHICH HUMAN GROWTH HORMONE AND ERYTHROPOIETIN  
15 SEQUENCES ARE FUSED

The following serves to illustrate two further embodiments of the present invention, in which the normal regulatory sequences upstream of the human EPO gene are altered to allow expression of hEPO in primary or  
20 secondary fibroblast strains which do not express EPO in detectable quantities in their untransfected state as obtained. In these embodiments, the products of the targeting events are chimeric transcription units in which the first exon of the human growth hormone gene is  
25 positioned upstream of EPO exons 2-5. The product of transcription, splicing and translation is a protein in which amino acids 1-4 of the hEPO signal peptide are replaced with amino acid residues 1-3 of hGH. The two  
30 embodiments differ with respect to both the relative positions of the foreign regulatory sequences that are inserted and the specific pattern of splicing that needs to occur to produce the final, processed transcript.

-93-

Plasmid pXEPO-10 is designed to replace exon 1 of hEPO with exon 1 of hGH by gene targeting to the endogenous hEPO gene on human chromosome 7. Plasmid pXEPO-10 is constructed as follows. First, the

5 intermediate plasmid pT163 is constructed by inserting the 6 kb HindIII-BamHI fragment (see Example 18f) lying upstream of the hEPO coding region into HindIII-BamHI digested pBluescriptII SK+ (Stratagene, LaJolla, CA). The product of this ligation is digested with XhoI and HindIII

10 and ligated to the 1.1 kb HindIII-XhoI fragment from pMCIneoPolyA [Thomas, K. R. and Capecchi, M. R. Cell 51: 503-512 (1987) available from Strategene, LaJolla, CA] to create pT163. Oligonucleotides 13.1 - 13.4 are utilized in polymerase chain reactions to generate a fusion

15 fragment in which the mouse metallothionein 1 (mMT-I) promoter - hGH exon 1 sequences are additionally fused to hEPO intron 1 sequences. First, oligonucleotides 13.1 and 13.2 are used to amplify the approximately 0.73 kb mMT-I promoter - hGH exon 1 fragment from pXGH5 (Figure 1).

20 Next, oligonucleotides 13.3 and 13.4 are used to amplify the approximately 0.57 kb fragment comprised predominantly of hEPO intron 1 from human genomic DNA. Finally, the two amplified fragments are mixed and further amplified with oligonucleotides 13.1 and 13.4 to generate the final

25 fusion fragment (fusion fragment 3) flanked by a SalI site at the 5' side of the mMT-I moiety and an XhoI site at the 3' side of the hEPO intron 1 sequence. Fusion fragment 3 is digested with XhoI and SalI and ligated to XhoI digested pT163. The ligation mixture is transformed into

30 *E. coli* and a clone containing a single insert of fusion fragment 3 in which the XhoI site is regenerated at the 3' side of hEPO intron 1 sequences is identified and designated pXEPO-10.

-94-

13.1	5'	<u>AAAAGTCGAC</u>	<u>GGTACCTTGG</u>	TTTTTAAAC	CAGCCTGGAG
		SalI	kpnI		
		(SEQ ID NO 13)			
13.2	5'	CCTAGCGGCA	ATGGCTACAG	GTGAGTACTC	GCGGGCTGGG CG
		(SEQ ID NO 14)			
13.3	5'	CGCCCAGCCC	GCGAGTACTC	ACCTGTAGCC	ATTGCCGCTA GG
		(SEQ ID NO 15)			
13.4	5'	<u>TTTTCTCGAG</u>	CTAGAACAGA	TAGCCAGGCT	GAGAG
		XhoI			
		(SEQ ID NO 16)			

The non-boldface region of oligo 13.1 is identical to the mMT-I promoter, with the natural KpnI site as its 5' boundary. The boldface type denotes a SalI site tail to convert the 5' boundary to a SalI site. The boldface region of oligos 13.2 and 13.3 denote hGH sequences, while the non-boldface regions are intron 1 sequences from the hEPO gene. The non-boldface region of oligo 13.4 is identical to last 25 bases of hEPO intron 1. The boldface region includes an XhoI site tail to convert the 3' boundary of the amplified fragment to an XhoI site.

Plasmid pXEPO-11 is designed to place, by gene  
25 targeting, the mMT-I promoter and exon 1 of hGH upstream  
of the hEPO structural gene and promoter region at the  
endogenous hEPO locus on human chromosome 7. Plasmid  
pXEPO-11 is constructed as follows. Oligonucleotides 13.1  
and 13.5 - 13.7 are utilized in polymerase chain reactions  
30 to generate a fusion fragment in which the mouse  
metallothionein I (mMT-I) promoter - hGH exon 1 sequences  
are additionally fused to hEPO sequences from -1 to -630



-95-

relative to the hEPO coding region. First, oligonucleotides 13.1 and 13.5 are used to amplify the approximately 0.73 kb mMT-I promoter - hGH exon 1 fragment from pXGH5 (Figure 1). Next, oligonucleotides 13.6 and 13.7 are used to amplify, from human genomic DNA, the approximately 0.62 kb fragment comprised predominantly of hEPO sequences from -1 to -620 relative to the hEPO coding region. Both oligos 13.5 and 13.6 contain a 10 bp linker sequence located at the hGH intron 1 - hEPO promoter region, which corresponds to the natural hEPO intron 1 splice donor site. Finally, the two amplified fragments are mixed and further amplified with oligonucleotides 13.1 and 13.7 to generate the final fusion fragment (fusion fragment 6) flanked by a SalI site at the 5' side of the mMT-I moiety and an XhoI site at the 3' side of the hEPO promoter region. Fusion fragment 6 is digested with XhoI and SalI and ligated to XhoI digested pT163. The ligation mixture is transformed into *E. coli* and a clone containing a single insert of fusion fragment 6 in which the XhoI site is regenerated at the 3' side of hEPO promoter sequences is identified and designated pXEPO-11.

13.5 5' CCTAGCGGCA ATGGCTACAG GTGAGTACTC AAGCTTCTGG  
HindIII

GCTTCCAGAC CCAG (SEQ ID NO 17)

25 13.6 5' CTGGGTCTGG AAGCCCAGAA GCTTGAGTAC TCACCTGTAG  
HindIII

CCATTGCCGC TAGG (SEQ ID NO 18)

13.7 5' TTTCTCGAG CTCCGCGCCT GGCCGGGGTC CCTC  
XhoI

30 (SEQ ID NO 19)

-96-

The boldface regions of oligos 13.5 and 13.6 denote hGH sequences. The italicized regions correspond to the first 10 base pairs of hEPO intron 1. The remainder of the oligos  
5 correspond to hEPO sequences from -620 to -597 relative to the hEPO coding region. The non-boldface region of oligo 13.7 is identical to bases -1 to -24 relative to the hEPO coding region. The boldface region includes an XhoI  
10 site tail to convert the 3' boundary of the amplified fragment to an XhoI site.

Plasmid pXEPO-10 can be used for gene targeting by digestion with BamHI and XhoI to release the 7.3 kb fragment containing the mMT-I/hGH fusion flanked on both  
15 sides by hEPO sequences. This fragment (targeting fragment 1) contains no hEPO coding sequences, having only sequences lying between -620 and approximately -6620 upstream of the hEPO coding region and hEPO intron 1 sequences to direct targeting to the human EPO locus.  
20 Targeting fragment 1 is transfected into primary or secondary human skin fibroblasts using conditions similar to those described in Example 18c. G418-resistant colonies are picked into individual wells of 96-well plates and screened for EPO expression by an ELISA assay  
25 (R&D Systems, Minneapolis MN). Cells in which the transfecting DNA integrates randomly into the human genome cannot produce EPO. Cells in which the transfecting DNA has undergone homologous recombination with the endogenous hEPO intron 1 and hEPO upstream sequences contain a  
30 chimeric gene in which the mMT-I promoter and non-transcribed sequences and the hGH 5' untranslated sequences and hGH exon 1 replace the normal hEPO promoter and hEPO exon 1 (see Figure 7). Non-hEPO sequences in

-97-

targeting fragment 1 are joined to hEPO sequences downstream of hEPO intron 1. The replacement of the normal hEPO regulatory region with the mMT-I promoter will activate the EPO gene in fibroblasts, which do not normally express EPO. The replacement of hEPO exon 1 with hGH exon 1 results in a protein in which the first 4 amino acids of the hEPO signal peptide are replaced with amino acids 1-3 of hGH, creating a functional, chimeric signal peptide which is removed by post-translation processing from the mature protein and is secreted from the expressing cells.

Plasmid pXEPO-11 can be used for gene targeting by digestion with BamHI and XhoI to release the 7.4 kb fragment containing the mMT-I/hGH fusion flanked on both sides by hEPO sequences. This fragment (targeting fragment 2) contains no hEPO coding sequences, having only sequences lying between -1 and approximately -6620 upstream of the hEPO coding region to direct targeting to the human EPO locus. Targeting fragment 2 is transfected into primary or secondary human skin fibroblasts using conditions similar to those described in Example 18g. G418-resistant colonies are picked into individual wells of 96-well plates and screened for EPO expression by an ELISA assay (R&D Systems, Minneapolis, MN). Cells in which the transfecting DNA integrates randomly into the human genome cannot produce EPO. Cells in which the transfecting DNA has undergone homologous recombination with the endogenous hEPO promoter and upstream sequences contain a chimeric gene in which the mMT-I promoter and non-transcribed sequences, hGH 5' untranslated sequences and hGH exon 1, and a 10 base pair linker comprised of the first 10 bases of hEPO intron 1 are inserted at the HindIII site lying at position -620 relative to the hEPO coding region (see Figure 8). The localization of the

-98-

mMT-I promoter upstream of the normally silent hEPO promoter will direct the synthesis, in primary or secondary skin fibroblasts, of a message reading (5' to 3') non-translated metallothionein and hGH sequences, hGH  
5 exon 1, 10 bases of DNA identical to the first 10 base pairs of hEPO intron 1, and the normal hEPO promoter and hEPO exon 1 (-620 to +13 relative to the EPO coding sequence). The 10 base pair linker sequence from hEPO  
10 intron 1 acts as a splice donor site to fuse hGH exon 1 to the next downstream splice acceptor site, that lying immediately upstream of hEPO exon 2. Processing of the resulting transcript will therefore splice out the hEPO promoter, exon 1, and intron 1 sequences. The replacement of hEPO exon 1 with hGH exon 1 results in a protein in  
15 which the first 4 amino acids of the hEPO signal peptide are replaced with amino acids 1-3 of hGH, creating a functional, chimeric signal peptide which is removed by post-translation processing from the mature protein and is secreted from the expressing cells.

20 A series of constructs related to pXEPO-10 and pXEPO-11 may be constructed, using known methods. In these constructs, the relative positions of the mMT-I promoter and hGH sequences, as well as the position at which the mMT-I/hGH sequences are inserted into hEPO upstream  
25 sequences, are varied to create alternative chimeric transcription units that facilitate gene targeting, result in more efficient expression of the fusion transcripts, or have other desirable properties. Such constructs will give similar results, such that an hGH-hEPO fusion gene is  
30 placed under the control of an exogenous promoter by gene targeting to the normal hEPO locus. For example, the 6 kb HindIII-BamHI fragment upstream of the hEPO gene (See Example 18f) has numerous restriction enzyme recognition sequences that can be utilized as sites for insertion of

-99-

the neo gene and the mMT-I promoter/hGH fusion fragment. One such site, a BglII site lying approximately 1.3 kb upstream of the HindIII site, is unique in this region and can be used for insertion of one or more selectable  
5 markers and a regulatory region derived from another gene that will serve to activate EPO expression in primary, secondary, or immortalized human cells.

First, the intermediate plasmid pT164 is constructed by inserting the 6 kb HindIII-BamHI fragment (Example 18f)  
10 lying upstream of the hEPO coding region into HindIII-BamHI digested pBluescriptII SK+ (Stratagene, LaJolla, CA). Plasmid pMC1neoPolyA [Thomas, K.R. and Capecchi, M.R. Cell 51:503-512 (1987); available from Stratagene, LaJolla, CA] is digested with BamHI and XhoI, made blunt-  
15 ended by treatment with the Klenow fragment of *E. coli* DNA polymerase, and the resulting 1.1 kb fragment is purified. pT164 is digested with BglII and made blunt-ended by treatment with the Klenow fragment of *E. coli* DNA  
polymerase. The two preceding blunt-ended fragments are  
20 ligated together and transformed into competent *E. coli*. Clones with a single insert of the 1.1 kb neo fragment are isolated and analyzed by restriction enzyme analysis to identify those in which the BglII site recreated by the fusion of the blunt XhoI and BglII sites is localized  
25 1.3 kb away from the unique HindIII site present in plasmid pT164. The resulting plasmid, pT165, can now be cleaved at the unique BglII site flanking the 5' side of the neo transcription unit.

Oligonucleotides 13.8 and 13.9 are utilized in  
30 polymerase chain reactions to generate a fragment in which the mouse metallothionein I (mMT-I) promoter - hGH exon 1 sequences are additionally fused to a 10 base pair fragment comprising a splice donor site. The splice donor site chosen corresponds to the natural hEPO intron 1

-100-

splice donor site, although a larger number of splice donor sites or consensus splice donor sites may be used. The oligonucleotides (13.8 and 13.9) are used to amplify the approximately 0.73 kb mMT-I promoter - hGH exon 1  
5 fragment from pXGH5 (Figure 1). The amplified fragment (fragment 7) is digested with BglII and ligated to BglII digested pT165. The ligation mixture is transformed into *E. coli* and a clone, containing a single insert of fragment 7 in which the KpnI site in the mMT-I promoter is  
10 adjacent to the 5' end of the neo gene and the mMT-I promoter is oriented such that transcription is directed towards the unique HindIII site, is identified and designated pXEPO-12.

```

13.8      5' AAAAAGATCT GGTACCTTGG TTTTAAAC CAGCCTGGAG
15          BglII  KpnI
          (SEQ ID NO 20)

```

The non-boldface region of oligo 13.8 is identical to the mMT-I promoter, with the natural KpnI site as its 5' boundary. The boldface type denotes a BglII site tail to convert the 5' boundary to a BglII site.

13.9 5' TTTTAGATCT GAGTACTCAC CTGTAGCCAT TGCCGCTAGG  
BglII  
(SEQ ID NO 21)

25      The boldface region of oligos 13.9 denote hGH sequences. The italicized region corresponds to the first 10 base pairs of hEPO intron 1. The underlined BglII site is added for plasmid construction purposes.

-101-

Plasmid pXEPO-12 can be used for gene targeting by digestion with BamHI and HindIII to release the 7.9 kb fragment containing the neo gene and the mMT-I/hGH fusion flanked on both sides by hEPO sequences. This fragment

5 (targeting fragment 3) contains no hEPO coding sequences, having only sequences lying between approximately -620 and approximately -6620 upstream of the hEPO coding region to direct targeting upstream of the human EPO locus. Targeting fragment 3 is transfected into primary,

10 secondary, or immortalized human skin fibroblasts using conditions similar to those described in Examples 18b and 18c. G418-resistant colonies are picked into individual wells of 96-well plates and screened for EPO expression by an ELISA assay (R&D Systems, Minneapolis MN). Cells in

15 which the transfecting DNA integrates randomly into the human genome cannot produce EPO. Cells in which the transfecting DNA has undergone homologous recombination with the endogenous hEPO promoter and upstream sequences contain a chimeric gene in which the mMT-I promoter and

20 non-transcribed sequences, hGH 5' untranslated sequences, and hGH exon 1, and a 10 base pair linker comprised of the first 10 bases of hEPO intron 1 are inserted at the BglII site lying at position approximately -1920 relative to the hEPO coding region. The localization of the mMT-I

25 promoter upstream of the normally silent hEPO promoter will direct the synthesis, in primary, secondary, or immortalized human fibroblasts (or other human cells), of a message reading: (5' to 3') nontranslated metallothionein and hGH sequences, hGH exon 1, 10 bases of

30 DNA identical to the first 10 base pairs of hEPO intron 1, and hEPO upstream region and hEPO exon 1 (from approximately -1920 to +13 relative to the EPO coding sequence). The 10 base pair linker sequence from hEPO intron 1 acts as a splice donor site to fuse hGH exon 1 to

-102-

a downstream splice acceptor site, that lying immediately upstream of hEPO exon 2. Processing of the resulting transcript will therefore splice out the hEPO upstream sequences, promoter region, exon 1, and intron 1 sequences. When using pXEPO-10, -11 and -12, post-transcriptional processing of the message may be improved by using in vitro mutagenesis to eliminate splice acceptor sites lying in hEPO upstream sequences between the mMT-I promoter and hEPO exon 1, which reduce level of productive splicing events needed create the desired message. The replacement of hEPO exon 1 with hGH exon 1 results in a protein in which the first 4 amino acids of the hEPO signal peptide are replaced with amino acids 1-3 of hGH, creating a functional, chimeric signal peptide which is removed by post-translation processing from the mature protein and is secreted from the expressing cells.

EXAMPLE 20. STABLE TRANSFECTION OF NORMAL HUMAN MAMMARY EPITHELIAL CELLS WITH PLASMIDS CONTAINING THE hGH AND/OR neo GENES

Human mammary epithelial cells (HMEC) are obtained by digestion of ductal fragments dissociated from breast tissue. Breast tissue is trimmed of fat and minced into 2 - 4 mm<sup>3</sup> pieces. Minced tissue is washed with Hanks Basic Salt Solution (HBSS) and then placed in enzyme solution in trypsinizing flasks (Bellco, Vineland, NJ) at approximately 50 ml enzyme solution per 10 g tissue. Enzyme solution consists of Collagenase A (Boehringer Mannheim, Indianapolis, IN) at 1 mg/ml and hyaluronidase (Sigma, St. Louis, MO) at 100 units/ml dissolved in Mammary Epithelial Basal Media (MEBM, Clonetics, San Diego, CA) supplemented with 5% calf serum, 1% penicillin-streptomycin (Gibco, Grand Island, NY), and 10 µg/ml bovine insulin (Sigma). Digestion is carried out at 37°C



-103-

and 5% CO<sub>2</sub> on an orbital shaker (180 rpm) overnight. Digested tissue is further dissociated by repeated passage through a wide bore pipette. Ductal fragments are washed in 3 changes of HBSS (10 - 40 ml) by spinning at 1000 rpm for 10 minutes in 15 or 50 ml conical centrifuge tubes. The pellet is either resuspended in freezing media (MEBM + 20% calf serum + 10% DMSO) and frozen under liquid nitrogen or further digested to obtain single cells. To obtain single cells, trypsin (0.05% trypsin-EDTA, Gibco) is added to the ductal pellet (10 ml trypsin per 0.5 ml pellet) and incubated on an orbital shaker at 37°C for 30 minutes (200 rpm). Trypsinization is stopped with the addition of 2 ml calf serum and cells are spun down at 1200 rpm for 10 minutes. The cell pellet is resuspended in growth media, counted and seeded into uncoated culture vessels at 10,000 cells/cm<sup>2</sup>. HMEC are routinely cultured in serum-free growth media, MEGM, composed of MEBM supplemented with bovine insulin (10 µg/ml, Sigma), epidermal growth factor (10 ng/ml, Collaborative Research, Waltham, MA), bovine transferrin (5 µg/ml, Sigma), hydrocortisone (0.14 µM, Sigma), and bovine pituitary extract (35 µg/ml, Sigma).

Subconfluent HMEC at early passage are trypsinized, resuspended in HBSS + 15% calf serum, and counted. Cells are then pelleted, resuspended in 10 ml of electroporation buffer (EPB) and pelleted once again. Cells are resuspended at  $3 \times 10^6$  cells/ml EPB + bovine serum albumin (BSA, Sigma). Supercoiled plasmid DNA is added to a sterile cuvette with a 0.4 cm electrode gap (Bio Rad, Melville, NY). 0.5 ml of the cell suspension is added to the cuvette and mixed with the DNA using a sterile transfer pipette (Costar, Cambridge, MA). For electroporation, a Gene Pulser apparatus (Bio Rad, Melville, NY) is set at a capacitance of 960 µF and 150 -

-104-

400 V. Following electroporation, cells are removed with a transfer pipette and added to 15 ml polypropylene conical centrifuge tubes containing MEGM. The cell suspension is added to tissue culture dishes at  $1.5 \times 10^6$  cells per 100 mm dish for selection. Cells are re-fed after 48 hours with MEGM containing 50  $\mu\text{g/ml}$  G418 (Geneticin, Gibco). Selection dishes are incubated for 14 - 28 days until distinct colonies of 2  $\text{mm}^2$  or larger are observed. During the selection period cultures are re-fed twice weekly with MEGM + 50  $\mu\text{g/ml}$  G418 after one week without refeeding. Colonies are isolated with cloning cylinders and transferred into 96 or 24 well plates.

HMEC were transfected with pXGH301 (120  $\mu\text{g}$ ), pCDNEO (5 - 60  $\mu\text{g}$ ), or cotransfected with pXGH5 and pCDNEO (100  $\mu\text{g}$  each) at voltages ranging from 150 - 400 V. Average hGH expression for 23 hGH expressing clones was 2.2  $\mu\text{g}$  hGH/ $10^6$  cells/24 hours. Table 9 summarizes the number of population doublings achieved for a sample of transfected and non-transfected clones. As the data indicate, stably transfected human mammary epithelial cell clones can be propagated for more than 20 population doublings and may be used further as cellular implants for *in vivo* protein delivery and gene therapy.

TABLE 9

POPULATION DOUBLING CAPACITY OF HUMAN  
MAMMARY EPITHELIAL CELL CLONES

	<u>Condition</u>	<u>Number of clones Achieving:</u>	
		<u>10 - 20 mpd</u>	<u>&gt; 20 mpd</u>
30	Non-transfected	12	10
	pCDNEO	2	13
	pXGH301	1	5
	pCDNEO + pXGH5	12	15

-105-

EXAMPLE 21. TARGETING AND ACTIVATION OF THE HUMAN EPO  
LOCUS IN AN IMMORTALIZED HUMAN FIBROBLAST LINE

The targeting construct pXEPO-13 was made to test the hypothesis that the endogenous hEPO gene could be

5 activated in a human fibroblast cell. First, plasmid pT22.1 was constructed, containing 63 bp of genomic hEPO sequence upstream of the first codon of the hEPO gene fused to the mouse metallothionein-1 promoter (mMT-I). Oligonucleotides 22.1 to 22.4 were used in PCR to fuse

10 mMT-I and hEPO sequences. The properties of these primers are as follows: 22.1 is a 21 base oligonucleotide homologous to a segment of the mMT-I promoter beginning 28 bp upstream of the mMT-I KpnI site; 22.2 and 22.3 are 58 nucleotide complementary primers which define the fusion

15 of hEPO and mMT-I sequences such that the fusion contains 28 bp of hEPO sequence beginning 35 bases upstream of the first codon of the hEPO gene, and mMT-I sequences beginning at base 29 of oligonucleotide 22.2, comprising the natural BglIII site of mMT-I and extending 30 bases

20 into mMT-I sequence; 22.4 is 21 nucleotides in length and is homologous to hEPO sequence beginning 725 bp downstream of the first codon of the hEPO gene. These primers were used to amplify a 1.4 kb DNA fragment comprising a fusion of mMT-I and hEPO sequences as described above. The

25 resulting fragment was digested with KpnI (the PCR fragment contained two KpnI sites: a single natural KpnI site in the mMT-I promoter region and a single natural KpnI site in the hEPO sequence), and purified. The plasmid pXEPO1 (Figure 5) was also digested with KpnI,

30 releasing a 1.4 kb fragment and a 6.4 kb fragment. The 6.4 kb fragment was purified and ligated to the 1.4 kb KpnI PCR fusion fragment. The resulting construct was called pT22.1. A second intermediate, pT22.2, was constructed by ligating the approximately 6 kb HindIII-

-106-

BamHI fragment lying upstream of the hEPO structural gene (see Example 18f) to BamHI and HindIII digested pBSIISK+ (Stratagene, LaJolla, CA). A third intermediate, pT22.3, was constructed by first excising a 1.1 kb XhoI/BamHI  
5 fragment from pMCINEOpolyA (Stratagene,, LaJolla, CA) containing the neomycin phosphotransferase gene. The fragment was then made blunt-ended with the Klenow fragment of DNA polymerase I (New England Biolabs). This fragment was then ligated to the HincII site of pBSIISK+  
10 (similarly made blunt with DNA polymerase I) to produce pT22.3. A fourth intermediate, pT22.4, was made by purifying a 1.1 kb XhoI/HindIII fragment comprising the neo gene from pT22.3 and ligating this fragment to XhoI and HindIII digested pT22.2. pT22.4 thus contains the neo  
15 gene adjacent to the HindIII side of the BamHI-HindIII upstream hEPO fragment. Finally, pXEPO-13 was generated by first excising a 2.8 kb EcoRI/AccI fragment from pT22.1. The EcoRI site of this fragment defines the 5' boundary of the mMT-I promoter, while the AccI site of  
20 this fragment lies within hEPO exon 5. Thus, the AccI/EcoRI fragment contains a nearly complete hEPO expression unit, missing only a part of exon 5 and the natural polyadenylation site. This 2.8 kb EcoRI/AccI fragment was purified, made blunt-ended by treatment with  
25 the Klenow fragment of DNA polymerase I, and ligated to XhoI digested, blunt-ended, pT22.4.

HT1080 cells were transfected with PvuI-BamHI digested pXEPO-13. pXEPO-13 digested in this way generates three fragments; a 1 kb vector fragment  
30 including a portion of the amp gene, a 1.7 kb fragment of remaining vector sequences and an 8.9 kb fragment containing hEPO, neo and mMT-I sequences. The 8.9 kb BamHI/PvuI fragment contained the following sequences in order from the BamHI site: 6.0 kb of upstream hEPO genomic

-107-

sequence, the 1.1 kb neo transcription unit, the 0.7 kb mMT-I promoter and the 2.8 kb hEPO coding sequence truncated within exon 5. 45µg of pEXPO-13 digested in this way was used in an electroporation of 12 million cells (electroporation conditions were described in Example 18b). This electroporation was repeated a total of eight times, resulting in electroporation of a total of 96 million cells. Cells were mixed with media to provide a cell density of 1 million cells per ml and 1 ml aliquots were dispensed into a total of 96, 150mm tissue culture plates (Falcon) each containing a minimum of 35 ml of DMEM/15% calf serum. The following day, the media was aspirated and replaced with fresh medium containing 0.8 mg/ml G418 (Gibco). After 10 days of incubation, the media of each plate was sampled for hEPO by ELISA analysis (R & D Systems). Six of the 96 plates contained at least 10 mU/ml hEPO. One of these plates, number 18, was selected for purification of hEPO expressing colonies. each of the 96, 150 mm plates contained approximately 600 G418 resistant colonies (an estimated total of 57,600 G418 resistant colonies on all 96 plates). The approximately 600 colonies on plate number 18 were trypsinized and replated at 50 cells/ml into 364 well plates (Sterilin). After one week of incubation, single colonies were visible at approximately 10 colonies per large well of the 364 well plates (Sterilin). After one week of incubation, single colonies were visible at approximately 10 colonies per large well of the 364 well plate (these plates are comprised of 16 small wells within each of the 24 large wells). Each well was screened for hEPO expression at this time. Two of the large wells contained media with at least 20 mU/ml hEPO. Well number A2 was found to contain 15 colonies distributed among the 16 small wells. The contents of each of these small wells were trypsinized and

-108-

transferred to 16 individual wells of a 96 well plate. following 7 days of incubation the media from each of these wells was sampled for hEPO ELISA analysis. Only a single well, well number 10, contained hEPO. This cell strain was designated HT165-18A2-10 and was expanded in culture for quantitative hEPO analysis, RNA isolation and DNA isolation. Quantitative measurement of hEPO production resulted in a value of 2,500 milliunits/million cells/24 hours.

10 A 0.2 kb DNA probe extending from the AccI site in hEPO exon 5 to the BglII site in the 3' untranslated region was used to probe genomic DNA from HT165-18A2-10 cells. The targeting construct, pXEPO-13, truncated at the AccI site in exon 5 does not contain these AccI/BglII sequences and, therefore, is diagnostic for targeting at the hEPO locus. Only cell strains that have recombined in a homologous manner with natural hEPO sequences would produce an hEPO mRNA containing sequence homologous to the AccI/BglII sequences. HT165-18A2-10 was found to express an mRNA of the predicted size hybridizing with the 32-P labeled AccI/BglII hEPO probe on Northern blots. Restriction enzyme and Southern blot analysis confirmed that the neo gene and mMT-I promoter were targeted to one of the two hEPO alleles in HT165-18A2-10 cells.

25 These results demonstrate that homologous recombination may be used to target a regulatory region to a gene that is normally silent in human fibroblasts, resulting in the functional activation of that gene.

22.1 5' CACCTAAAT GATCTCTCTG G (SEQ ID NO 22)

30 22.2 5' CGCGCCGGGT GACCACACCG GGGGCCCTAG ATCTGGTGAA  
GCTGGAGCTA CGGAGTAA (SEQ ID NO 23)

-109-

22.3 5' TTACTCCGTA GCTCCAGCTT CACCAGATCT AGGGCCCCCG  
GTGTGGTCAC CCGGCGCG (SEQ ID NO 24)

22.4 5' GTCTCACCGT GATATTCTCG G (SEQ ID NO 25)

### Equivalents

- 5 Those skilled in the art will recognize, or be able to ascertain using not more than routine experimentation, many equivalents to the specific embodiments of the invention described herein. Such equivalents are intended to be encompassed by the following claims.

-110-

CLAIMS

1. A transfected primary or secondary cell of vertebrate (e.g. mammalian) origin having stably integrated into its genome:
  - 5 a) exogenous DNA which encodes a therapeutic product or is itself a therapeutic product and
  - b) DNA sequences, sufficient for expression of the exogenous DNA in the transfected primary or secondary cell, which are of non-retroviral
  - 10 origin,the primary or secondary cell being capable of expressing the therapeutic product.
2. A transfected primary or secondary cell of vertebrate (e.g. mammalian) origin which is capable of stably
- 15 expressing exogenous DNA encoding a therapeutic product incorporated therein, the exogenous DNA operatively linked to DNA sequences sufficient for expression of the exogenous DNA in the transfected primary or secondary cell.
- 20 3. A primary or secondary cell of vertebrate (e.g. mammalian) origin having stably integrated into its genome:
  - a) exogenous DNA encoding a therapeutic product, the exogenous DNA being selected from the group
  - 25 consisting of:
    - 1) exogenous DNA encoding a therapeutic product which is not made or contained in the primary or secondary cells as obtained;
    - 2) exogenous DNA encoding a therapeutic
    - 30 product made or contained in the primary or



-111-

- secondary cells, as obtained, in abnormally low amounts or in defective form; and
- 3) exogenous DNA encoding a therapeutic product made or contained in the primary or secondary cells, as obtained, in physiologically normal amounts; and
- b) DNA sequences of non-retroviral origin which are sufficient for expression of the exogenous DNA of (a)
- 10 wherein the exogenous DNA of (a) is operatively linked to the DNA sequences of (b).
4. A primary or secondary cell of vertebrate (e.g. mammalian) origin transfected with:
- a) exogenous DNA which encodes a therapeutic product or is itself a therapeutic product and
- 15 b) DNA sequences, sufficient for expression of the exogenous DNA in the primary or secondary cell, which are of non-retroviral origin;
- the sequences of (a) and (b) being present in the
- 20 cell in an episome.
5. The primary or secondary cell of any one of the preceding claims selected from (a) the group consisting of: fibroblasts, keratinocytes, epithelial cells, endothelial cells, glial cells, neural cells, formed elements of the blood, muscle cells,
- 25 hepatocytes, and precursors thereof; or (b) the group consisting of: primary human cells, secondary human cells, primary mouse cells, secondary mouse cells, primary rabbit cells and secondary rabbit cells.

-112-

6. The primary or secondary cell of any one of the preceding claims, wherein (a) the exogenous DNA encodes a therapeutic product selected from the group consisting of: enzymes, cytokines, hormones,  
5 antigens, antibodies, clotting factors, regulatory proteins, ribozymes, transcription proteins, receptors, anti-sense nucleic acid sequences and proteins; or (b) the exogenous DNA is itself a therapeutic product selected from the group  
10 consisting of: DNA sequences sufficient for sequestration of a protein or nucleic acid in the transfected primary or secondary cell, DNA sequences which bind to a cellular regulatory protein, DNA sequences which alter the secondary or tertiary  
15 chromosomal structure, and DNA sequences which are transcriptional regulatory elements.
7. The primary or secondary cell of any one of the preceding claims which additionally includes DNA encoding a selectable marker.
- 20 8. A clonal cell strain of transfected secondary cells of vertebrate (e.g. mammalian) origin which are capable of expressing exogenous DNA encoding a therapeutic product incorporated therein.
9. The clonal cell strain of Claim 8 wherein the  
25 exogenous DNA is stably incorporated into genomic DNA of the transfected secondary cells.
10. A clonal cell strain of Claim 8 or Claim 9 wherein  
30 (a) the exogenous DNA encodes a therapeutic product selected from the group consisting of: enzymes, cytokines, hormones, antigens, antibodies, clotting

-113-

- factors, regulatory proteins, ribozymes, transcription proteins, receptors, anti-sense nucleic acid sequences and proteins; or (b) the exogenous DNA is itself a therapeutic product selected from the group consisting of: DNA sequences sufficient for sequestration of a protein or nucleic acid in the transfected primary or secondary cell, DNA sequences which bind to a cellular regulatory protein, DNA sequences which alter the secondary or tertiary chromosomal structure, and DNA sequences which are transcriptional regulatory elements.
11. The clonal cell strain of Claim 8 or Claim 9 wherein the transfected secondary cells are selected from (a) the group consisting of: transfected secondary fibroblasts, transfected secondary keratinocytes, transfected epithelial cells, transfected endothelial cells, transfected glial cells, transfected neural cells, transfected formed elements of the blood, transfected muscle cells, transfected hepatocytes and transfected precursors thereof; or (b) the group consisting of: transfected secondary human cells, transfected secondary mouse cells, and transfected secondary rabbit cells.
12. The clonal cell strain of any one of Claims 8, 10 or 11 wherein the exogenous DNA is present in the transfected secondary cells in an episome.
13. The clonal cell strain of any one of Claims 8 to 12 in which the transfected secondary cells additionally include DNA encoding a selectable marker.

-114-

14. A heterogenous cell strain of transfected primary or secondary cells of vertebrate (e.g. mammalian) origin having stably incorporated into their genomes:
- a) exogenous DNA encoding a therapeutic product and
  - 5 b) DNA sequences sufficient for expression of the exogenous DNA in the transfected primary or secondary cell, which are of non-retroviral origin
- 10 the heterogenous cell strain being capable of expressing the therapeutic product.
15. The heterogenous cell strain of Claim 14, wherein the transfected primary or secondary cells are selected from (a) the group consisting of: transfected fibroblasts, transfected keratinocytes, transfected
- 15 epithelial cells, transfected endothelial cells, transfected glial cells, transfected neural cells, transfected formed elements of the blood, transfected muscle cells, transfected hepatocytes and transfected precursors thereof; or (b) the group consisting of:
- 20 transfected primary human cells, transfected secondary human cells, transfected primary mouse cells, transfected secondary mouse cells, transfected primary rabbit cells and transfected secondary rabbit cells.
- 25 16. The heterogenous cell strain of Claim 14 or Claim 15 wherein (a) the DNA encodes a therapeutic product selected from the group consisting of: enzymes, cytokines, hormones, antigens, antibodies, clotting factors, regulatory proteins, ribozymes,
- 30 transcription proteins, receptors, anti-sense nucleic acid sequences and novel proteins; or (b) the exogenous DNA is itself a therapeutic product

-115-

- selected from the group consisting of: DNA sequences sufficient for sequestration of a protein or nucleic acid in the transfected primary or secondary cell, DNA sequences which bind to a cellular regulatory protein, DNA sequences which alter the secondary or tertiary chromosomal structure, and DNA sequences which are transcriptional regulatory elements.
- 5
17. A heterogenous cell strain of any one of Claims 14, 15 and 16 which additionally includes DNA encoding a selectable marker.
- 10
18. A heterogenous cell strain of transfected primary or secondary cells which are capable of expressing exogenous DNA encoding a therapeutic product, the exogenous DNA being present episomally in the cells.
- 15
19. The primary or secondary cell of Claim 1 or Claim 4, wherein the exogenous DNA encodes human growth hormone, erythropoietin or a glucagon-like peptide 1 related peptide.
- 20
20. The cell strain of any one of Claims 8 to 18, wherein the therapeutic product is erythropoietin or a glucagon-like peptide 1 related peptide.
21. The cell strain of any one of Claims 8 to 18, wherein the therapeutic product is human growth hormone.
- 25
22. A cell or cell strain according to Claim 19 or Claim 20, wherein the glucagon-like peptide 1 related peptide is a glucagon-like peptide 1 derivative selected from the group consisting of GLP-1(7-37), GLP-1(7-36), GLP-1(7-35), GLP-1(7-34) and truncated

-116-

- carboxy-terminal amidated derivatives and derivatives of GLP-1 which have amino acid substitutions, deletions, additions or other alterations (e.g., addition of a non-amino acid component) which result in biological activity or stability in the blood which is substantially the same as that of a truncated GLP-1 derivative or enhanced biological activity or stability in the blood.
23. A mixture of cells consisting essentially of transfected primary or secondary cells of Claims 1 to 7 or Claim 19 or Claim 22 and untransfected primary or secondary cells.
24. A method of producing a clonal cell strain of any one of Claims 8 to 13 or Claims 20, 21 and 22 comprising the steps of:
- a) producing a mixture of cells of vertebrate (e.g. mammalian) origin containing primary cells;
  - b) transfecting primary cells produced in (a) with a DNA construct comprising exogenous DNA encoding a therapeutic product and additional DNA sequences sufficient for expression of the exogenous DNA in the primary cells, thereby producing transfected primary cells which are capable of expressing the exogenous DNA encoding a therapeutic product;
  - c) culturing a transfected primary cell which is capable of expressing the exogenous DNA encoding a therapeutic product produced in (b), under conditions appropriate for propagating the transfected primary cell which is capable of expressing the exogenous DNA encoding a therapeutic product, thereby producing a clonal

-117-

cell strain of transfected secondary cells from the transfected primary cell.

25. The method of Claim 24 wherein in step (b) primary cells are transfected with (i) the DNA construct comprising exogenous DNA by microinjecting the DNA construct comprising exogenous DNA into the primary cells; or (ii) with the DNA construct comprising exogenous DNA by calcium phosphate precipitation, modified calcium phosphate precipitation, liposome fusion methodologies, receptor mediated transfer, microprojectile bombardment and polybrene precipitation.
26. The method of Claim 24 wherein in step (b), the exogenous DNA is introduced into genomic DNA by homologous recombination between DNA sequences present in the DNA construct and genomic DNA.
27. A method of producing a clonal cell strain according to any one of Claims 8 to 13, or Claims 20, 21 and 22 comprising the steps of:
- a) providing a mixture of cells of vertebrate (e.g. mammalian) origin containing primary cells;
  - b) producing a population of secondary cells from the primary cells provided in (a);
  - c) transfecting secondary cells produced in (b) with a DNA construct comprising exogenous DNA encoding a therapeutic product and additional DNA sequences sufficient for expression of the exogenous DNA in the secondary cells, thereby producing transfected secondary cells which are capable of expressing the exogenous DNA encoding a therapeutic product; and

-118-

- 5           d)     culturing a transfected secondary cell which is capable of expressing the DNA encoding a therapeutic product, produced in (c), under conditions appropriate for propagating the transfected secondary cell which is capable of expressing the exogenous DNA encoding a therapeutic product,
- 10           thereby producing a clonal cell strain of transfected secondary cells from the transfected secondary cell of (d).
- 15           28.    The method of Claim 27 wherein in step (c) secondary cells are transfected (i) with the DNA construct comprising exogenous DNA by microinjecting the DNA construct comprising exogenous DNA into the secondary cells; or (ii) with the DNA construct comprising exogenous DNA by calcium phosphate precipitation, modified calcium phosphate precipitation, liposome fusion methodologies, receptor mediated transfer, micro-projectile bombardment and polybrene precipitation.
- 20           29.    The method of Claim 27 wherein in step (c), the exogenous DNA is introduced into genomic DNA by homologous recombination between DNA sequences present in the DNA construct and genomic DNA.
- 25           30.    A method of producing a heterogenous cell strain according to any one of Claims 14 to 18, or Claim 22, comprising the steps of:
- 30           a)     producing a mixture of cells of vertebrate (e.g. mammalian) origin containing primary cells;
- b)     transfecting primary cells produced in (a) with exogenous DNA encoding a therapeutic product and



-119-

operatively linked to DNA sequences of non-retroviral origin sufficient for expression of the exogenous DNA in transfected secondary cells, thereby producing a mixture of primary cells which includes transfected primary cells which are capable of expressing the exogenous DNA encoding a therapeutic product;

5 c) culturing the product of (b) under conditions appropriate for propagation of transfected primary cells which are capable of expressing the exogenous DNA encoding a therapeutic product,

10 thereby producing a heterogenous cell strain of transfected primary or secondary cells of vertebrate origin which are capable of expressing the exogenous DNA encoding a therapeutic product.

31. The method of Claim 24 or Claim 27 or Claim 30 wherein, in step (c), primary cells are transfected with the DNA construct comprising exogenous DNA encoding a therapeutic product by combining the primary cells and the DNA construct comprising exogenous DNA encoding a therapeutic product and subjecting the resulting combination to electroporation under conditions which result in production of at least one primary or secondary cell having exogenous DNA stably integrated into genomic DNA.

32. The method of Claim 27 or Claim 31 wherein electroporation is carried out at an electroporation voltage of between 250 and 300 volts and a capacitance setting of approximately 960  $\mu$ Farads.

-120-

33. The method of Claim 30 wherein in step (b) primary cells are transfected with (i) the DNA construct comprising exogenous DNA by microinjecting the DNA construct comprising exogenous DNA into the primary cells; or (ii) with the DNA construct comprising exogenous DNA by calcium phosphate precipitation, modified calcium precipitation, liposome fusion methodologies, receptor mediated transfer, microprojectile bombardment and polybrene precipitation.
34. The method of Claim 30 wherein in step (b) transfected primary cells are produced by introducing into primary cells produced in (a) a construct which undergoes homologous recombination with genomic DNA of the primary cells, thereby resulting in introduction of the construct into the genomic DNA.
35. A method of producing a clonal cell strain of secondary fibroblasts of mammalian origin which are capable of expressing exogenous DNA encoding a therapeutic product upon introduction into a mammal, comprising the steps of:
- a) providing primary fibroblasts of mammalian origin;
  - b) producing a population of secondary fibroblasts from the primary fibroblasts provided in (a);
  - c) combining the secondary fibroblasts of mammalian origin with a DNA construct comprising:
    - i) exogenous DNA encoding a therapeutic product to be expressed in the fibroblasts;
    - and

-121-

- ii) additional DNA sequences of non-retroviral origin sufficient for expression of the exogenous DNA in the fibroblasts;
  - d) 5     subjecting the combination produced in (c) to electroporation under conditions which result in transfection of the vector into the secondary fibroblasts of mammalian origin, thereby producing a mixture of transfected secondary fibroblasts of mammalian origin and  
10     non-transfected secondary fibroblasts of mammalian origin;
  - e)     isolating a transfected secondary fibroblast of mammalian origin produced in (d); and
  - f) 15     culturing the transfected secondary fibroblast of mammalian origin isolated in (e) under conditions appropriate for production of a clonal population consisting essentially of transfected secondary fibroblasts of mammalian origin which are capable of expressing the  
20     exogenous DNA encoding a therapeutic product.
36. A method of producing a heterogenous cell strain according to any one of Claims 14 to 18, or Claim 22, comprising the steps of
- a) 25     providing a mixture of cells of vertebrate (e.g. mammalian) origin containing primary cells;
  - b)     producing a population of secondary cells from the primary cells provided in (a);
  - c) 30     transfecting secondary cells produced in (b) with exogenous DNA encoding a therapeutic product and operatively linked to DNA sequences of non-retroviral origin sufficient for expression of the exogenous DNA in transfected secondary cells, thereby producing a mixture of

-122-

- secondary cells which includes transfected secondary cells which are capable of expressing the exogenous DNA encoding a therapeutic product;
- 5       d)   culturing the product of (c) under conditions appropriate for propagation of transfected secondary cells which are capable of expressing the exogenous DNA encoding a therapeutic product,
- 10       thereby producing a heterogenous cell strain of transfected secondary cells of vertebrate origin which are capable of expressing the exogenous DNA encoding a therapeutic product.
- 15       37.   The method of Claim 36 wherein, in step (c), secondary cells are transfected with the DNA construct comprising exogenous DNA encoding a therapeutic product by combining the primary cells and the DNA construct comprising exogenous DNA encoding a therapeutic product and subjecting the
- 20       resulting combination to electroporation under conditions which result in production of at least one secondary cell having exogenous DNA stably integrated into genomic DNA.
- 25       38.   The method of Claim 37 wherein electroporation is carried out at an electroporation voltage of between 250 and 300 volts and a capacitance setting of approximately 960  $\mu$ Farads.
- 30       39.   The method of Claim 36 wherein in step (b) primary cells are transfected with (i) the DNA construct comprising exogenous DNA by microinjecting the DNA construct comprising exogenous DNA into the primary

-123-

- cells; or (ii) with the DNA construct comprising exogenous DNA by calcium phosphate precipitation, modified calcium phosphate precipitation, liposome fusion methodologies, receptor mediated transfer, microprojectile bombardment and polybrene precipitation.
40. The method of Claim 36, wherein in step (c) transfected secondary cells are produced by introducing into secondary cells produced in (b) a construct which undergoes homologous recombination with genomic DNA of the secondary cells, thereby resulting in introduction of the construct into the genomic DNA.
41. A method of producing a clonal cell strain of secondary fibroblasts of mammalian origin which are capable of expressing exogenous DNA encoding a therapeutic product upon introduction into a mammal, comprising the steps of:
- a) providing primary fibroblasts of mammalian origin;
  - b) producing a population of secondary fibroblasts from the primary fibroblasts provided in (a);
  - c) combining the secondary fibroblasts of mammalian origin with a DNA construct comprising:
    - i) exogenous DNA encoding a therapeutic product to be expressed in the fibroblasts; and
    - ii) additional DNA sequences of non-retroviral origin sufficient for expression of the exogenous DNA in the fibroblasts;
  - d) subjecting the combination produced in (c) to electroporation under conditions which result in

-124-

- transfection of the DNA construct into the secondary fibroblasts of mammalian origin, thereby producing a mixture of transfected secondary fibroblasts of mammalian origin and non-transfected secondary fibroblasts of mammalian origin;
- 5
- e) isolating a transfected secondary fibroblast of mammalian origin produced in (d); and
- f) culturing the transfected secondary fibroblast of mammalian origin isolated in (e) under conditions appropriate for production of a clonal population consisting essentially of transfected secondary fibroblasts of mammalian origin which are capable of expressing the exogenous DNA encoding a therapeutic product upon introduction into a mammal.
- 10
- 15
42. The method of Claim 41 wherein in step (d) electroporation is carried out at an electroporation voltage of between 250 and 300 volts and a capacitance setting of approximately 960  $\mu$ Farads.
- 20
43. The method of Claim 41 or Claim 42 further comprising maintaining the product of (f) for sufficient time and under appropriate conditions for at least 20 doublings of the transfected secondary cells which are capable of expressing the exogenous DNA to occur.
- 25
44. A method according to any one of Claims 41, 42 and 43, wherein the therapeutic product is erythropoietin or a glucagon-like peptide 1 related peptide.

-125-

45. A method according to any one of Claims 41, 42 and 43, wherein the therapeutic product is human growth hormone.
46. A cell or cell strain according to Claim 44, wherein the glucagon-like peptide 1 related peptide is a glucagon-like peptide 1 derivative selected from the group consisting of GLP-1(7-37), GLP-1(7-36), GLP-1(7-35), GLP-1(7-34) and truncated carboxy-terminal amidated derivatives and derivatives of GLP-1 which have amino acid substitutions, deletions, additions or other alterations (e.g., addition of a non-amino acid component) which result in biological activity or stability in the blood which is substantially the same as that of a truncated GLP-1 derivative or enhanced biological activity or stability in the blood.
47. A method of providing transfected cells capable of delivering an effective amount of a therapeutic product to a mammal wherein a cell or cell strain according to any one of Claims 1 to 22 is cultured to provide a sufficient number of said transfected cells.
48. Use of a cell or cell strain according to any one of Claims 1 to 23 for the manufacture of a therapeutic product for use in gene therapy.
49. Transfected cells or a cell strain according to any one of Claims 1 to 23 for use in therapy, e.g. gene therapy.

-126-

50. A method of providing erythropoietin in an effective amount to a mammal, comprising introducing into the mammal a barrier device containing:
- a) transfected primary cells capable of expressing exogenous DNA encoding erythropoietin,
  - b) transfected secondary cells capable of expressing exogenous DNA encoding erythropoietin,
  - c) or both (a) and (b),
- wherein the barrier device is made of a material which permits passage of erythropoietin into the circulation or tissues of the mammal and prevents contact between the immune system of the mammal and the transfected cells contained within the barrier device to a sufficient extent to prevent a deleterious immune response by the mammal.
51. A method of providing erythropoietin in an effective amount to a mammal, comprising introducing into the mammal a DNA construct comprising exogenous DNA encoding erythropoietin and regulatory sequences sufficient for expression of erythropoietin in cells of the mammal, wherein the DNA construct is taken up by cells of the mammal and is expressed therein.
52. The method of Claim 51, wherein the DNA construct is introduced into the mammal by direct injection into muscle.
53. A barrier device containing:
- a) transfected primary cells capable of expressing exogenous DNA encoding erythropoietin,



-127-

- b) transfected secondary cells capable of  
expressing exogenous DNA encoding  
erythropoietin,  
c) or both (a) and (b),  
5 wherein the barrier device is made of a material  
which when the device is introduced into a mammal  
permits passage of erythropoietin into the  
circulation or tissues of a mammal and prevents  
contact between the immune system of the mammal and  
10 the transfected cells contained within the barrier  
device to a sufficient extent to prevent a  
deleterious immune response by the mammal.
54. A DNA construct comprising exogenous DNA encoding  
erythropoietin and regulatory sequences sufficient  
15 for expression of erythropoietin in cells of a  
mammal, for use in therapy in providing  
erythropoietin in an effective amount to the mammal.
55. A method of providing human growth hormone in an  
effective amount to a mammal, comprising introducing  
20 into the mammal a barrier device containing:  
a) transfected primary cells capable of expressing  
exogenous DNA encoding human growth hormone,  
b) transfected secondary cells capable of  
expressing exogenous DNA encoding human growth  
25 hormone,  
c) or both (a) and (b),  
wherein the barrier device is made of a material  
which permits passage of human growth hormone into  
the circulation or tissues of the mammal and prevents  
30 contact between the immune system of the mammal and  
the transfected cells contained within the barrier

-128-

device to a sufficient extent to prevent a deleterious immune response by the mammal.

56. A method of providing human growth hormone in an effective amount to a mammal, comprising introducing into the mammal a DNA construct comprising exogenous DNA encoding human growth hormone and regulatory sequences sufficient for expression of human growth hormone in cells of the mammal, wherein the DNA construct is taken up by cells of the mammal and is expressed therein.
57. The method of Claim 56, wherein the DNA construct is introduced into the mammal by direct injection into muscle.
58. A barrier device containing:
- a) transfected primary cells capable of expressing exogenous DNA encoding human growth hormone,
  - b) transfected secondary cells capable of expressing exogenous DNA encoding human growth hormone,
  - c) or both (a) and (b),
- wherein the barrier device is made of a material which when the device is introduced into a mammal permits passage of human growth hormone into the circulation or tissues of a mammal and prevents contact between the immune system of the mammal and the transfected cells contained within the barrier device to a sufficient extent to prevent a deleterious immune response by the mammal.
59. A DNA construct comprising exogenous DNA encoding human growth hormone and regulatory sequences

-129-

sufficient for expression of human growth hormone in cells of a mammal, for use in therapy in providing human growth hormone in an effective amount to the mammal.

- 5 60. A method of providing insulinotropin in an effective amount to a mammal, comprising introducing into the mammal a barrier device containing:
- a) transfected primary cells capable of expressing exogenous DNA encoding insulinotropin,
  - 10 b) transfected secondary cells capable of expressing exogenous DNA encoding insulinotropin,
  - c) or both (a) and (b),
- 15 wherein the barrier device is made of a material which permits passage of insulinotropin into the circulation or tissues of the mammal and prevents contact between the immune system of the mammal and the transfected cells contained within the barrier device to a sufficient extent to prevent a
- 20 deleterious immune response by the mammal.
61. A method of providing insulinotropin in an effective amount to a mammal, comprising introducing into the mammal a DNA construct comprising exogenous DNA encoding insulinotropin and regulatory sequences
- 25 sufficient for expression of insulinotropin in cells of the mammal, wherein the DNA construct is taken up by cells of the mammal and is expressed therein.
62. The method of Claim 61, wherein the DNA construct is introduced into the mammal by direct injection into
- 30 muscle.

-130-

63. A barrier device containing:
- a) transfected primary cells capable of expressing exogenous DNA encoding insulinotropin,
  - b) transfected secondary cells capable of expressing exogenous DNA encoding insulinotropin,
  - c) or both (a) and (b),
- wherein the barrier device is made of a material which when the device is introduced into a mammal permits passage of insulinotropin into the circulation or tissues of a mammal and prevents contact between the immune system of the mammal and the transfected cells contained within the barrier device to a sufficient extent to prevent a deleterious immune response by the mammal.
64. A DNA construct comprising exogenous DNA encoding insulinotropin and regulatory sequences sufficient for expression of insulinotropin in cells of a mammal, for use in therapy in providing insulinotropin in an effective amount to the mammal.
65. A method of introducing exogenous DNA into a preselected site of the genome of a primary or a secondary cell of vertebrate (e.g., mammalian) origin, comprising the steps of:
- a) transfecting the primary or the secondary cell with a DNA construct comprising exogenous DNA which includes DNA sequences homologous to genomic DNA sequences of the primary or secondary cell, thereby producing transfected primary or secondary cells and
  - b) maintaining transfected primary or secondary cells under conditions appropriate for

-131-

homologous recombination between DNA sequences in the DNA construct and genomic DNA to occur; thereby producing homologously recombinant primary or secondary cells.

- 5 66. The method of Claim 65 wherein the DNA construct of (a) additionally includes DNA encoding a selectable marker.
67. The method of Claim 65 or Claim 66 further comprising  
10 culturing a homologously recombinant primary or secondary cell under conditions appropriate for propagating the homologously recombinant primary or secondary cell, thereby producing a clonal strain of homologously recombinant secondary cells.
- 15 68. A clonal cell strain of homologously recombinant secondary cells produced by the method of Claim 67.
69. A method of targeting DNA sequences into genomic DNA of a primary or secondary cell of vertebrate (e.g. mammalian) origin, comprising the steps of: .
- 20 a) providing a DNA construct comprising:
- 1) exogenous DNA encoding a product (e.g., a therapeutic product) to be expressed in primary or secondary cells of vertebrate origin;
- 25 2) DNA sequences homologous with genomic DNA sequences in the primary or secondary cell of vertebrate origin; and
- 3) DNA sequences encoding at least one selectable marker;
- 30 b) transfecting primary or secondary cells with the DNA construct provided in (a), thereby producing

-132-

- primary or secondary cells containing the DNA construct provided in (a); and
- c) maintaining primary or secondary cells produced in (b) under conditions appropriate for homologous recombination to occur between DNA sequences homologous with genomic DNA sequences and genomic DNA sequences,
- thereby producing homologously recombinant primary or secondary cells of vertebrate origin having the DNA construct of (a) integrated into genomic DNA of the primary or secondary cells.
70. The method of any one of Claims 65 to 69 wherein the primary or secondary cell is selected from (a) the group consisting of: fibroblasts, keratinocytes, epithelial cells, endothelial cells, glial cells, neural cells, formed elements of the blood, muscle cells, hepatocytes and precursors thereof; or (b) the group consisting of: primary human cells, secondary human cells, primary mouse cells, secondary mouse cells, primary rabbit cells and secondary rabbit cells.
71. The method of any one of Claims 65 to 70 wherein (a) the exogenous DNA encodes a therapeutic product selected from the group consisting of: enzymes, cytokines, hormones, antigens, antibodies, clotting factors, regulatory proteins, ribozymes, transcription proteins, receptors, anti-sense nucleic acid sequences and novel proteins; (b) the exogenous DNA is itself a therapeutic product selected from the group consisting of DNA sequences sufficient for sequestration of a protein or nucleic acid in the cell, DNA sequences which bind to a cellular

-133-

regulatory protein, DNA sequences which alter secondary or tertiary chromosomal structure and DNA sequences which are transcriptional regulatory elements.

- 5 72. A homologously recombinant primary or secondary cell produced by the method of any one of Claims 65, 66, 69, 70 and 71.
73. A homologously recombinant primary or secondary cell of vertebrate (e.g. mammalian) origin, having  
10 integrated into genomic DNA exogenous DNA.
74. A homologously recombinant primary or secondary cell of Claim 73 selected from (a) the group consisting of: fibroblasts, keratinocytes, epithelial cells, endothelial cells, glial cells, neural cells, formed  
15 elements of the blood, muscle cells, hepatocytes and precursors thereof; or (b) the group consisting of: primary human cells, secondary human cells, primary mouse cells, secondary mouse cells, primary rabbit cells and secondary rabbit cells.
- 20 75. A homologously recombinant primary or secondary cell of Claim 73 or Claim 74 wherein (a) the exogenous DNA encodes a therapeutic product selected from the group consisting of: enzymes, cytokines, hormones, antigens, antibodies, clotting factors, regulatory  
25 proteins, ribozymes, transcription proteins, receptors, anti-sense nucleic acid sequences and novel proteins; or (b) the exogenous DNA is itself a therapeutic product selected from the group consisting of DNA sequences sufficient for  
30 sequestration of a protein or nucleic acid in the

-134-

5 cell, DNA sequences which bind to a cellular regulatory protein, DNA sequences which alter secondary or tertiary chromosomal structure and DNA sequences which are transcriptional regulatory elements.

76. A homologously recombinant primary or secondary cell of any one of Claims 73, 74 and 75 which additionally has integrated into genomic DNA DNA encoding a selectable marker.
- 10 77. Homologously recombinant cells according to any one of Claims 68 or 72 to 76 for use in therapy in delivering said therapeutic product to a mammal.
- 15 78. A method of targeting exogenous DNA into a preselected site in genomic DNA of a primary or secondary cell of vertebrate origin, comprising the steps of:
- a) providing a DNA construct comprising:
    - 1) exogenous DNA selected from the group consisting of:
      - 20 a) DNA sequences which repair, alter, delete or replace a resident gene in the primary or secondary cell;
      - b) DNA sequences encoding a product not normally expressed in the primary or secondary cells or not expressed in significant levels in the primary or secondary cells as obtained;
      - 25 c) DNA sequences which repair, alter, delete or replace a regulatory sequence present in the primary or secondary cells;
      - 30



-135-

- 5                   d) DNA sequences which encode a regulatory sequence not normally functionally linked to a gene to be expressed in the primary or secondary cells as obtained; and
- e) DNA sequences which inactivate or remove a gene or gene portion in the primary or secondary cells;
- 10                  2) DNA sequences homologous with genomic DNA sequences in the primary or secondary cells; and
- 3) DNA sequences encoding at least one selectable marker;
- 15                  b) transfecting primary or secondary cells with the DNA construct provided in (a), thereby producing primary or secondary cells containing the DNA construct provided in (a); and
- 20                  c) maintaining primary or secondary cells produced in (b) under conditions appropriate for homologous recombination to occur between DNA sequences homologous with genomic DNA sequences and genomic DNA sequences,
- 25                  thereby producing primary or secondary cells of vertebrate origin having the DNA construct of (a) integrated into genomic DNA of the primary or secondary cells.

79. A method of increasing the efficiency of homologous recombination between (a) genomic DNA sequences of a primary or a secondary cell of vertebrate (e.g. mammalian) origin and (b) exogenous DNA sequences, present in a DNA construct, which are homologous with genomic DNA sequences of the primary or the secondary cell, comprising introducing into the primary or the
- 30

-136-

secondary cell a linear DNA construct having a single stranded overhang at each end.

80. A method of turning on expression of a gene to be expressed which is present in a cell (of e.g. mammalian origin) but is not expressed in the cell as obtained or is not expressed at significant levels in the cell as obtained, comprising introducing into the cell a DNA construct comprising a regulatory region under conditions appropriate for homologous recombination, whereby the regulatory region is inserted into or replaces all or a portion of the regulatory region of the gene to be expressed, and is functionally linked to the gene to be expressed, thereby producing homologously recombinant cells which are capable of expressing the gene.
81. The method of Claim 80 wherein the gene is selected from the group consisting of: enzymes, cytokines, hormones, antigens, antibodies, clotting factors, regulatory proteins, receptors and proteins.
82. The method of Claim 81 wherein the gene is selected from the group consisting of: the human erythropoietin, growth hormone, and insulin genes.
83. A method of targeting DNA sequences into genomic DNA of a primary or secondary cell of vertebrate (e.g. mammalian) origin, comprising the steps of:
- a) providing a DNA construct comprising:
    - 1) exogenous DNA encoding a product to be expressed in primary or secondary cells of vertebrate origin;

-137-

- 2) DNA sequences homologous with genomic DNA sequences in the primary or secondary cell of vertebrate origin; and
  - 3) DNA sequences encoding at least one selectable marker;
- 5
- b) transfecting primary or secondary cells with the DNA construct provided in (a), thereby producing primary or secondary cells containing the DNA construct provided in (a); and
  - 10 c) maintaining primary or secondary cells produced in (b) under conditions appropriate for homologous recombination to occur between DNA sequences homologous with genomic DNA sequences and genomic DNA sequences, thereby producing
  - 15 homologously recombinant primary or secondary cells of vertebrate origin having the DNA construct of (a) integrated into genomic DNA of the primary or secondary cells;
  - d) exposing the homologously recombinant primary and secondary cells produced in (c) to a
  - 20 selective agent which selects for the selectable marker present in the targeting DNA construct, whereby cells that have not stably integrated the selectable marker are killed and cells that
  - 25 have stably integrated the selectable marker can survive and form colonies; and
  - e) screening colonies produced in (d) to identify homologously recombinant primary or secondary cell strains.
- 30 84. The method of Claim 83 wherein the positive selective marker is neo and the selective agent is G418.

-138-

85. A method of targeting DNA sequences into genomic DNA of a primary or secondary cell of vertebrate (e.g. mammalian) origin, comprising the steps of:

a) providing a DNA construct comprising:

- 5           1) exogenous DNA encoding a product to be expressed in primary or secondary cells of vertebrate origin;
- 2) DNA sequences homologous with genomic DNA sequences in the primary or secondary cell of vertebrate origin; and
- 10          3) DNA sequences encoding at least one each positive and negative selection markers, in such a configuration that homologous recombination between the targeting sequences and homologous sequences in the
- 15           host cell genome results in the targeted integration of the positive selection marker, while the negative selection marker is not integrated;
- 20          b) transfecting primary or secondary cells with the DNA construct provided in (a), thereby producing primary or secondary cells containing the DNA construct provided in (a); and
- c) maintaining primary or secondary cells produced
- 25           in (b) under conditions appropriate for homologous recombination to occur between DNA sequences homologous with genomic DNA sequences and genomic DNA sequences, thereby producing homologously recombinant primary or secondary
- 30           cells of vertebrate origin having the DNA construct of (a) integrated into genomic DNA of the primary or secondary cells;
- d) exposing primary and secondary cells produced in (c) to a selective agent which selects for the

-139-

- positive selection marker present in the targeting DNA construct, whereby cells that have not stably integrated the positive selection marker are killed and cells that have stably integrated the positive selection marker can survive and form colonies; and
- 5 e) additionally exposing the cells produced in (c) and (d) to an agent which selects against the negative selection marker present in the targeting DNA construct, whereby cells that have stably integrated the negative selection marker are killed, with the result of steps (d) and (e) being such that homologously recombinant cells are selected.
- 10
- 15 86. The method of any one of Claims 78 to 85 wherein the cell is a primary or secondary cell of vertebrate (e.g. mammalian) origin selected (a) from the group consisting of: fibroblasts, keratinocytes, epithelial cells, endothelial cells, glial cells, neural cells, formed elements of the blood, muscle cells, hepatocytes and precursors thereof; or (b) from the group consisting of: primary human cells, secondary human cells, primary mouse cells, secondary mouse cells, primary rabbit cells and secondary rabbit cells.
- 20
- 25
87. The method of any one of Claims 78 to 86 wherein the gene to be expressed or the exogenous DNA encodes a therapeutic product selected (a) from the group consisting of: enzymes, cytokines, hormones, antigens, antibodies, clotting factors, regulatory proteins, ribozymes, transcription proteins, receptors, anti-sense nucleic acid sequences and
- 30

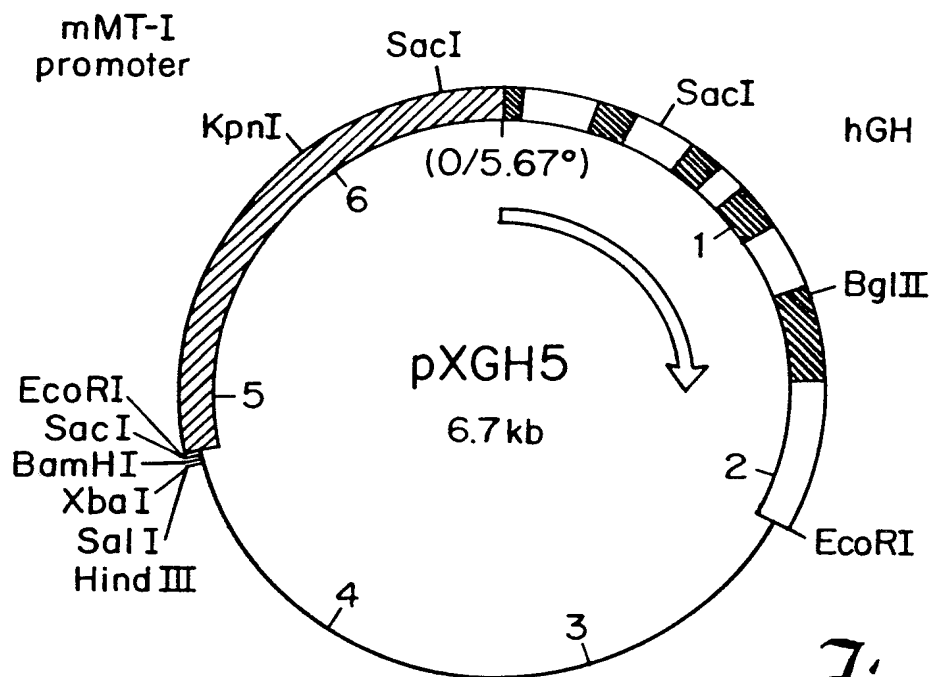
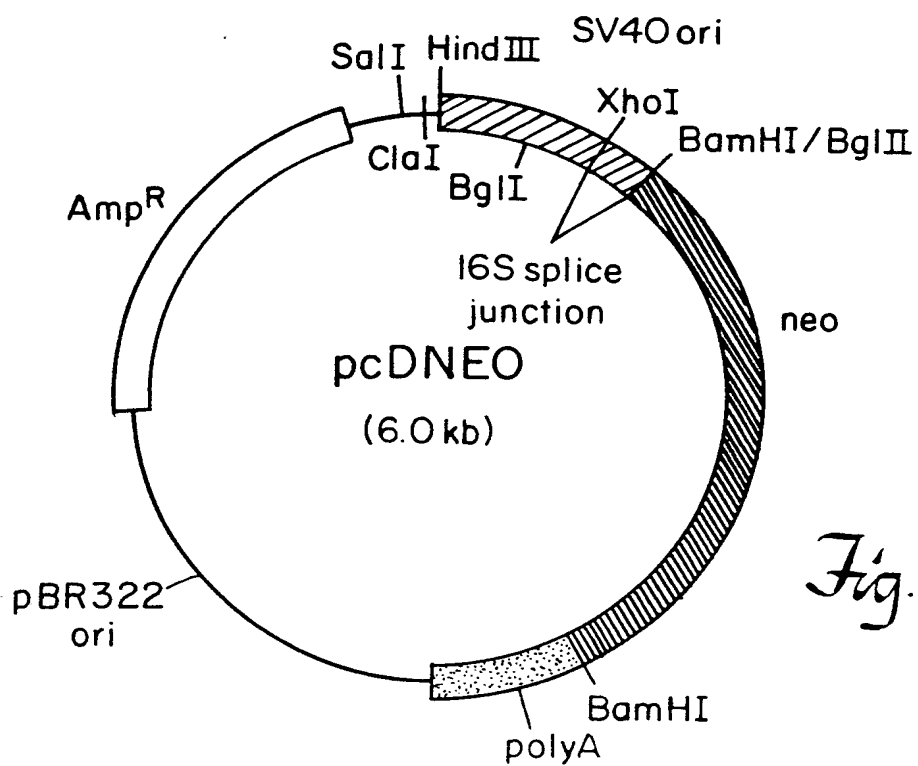
-140-

- novel proteins; or (b) is itself a therapeutic product selected from the group consisting of DNA sequences sufficient for sequestration of a protein or nucleic acid in the cell, DNA sequences which bind to a cellular regulatory protein, DNA sequences which alter secondary or tertiary chromosomal structure and DNA sequences which are transcriptional regulatory elements.
- 5
88. A homologously recombinant primary or secondary cell produced by the method of any one of Claims 78 to 82, 85, 86 and 87.
- 10
89. A clonal cell strain of homologously recombinant secondary cells produced by the method of any one of Claims 80, 81, 82, 85, 86 and 87.
- 15
90. The method of Claim 80 wherein the cell as obtained is an immortalized cell.
91. The method of Claim 85, 86 and 87 in which two negative selection markers are used, where one negative selection marker is gpt and one negative selection marker is the HSV-TK gene.
- 20
92. The method of any one of Claims 78 to 82 in which the DNA construct additionally comprises at least one positive selection marker and at least one negative selection marker.
- 25
93. The method of any one of Claims 78 to 82, 85, 86 and 87 in which the positive selection marker is neo and the positive selection agent is G418.

-141-

94. The method of any one of Claims 78 to 82, 85, 86, 89 and 93 in which (a) the negative selection marker is gpt and the negative selection agent is 6-thioxanthine, or (b) the negative selection marker is the HSV-TK gene and the negative selection agent is gancyclovir.
95. A method of providing transfected cells capable of delivering an effective amount of a therapeutic product to a mammal wherein a cell or cell strain according to any one of Claims 68, or Claims 72 to 77, or Claims 88 and 89 is cultured to provide a sufficient number of said transfected cells.
96. Use of a cell or cell strain according to any one of Claims 68, or Claims 72 to 77, or Claims 88 and 89 for the manufacture of a therapeutic product for use in gene therapy.
97. Transfected cells or a cell strain according to any one of Claims 68, or Claims 72 to 77, or Claims 88 and 89 for use in therapy, e.g. gene therapy.
98. Use of a cell or cell strain according to any one of Claims 68, or Claims 72 to 76, or Claims 78 to 82, or Claims 85 to 87 for the in vitro production of a therapeutic protein.
99. Use of an immortalized cell according to the method of Claim 90 for the in vitro production of a therapeutic protein.

1/9

*Fig. 1**Fig. 2*



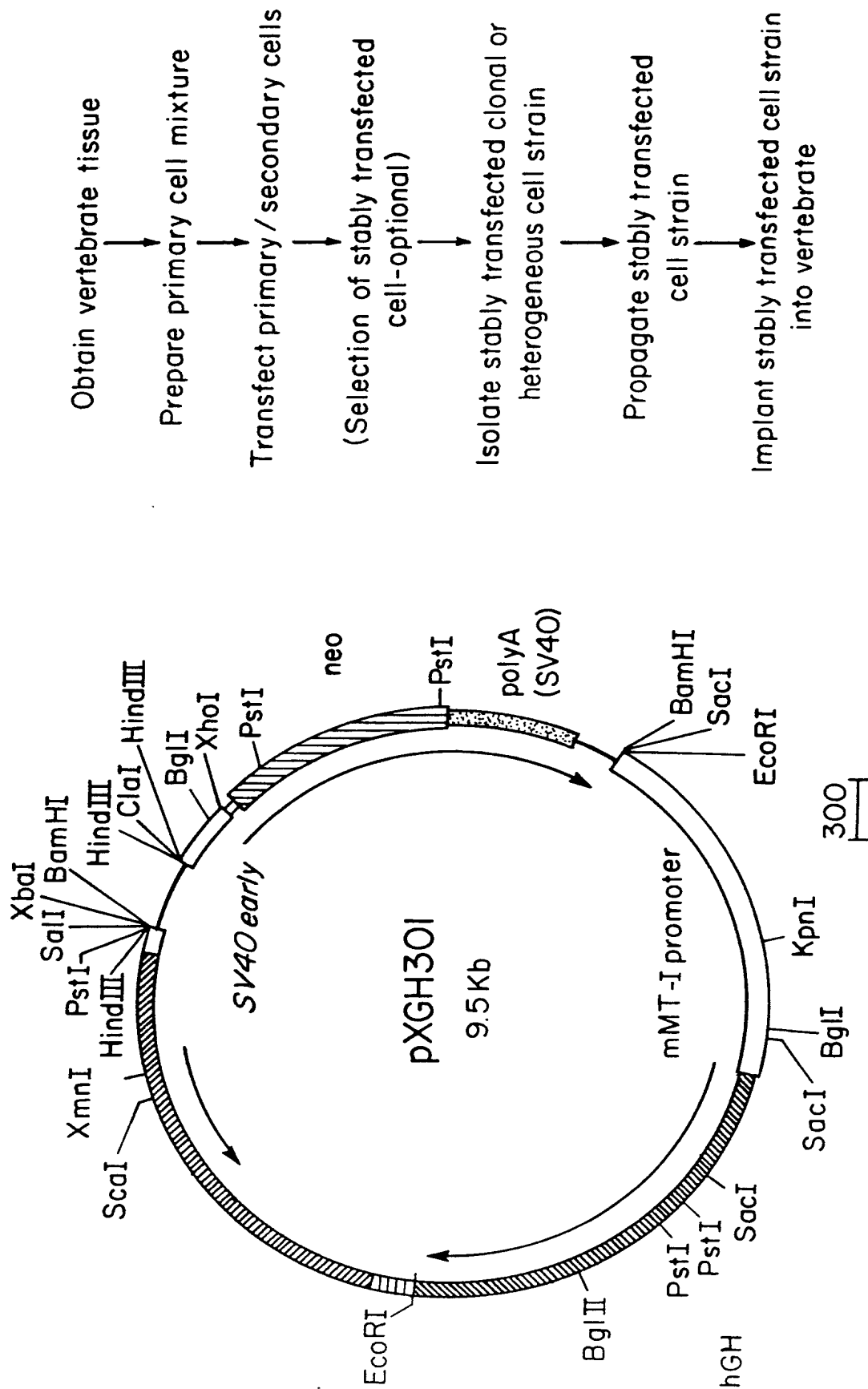


Fig. 4

Fig. 3

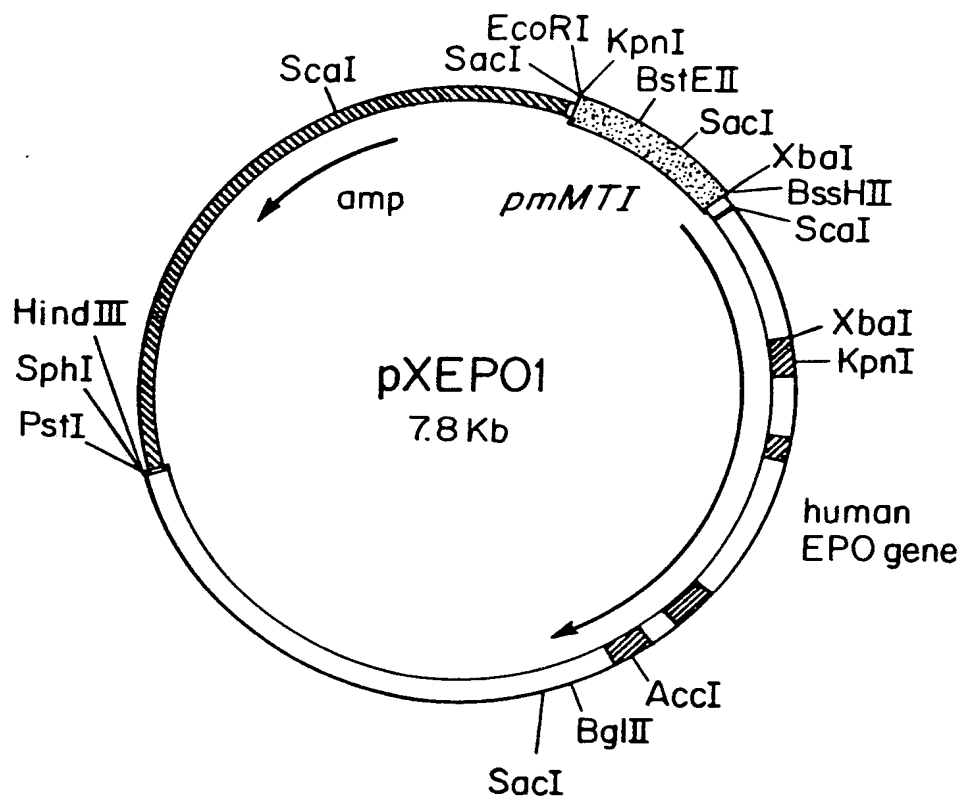


Fig. 5

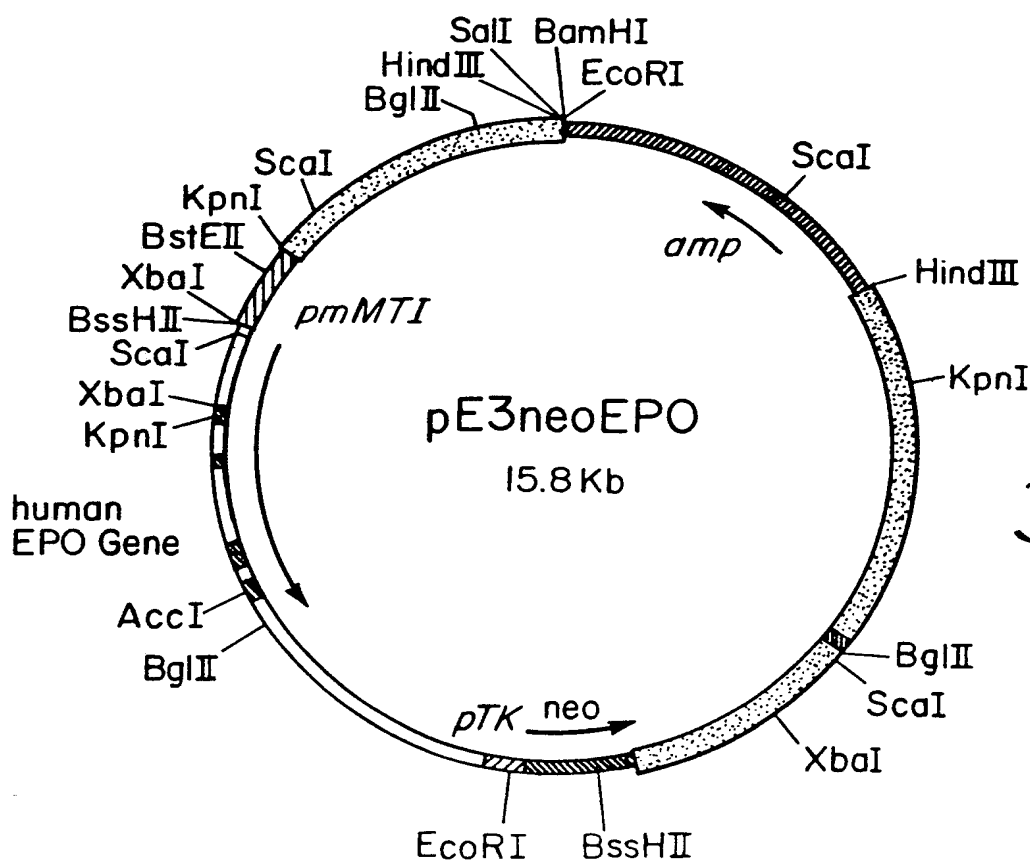


Fig. 6

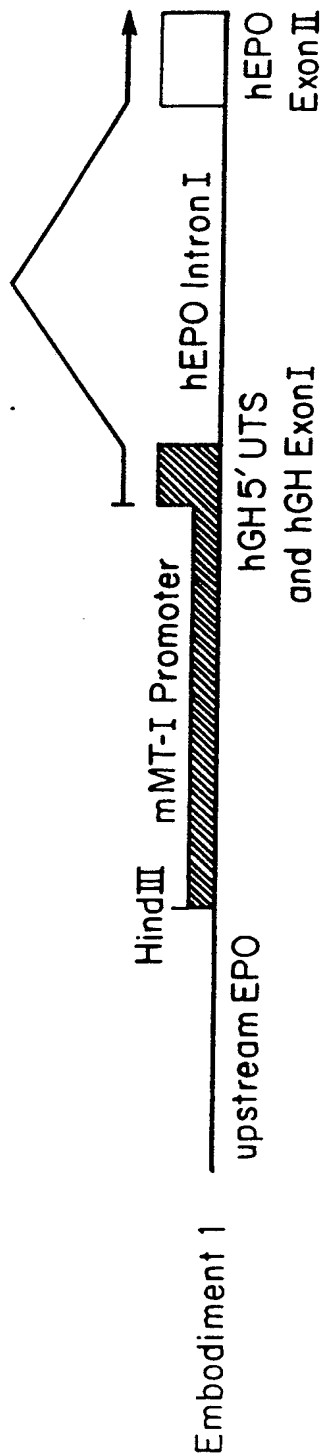


Fig. 7

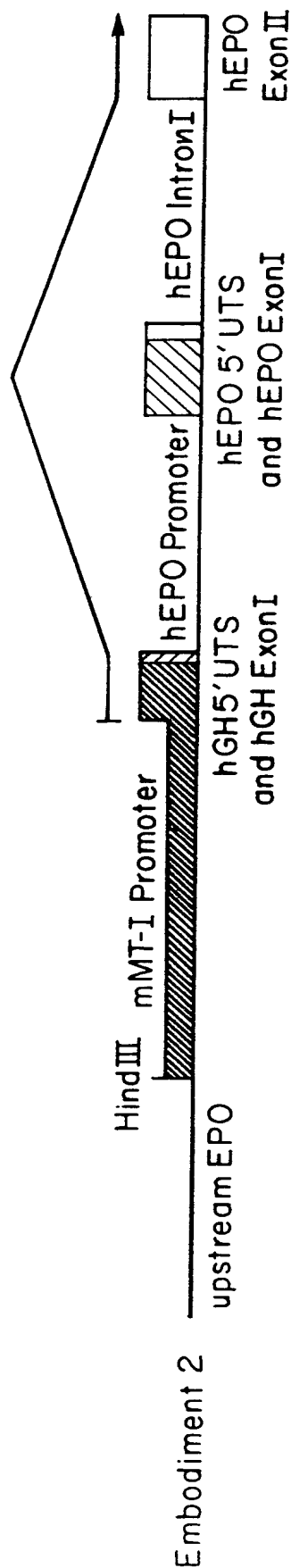


Fig. 8

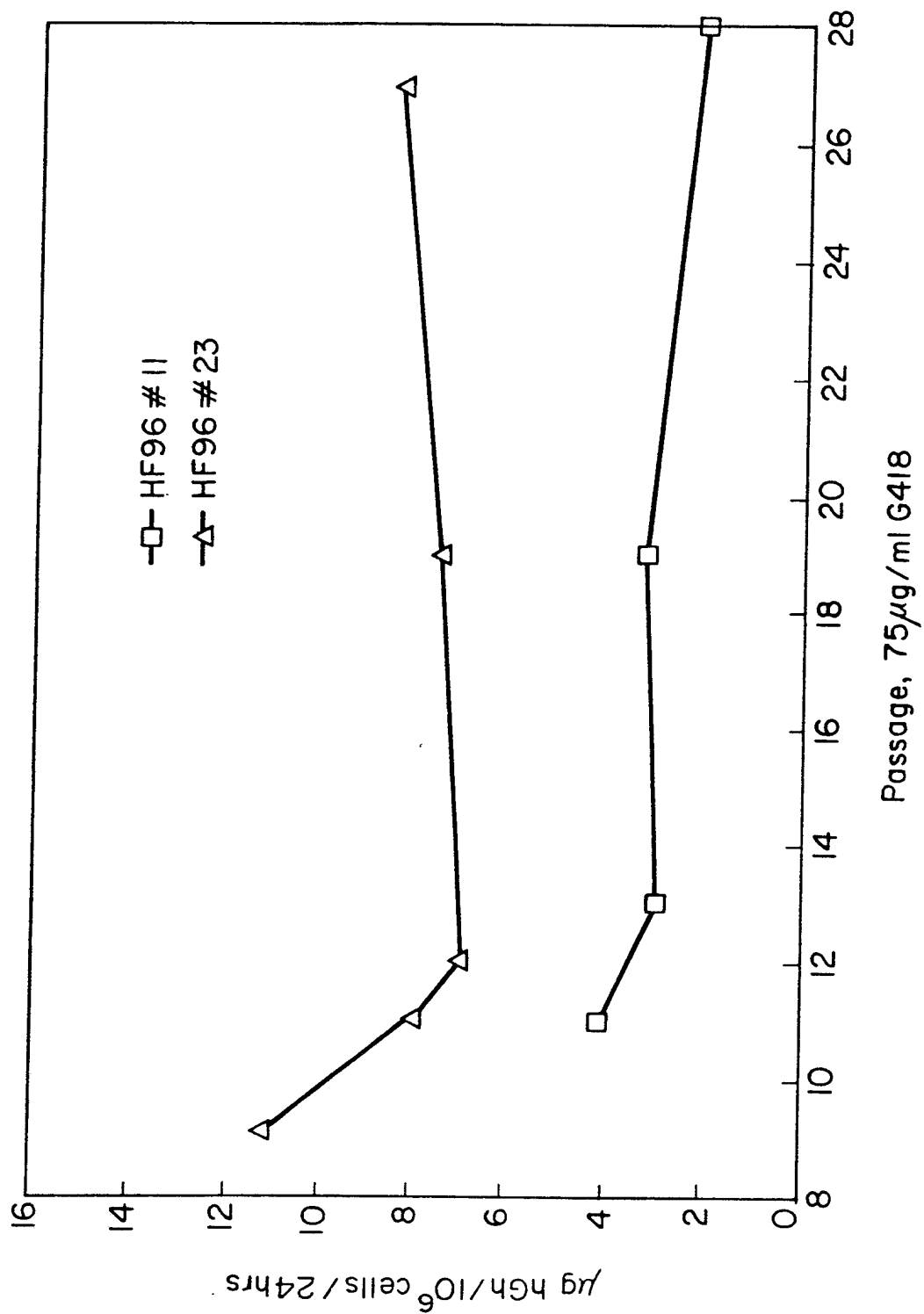
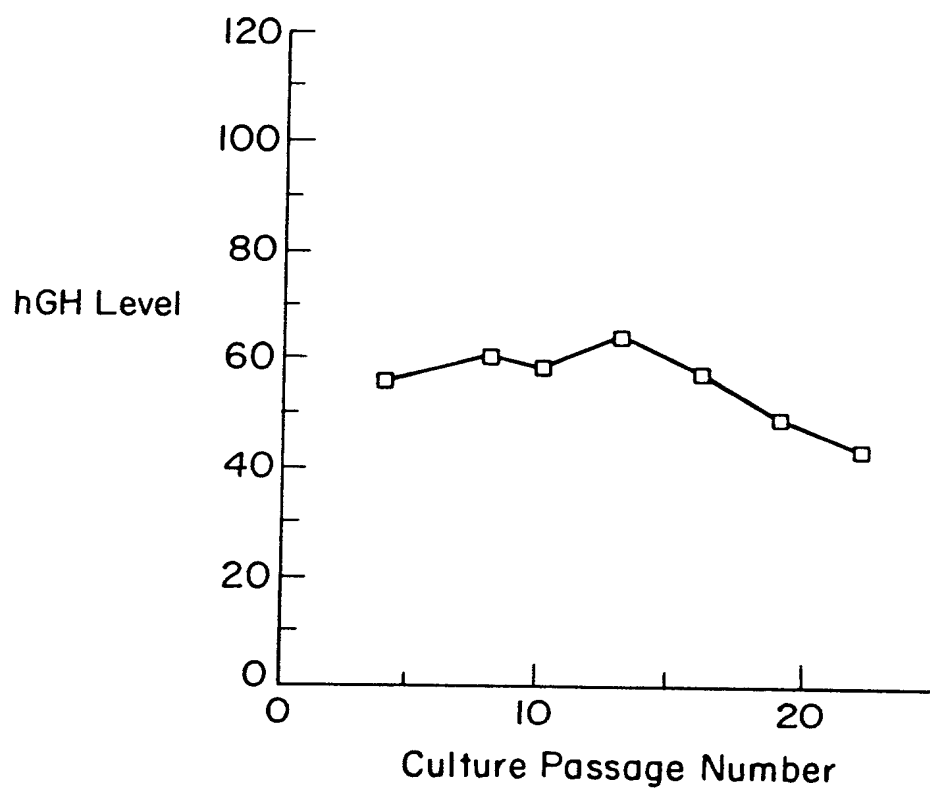


Fig. 9

6/9

*Fig. 10*

7/9

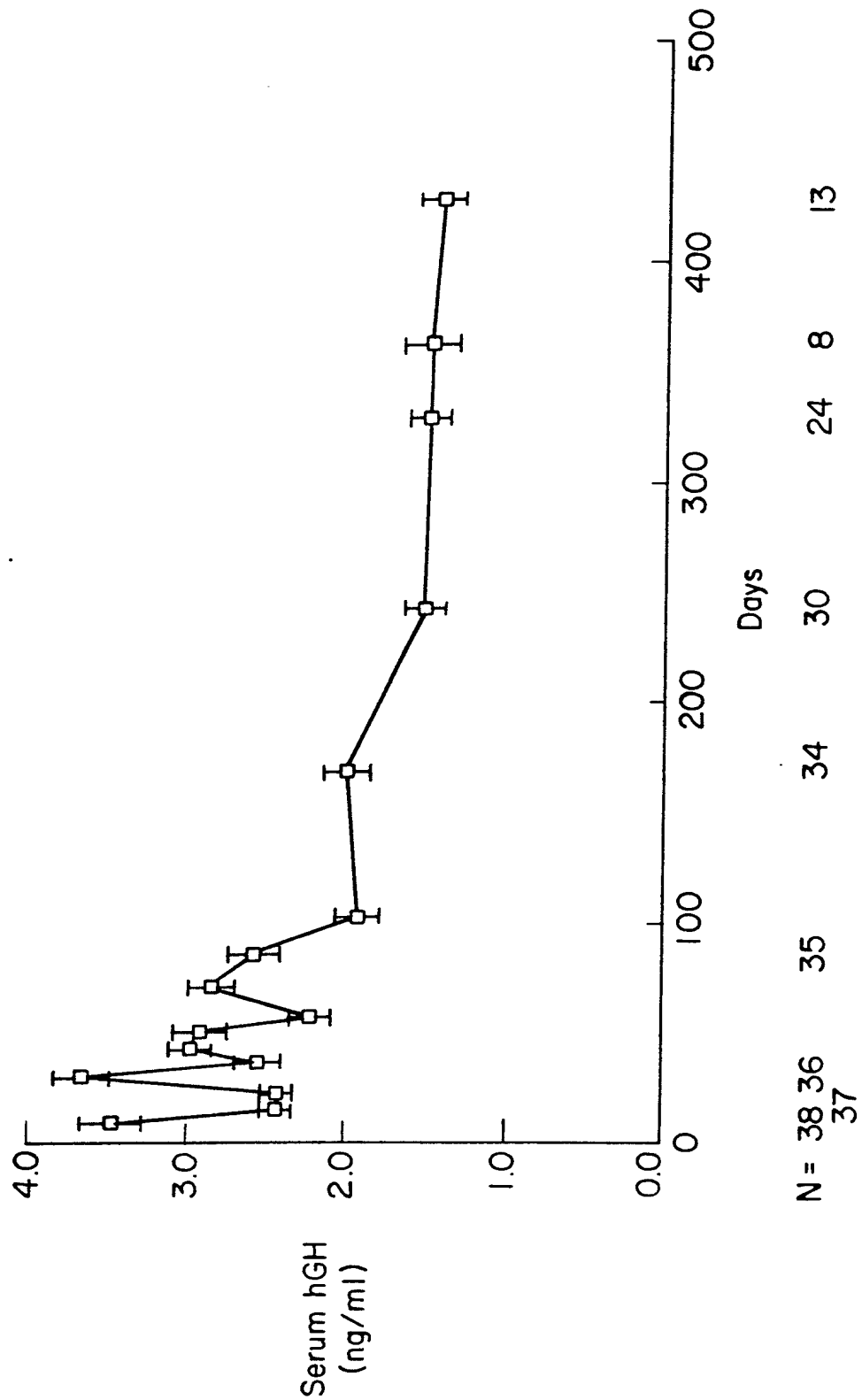


Fig. 11

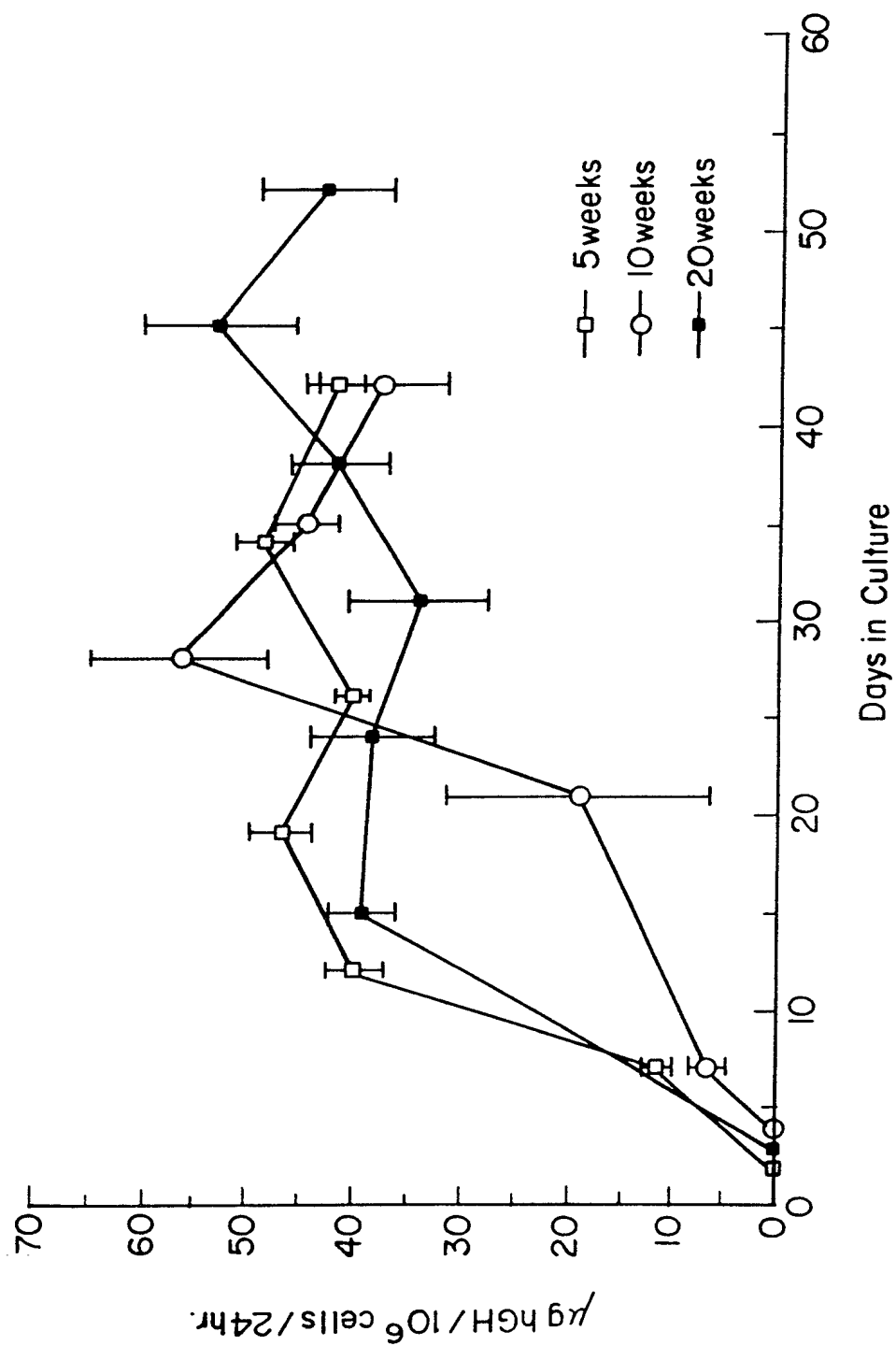
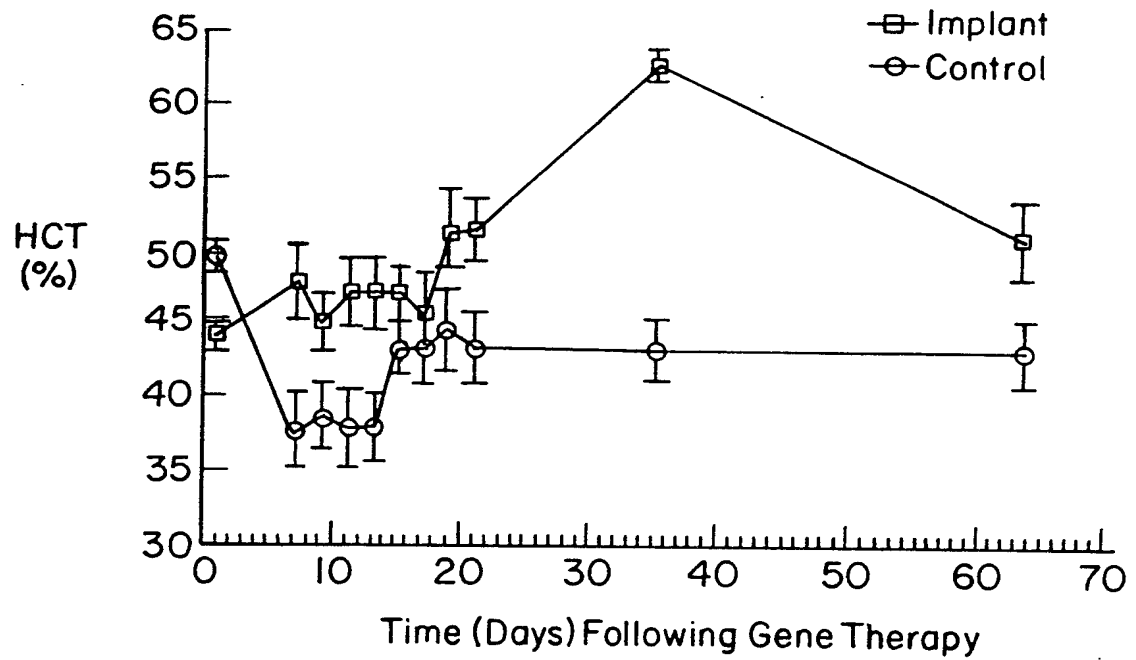
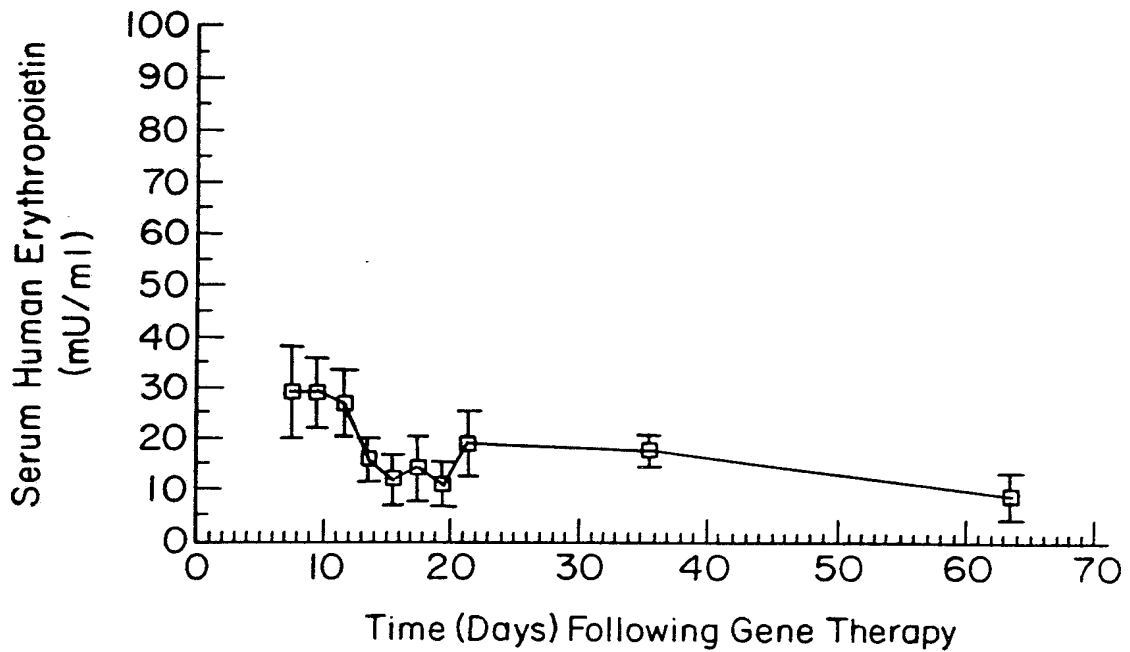


Fig. 12

9/9

*Fig. 13a**Fig. 13b*